# A COMPREHENSIVE MODEL OF INSTRUCTIONAL LEADERSHIP: THE EFFECTS OF MIDDLE SCHOOL LEADERSHIP ON GROWTH IN STUDENT LEARNING

A Dissertation

Presented in Partial Fulfillment of the Requirements for the

Degree of Doctor of Education

With a

Major in Educational Leadership

in the

Department of Graduate Education

Northwest Nazarene University

by

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April 2014

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# ACKNOWLEDGMENTS

I would like to acknowledge the tireless support of Dr. Loredana Werth and Dr. Heidi Curtis, as well as the insightful support of my committee members, Dr. Catherine Beals, Dr. Lynette Hill, and Dr. Wiley Dobbs. Their combined support was instrumental in the work of my dissertation.

# DEDICATION

I simply want to dedicate this dissertation to my wife and family. My wife is my forever love and endless support. My life would be incomplete without my wonderful children. My family inspires me with eternal purpose.

#### ABSTRACT

Using a comprehensive model of instructional leadership, this mixed-methods study investigates the relationship between the leadership of middle school principals and growth in student learning. This study also delineates specific actions performed by principals to execute researchbased instructional leadership behaviors. The researcher used annual student growth data from the Idaho Star Rating System of schools to identify five middle schools of high growth and five middle schools of low growth. The researcher's newly developed comprehensive instructional leadership survey based on a 5-point Likert scale measured differences in leadership styles, school cultures, and the correlations between 21 researched-based leadership behaviors and three school cultures. The open survey items of the survey collected specific actions that school principals used to perform the leadership behaviors. The results of this study verified that the comprehensive instructional leadership survey is a valid and reliable measurement of effective middle school leadership, and yielded three significant differences between the leadership of high-growth schools and low-growth schools. The correlation results ascertained that a majority of transformational behaviors, which comprise half of the comprehensive instructional leadership model, are highly correlated with fostering a collaborative, teacher-learning culture of instructional innovation and support. A near majority of the transformational behaviors of this study also correlate highly with creating a high-trust culture of teacher satisfaction and commitment.

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#### Chapter I

#### Introduction

With the vast majority of school leadership studies centered on the principalship, research confirms school leadership as the most influential factor on students' learning—second only to teachers' classroom instruction (Louis, Leithwood, Wahlstrom, & Anderson, 2010). Among educational leaders, principals possess a unique position to influence the improvement of teaching, which bears the greatest impact on student learning (Hattie, 2002; Louis et al., 2010). Replete evidence exists regarding the contributions of school leadership in implementing all initiatives aimed at improving the quality of schools, teacher effectiveness, and student learning (Hallinger, 2011; Leithwood & Jantzi, 2005; Louis et al., 2010). In short, effective schools do not exist without effective principals (Cotton, 2003). Louis et al. (2010) affirmed that they did not encounter any instance in which a school increased its students' learning achievement without effective leadership.

Throughout educational history, principals' leadership behaviors have evolved to meet the changing demands of education (Hallinger, 2003, 2005, 2011; Leithwood, Jantze, & Steinback, 1999; Marks & Printy, 2003; Shatzer, 2009; Stewart, 2006). Since 1978, school leadership models among principals have evolved from a top–down set of managerial behaviors focused on teaching and learning to a bottom–up set of collaborative behaviors that implements systemic change for school reform (Hallinger, 2003, 2005, 2011; Marks & Printy, 2003; Shatzer, 2009; Stewart, 2006). As the most researched leadership models in education, "instructional" and "transformational" leadership illuminates this pattern of evolutional development within the educational leadership of principals (Hallinger, 2003, 2005, 2011; Marks & Printy, 2003; Shatzer, 2009; Stewart, 2006). The principal's ability to create a positive and productive school culture, which indirectly influences student achievement, remains one of the most widely supported school leadership behaviors within the literature (Hallinger, 2003, 2005; Leithwood & Jantzi, 2005; Marzano, Waters, & McNulty, 2005). Extensive research related to school culture confirms that principals shape the conditions of the teaching and learning culture of the classroom as well as the collaborative teacher-learning culture within the school (Hallinger, 2005; Leithwood & Jantzi, 2005). Instructional leadership creates a strong teaching and learning environment of high expectations in the classroom with its top–down, managerial focus on the instructional program, while transformational leadership and professional learning (Hallinger, 2003, 2005, 2011; Leithwood & Jantzi, 2005; Marzano et al., 2005). A high-trust culture of commitment and satisfaction serves as a key indicator of a healthy and productive culture of professional learning (Bryk & Schneider, 2002; Harchar & Hyle, 1996; Louis & Wahlstrom, 2010; Robinson, 2010).

The growing complexity of education requires an integrated approach of eclectic leadership behaviors to manage the school's instructional program and its learning environment, while allowing time to create a professional learning culture that generates instructional innovations necessary to implement standards-based education (Louis et al., 2010; Louis & Wahlstrom, 2011; Marks & Printy, 2003; Southworth, 2002). Balyer (2012) concluded from a study designed to discover the level of transformational leadership demonstrated by school principals that the tenets of transformational leadership continue to contribute significantly to the effectiveness of principal leadership—especially as the complexity of the educational environment requires increased innovation.

#### **Statement of the Problem**

Although more eclectic views of instructional leadership continue to evolve, a comprehensive model of instructional leadership with the integration of instructional and transformational leadership behaviors needs to be developed in order to measure the current leadership styles among principals (Marks & Printy, 2003). Current research has not generated an adequate set of school leadership behaviors to measure the increasingly complex and influential roles of principals and to represent an accurate description of the leadership function of effective principals (Leithwood & Jantzi, 2005). Within educational research, two primary models emerge: Hallinger's instructional leadership model (1985), which measures top-down instructional management, and Leithwood's set of transformational leadership behaviors, which measures bottom-up shared leadership and professional learning (Leithwood & Jantzi, 2005). The instructional leadership behaviors of Hallinger's (1985) model espouse a style that maintains a laser-like focus on teaching within the student-learning environment (Hallinger & Murphy, 1985; Hallinger, 2003, 2005, 2011). In alignment with the findings of Marzano's (2005) metaanalysis, Leithwood's (2005) set of transformational leadership behaviors stimulates a professional learning culture of shared leadership conducive to deep, second-order change (Hallinger, 2003; Leithwood & Jantzi, 2005; Marzano et al., 2005).

This study proposes a newly-developed model of comprehensive instructional leadership based on both Hallinger's (1985) model and Leithwood's (2005) model in addition to Marzano's (2005) 21 responsibilities of the school leader. This study's newly developed model aims to measure differences between middle school and junior high principals who lead schools with high growth in student learning and middle school and junior high principals who lead schools with low growth in student learning. Secondly, it gathers specific actions related to how principals carry out leadership behaviors aligned to the research in the proposed comprehensive model of this study.

#### Background

Instructional leadership holds claim as one of the most researched approaches to educational leadership (Hallinger, 2005; Louis et al., 2010; Marks & Printy, 2003; Southworth, 2002). First designed as a management model, Hallinger's model of instructional leadership served as a popular framework for other instructional leadership approaches (Hallinger, 2011). School leaders heavily employed instructional leadership strategies to manage the instructional program for the explicit purposes of setting and maintaining the focus on improving teaching and learning during the effective schools era (Hallinger, 2003, 2005, 2011).

Originally, instructional leadership narrowly focused on principals working directly with individual teachers to improve their instructional behaviors without sharing leadership with teachers for professional learning purposes (Marks & Printy, 2003). Because of its focus on teaching and learning, instructional leadership has a compelling draw that continues to endure in educational leadership. The traditional assumption, however, that one heroic principal can solely shoulder the responsibility of instructional leadership falls on tenuous ground within the complex landscape of education today (Hallinger, 2003, 2005, 2011; Marks & Printy, 2003; Shatzer, 2009; Stewart, 2006). In addition to the time requirement of working with each teacher directly, principals must exhibit adept knowledge of instructional, curricular, and assessment practices to become effective instructional leaders (Marks & Printy, 2003; Shatzer, 2009; Stewart, 2006).

More recently, "shared" instructional leadership refers to a broader variant of instructional leadership, which entails teachers learning in collaboration and serving as leaders in the process of improving instruction (Louis et al., 2010; Southworth, 2002). This broadly defined concept of shared instructional leadership extends beyond directly observing and working with individual teachers at the classroom level and focuses on the collaborative culture of teachers (Marks & Printy, 2003). In a broader sense, shared instructional leadership aims to establish a culture that harnesses the talents of teacher–leaders to support continuous professional growth.

Marks and Printy (2003) asserted, "The principal becomes less an inspector of teacher competence and more a facilitator of teacher growth" (p. 374). This shift to shared leadership and professional learning blurs the line between instructional leadership behaviors and transformational leadership behaviors. This convergence of both models suggests that principals are subscribing to a blended approach of leadership in which instructional and transformational behaviors work in tandem to deal with the ever-changing backdrop of education and its resulting complexity (Hallinger, 2003; Leithwood & Jantzi, 2005; Marks & Printy, 2003).

Transformational leadership theory originated outside of education but became one of the most abundant models used in education during the school reform era (Hallinger, 2003; Leithwood & Jantzi, 2005; Marks & Printy, 2003; Shatzer, 2009). School reform requires principals to initiate and manage school-wide changes. Transformational leadership approaches allowed principals to learn that empowering teachers through collaborative structures increased the likelihood of successful reform (Leithwood & Jantzi, 2005; Marks & Printy, 2003; Shatzer, 2009). Transformational leadership involves stakeholders in a transformative process from the bottom–up, which generates collective commitment toward a shared purpose that is necessary to effect profound change (Leithwood & Jantzi, 2005; Marks & Printy, 2003, Shatzer, 2009). Transformational leadership extends the influence of the principal through teacher collaboration and leadership to introduce innovation and, thereby, creates a culture that promotes and supports change (Leithwood & Jantzi, 2005; Louis et al., 2010; Marks & Printy, 2003; Shatzer, 2009).

#### **Research Questions**

Because research indicates that high schools and middle schools lack shared leadership and robust teacher-learning communities as compared to elementary schools, the researcher decided to make middle schools, including junior high schools, a focus of this study (Louis et al, 2010). This focus comes with interest to ascertain if principals of effective middle schools and junior high schools share leadership and establish professional learning cultures beyond less effective middle schools. As suggested in research, sharing leadership with teachers and increasing professional learning impacts student learning positively (Louis et al., 2010). The lack of replete corroboration to this claim, however, implies an empirical need to identify specific leadership behaviors related to shared leadership and professional learning cultures (Leithwood & Jantzi, 2005; Louis et al., 2010; Marks & Printy, 2003; Southworth, 2002).

With an eclectic set of school leadership behaviors from Hallinger's (1985) instructional leadership model and Leithwood's (2005) transformational leadership model, this mixedmethods study utilizes the researcher's newly-developed model of comprehensive instructional leadership to measure the differences between middle school principals who generate high growth in student learning, and those who generate low growth. A 5-point Likert survey based on the proposed comprehensive model of instructional leadership comprises the quantitative portion of the measurement instrument (see Appendix A). The qualitative portion of the measurement includes open-ended items designed to collect specific actions that principals perform in alignment with the research-based leadership behaviors of the proposed model in this study.

This study answers the following research questions:

- 1. What differences exist in leadership behaviors between middle school who lead schools of high growth in learning and those who lead schools of low growth?
- 2. What are the specific actions principals perform that align with effective leadership behaviors found in research?

In addition, investigative methods of this study consider four hypotheses:

- 1. (H<sub>1</sub>) Effective middle school principals practice an integrated approach of instructional leadership behaviors and transformational behaviors.
- 2. (H<sub>2</sub>) Instructional leadership behaviors correlate highly to the building of strong student-learning cultures of high expectations and support.
- 3. (H<sub>3</sub>) Transformational leadership behaviors correlate highly to the creation of a collaborative, teacher-learning culture of innovation and support and the high-trust culture of commitment and satisfaction.
- 4. (H<sub>4</sub>) Modeling ideals of trustworthiness and innovation correlate highly to a culture high-trust, commitment, and satisfaction.

#### **Description of Terms**

This section defines the terms used in the literature to describe the school leadership models considered in this study. Many of the descriptive words used to distinguish instructional leadership from transformational leadership originated from Hallinger (2003).

**Narrow approach.** This leadership style consists of the principal directly working with individual teachers in a supervisory role to improve instruction (Lambert, 2002; May & Supovitz, 2011; Printy & Marks, 2006; Shatzer, 2009).

**Broad approach.** This leadership style entails the principal working with a group of teachers through shared leadership and collaboration in a facilitative role to improve instruction (Lambert, 2002; May & Supovitz, 2011; Printy & Marks, 2006; Shatzer, 2009).

**Top-down approach.** Hallinger (2003) described a top-down style of leadership as a directive approach in which the principal acts in a strong supervisory role.

**Bottom–up approach.** Hallinger (2003) described a bottom–up style of leadership as a facilitative approach that involves stakeholders and shares leadership in making decisions.

**First-order change.** Marzano et al. (2005) defined first-order change as an extension of past knowledge, implemented with existing knowledge and skills within existing paradigms. The changes are incremental and consistent with prevailing values and norms.

**Second-order change.** Marzano et al. (2005) defined second-order change as a break with the past outside of existing paradigms that conflicts with prevailing values and norms. These more complex changes require new knowledge and skills to implement (Hallinger, 2003; Marzano et al., 2005).

**Managerial or transactional approach.** Hallinger (2003) defined this approach as a leadership style that emphasizes the centrality of the principal's top–down role in managing and directing first-order improvements in the school.

**Management.** Lewis, Goodman, and Fandt (2006) defined management as the process of administering and coordinating resources effectively and efficiently in an effort to achieve the goals of the organization.

**Transformational approach.** Hallinger (2003) defined this approach as a leadership style that focuses on stimulating second-order change through bottom–up participation and shared leadership in decision making.

The following terms are derived from Bass's (1985) tenets of transformational leadership. There terms are still used to define transformational leadership approaches in education.

**Inspirational motivation.** This transformational tenet involves leaders developing a shared vision of the future, which provides a decision-making framework for organizational goals and priorities, as well as for the conveyance of high-performance expectations (Bass, 1985).

**Individualized consideration.** This transformational tenet occurs as school leaders become aware of teachers' developmental needs and provide for those needs by extending opportunities for growth and providing coaching (Bass, 1985).

**Intellectual stimulation.** This transformational tenet ensures principals present new ideas, encourage innovation, and create a supportive culture of change (Bass, 1985).

**Idealized influence.** This transformational tenet involves principals leading by example and modeling cultural values and ideals (Bass, 1985).

**Shared leadership.** Principals share leadership when they empower teachers and other staff members to present ideas for consideration, participate in decision making, or lead small groups of colleagues within collaborative structures.

Shared instructional leadership. Definitions of shared instructional leadership vary widely depending on the person using the term, and no well-known model exists (Harris, Leithwood, Day, Sammons, & Hopkins, 2007; Lee, Hallinger, & Walker, 2012). For the purpose of this study, the researcher defined this term as instructional leadership distributed to teachers as the principal and teachers work together within collaborative structures of professional learning to implement instructional improvements. Shared instructional leadership primarily occurs when principals use the tenets of transformational leadership.

This study will assess the effectiveness of school leadership based on the learning growth that schools achieve. No Child Left Behind legislation allows two types of student outcomes to meet accountability requirements: status achievement within a proficiency model and growth achievement within a growth model (U.S. Department of Education, 2013).

**Status achievement.** This model measures achievement in learning with a summative test usually evaluated on differing levels of proficiency (Zvoch & Stevens, 2006).

**Growth achievement.** This model measures achievement in learning with annual growth between two summative tests (Zvoch & Stevens, 2006).

#### Significance of the Study

In educational leadership literature, the researcher was unable to locate studies that considered the impact of school leadership on student achievement as measured by growth models (Hallinger, 2011; Leithwood & Jantzi, 2005). This study quantitatively investigates which school leadership behaviors are used most often by principals who lead middle schools with high growth achievement, and if differences exist between those principals and the principals who lead middle schools of low growth achievement. Growth achievement, rather than status achievement, minimizes the effect of student backgrounds and stands as a reliable, nonbiased means of measuring learning (Di Carlo, 2012; Gordon, Kane, & Staiger, 2006; Lipscomb, Teh, Gill, Chiang, & Owens, 2010; Zvoch & Stevens, 2006).

Traditionally, researchers have used status achievement rather than growth achievement as the student outcome to measure the impact of school leadership on student learning for quantitative studies (Hallinger, 2011; Leithwood & Jantzi, 2005). Growth achievement measures student learning that occurs between two summative tests. Some states utilize state proficiency tests to measure growth achievement with a growth model for federal accountability purposes (U.S. Department of Education, 2013). Growth models tabulate individual achievement in student learning from one year to the next on state accountability assessments and credit schools for student improvement over time (U.S. Department of Education, 2013). Proponents of growth models assume that all students can exhibit adequate annual growth with quality instruction—regardless of their current levels of status achievement, which is affected by socioeconomic and other background factors (Di Carlo, 2012; Gordon et al., 2006; Lipscomb et al., 2010; Zvoch & Stevens, 2006). In addition, evidence from recent growth models in pilot states suggests that traditional low-performing schools, based on proficiency rankings, can outperform traditional high-performing schools when compared by annual growth rankings (Parry, 2010).

Because school leadership among principals serves as the most critical factor impacting student achievement second only to the quality of instruction, a compelling case for additional research exists regarding the impact of successful school leadership on academic growth (Louis et al., 2010; Markow, Macia, & Lee, 2013). Researchers have not developed a comprehensive model of instructional leadership, which more accurately represents the current leadership of principals, to measure the effectiveness of principals and school leadership (Marks & Printy, 2003). Moreover, research suggests that when school leaders distribute and share leadership, student achievement improves. The evidence base, however, remains low, and more research with regard to shared leadership needs to identify specific leadership behaviors (Leithwood & Jantzi, 2005; Louis et al., 2010; Marks & Printy, 2003; Southworth, 2002).

This mixed-methods study employs a newly-developed comprehensive model of instructional leadership that measures the differences in leadership styles, including the sharing of leadership. It also measures the differences in three school cultures created by the associated leadership behaviors of principals. The principal's ability to create school culture is widely supported in the literature (Hallinger, 2003, 2005; Leithwood & Jantzi, 2005; Marzano, Waters, & McNulty, 2005). Research indicates that principals primarily influence the student-learning culture of classrooms and the teacher-learning culture within the school (Hallinger, 2005; Leithwood & Jantzi, 2005). A high-trust culture of commitment and satisfaction results from a healthy and productive culture of professional learning (Bryk & Schneider, 2002; Harchar & Hyle, 1996; Louis & Wahlstrom, 2010; Robinson, 2010). The process of using the Comprehensive Instructional Leadership Survey to measure the effects of middle school leadership on growth in student achievement confirmed the validity and reliability of the model. Likewise, the survey instrument gathered themes to clarify specific actions that practicing principals perform in order to share leadership within the professional learning cultures of their schools.

The researcher selected the school leadership of middle school principals as a focus, because the leadership of secondary principals had been shown to be inadequate in raising students' achievement as compared to elementary schools (Louis & Wahlstrom, 2010). The primary reason for this is attributed to the ineffective efforts of secondary principals to build teacher-learning cultures of collaboration through shared leadership, which results in limited trust and lowered student achievement (Louis & Wahlstrom, 2010).

#### **Overview of Research Methods**

This study employed a mixed-methods approach to determine the relationship between principal leadership behaviors and growth in student learning, along with the qualitative determinations of specific actions performed by principals to execute research-based school leadership behaviors. The researcher of this study used student growth data from the Idaho Star Rating System to identify five middle schools of high growth and five middle schools of low growth. The selection process excluded schools with fewer than 250 students.

Based on a 5-point Likert scale, this study employed the newly-developed Comprehensive Instructional Leadership Survey to measure the differences in leadership styles, school cultures, and the correlations among them. Employing open-ended items, the survey also collected specific actions of principals used to accomplish the research-based leadership behaviors. The survey additionally collected some simple descriptive data regarding the number of years the principals, mathematics teachers, and language arts teachers worked within each school and the name of each school represented. Prior to administering the survey instrument to each selected school, eight school leadership experts validated the content of the survey.

#### **Chapter II**

#### **Literature Review**

#### Introduction

Louis et al. (2010) boldly declared that school leadership possesses the greatest influence on learning, second only to the influence of teachers. Since 1978, school leadership has evolved from a top–down set of managerial behaviors focused on teaching and learning to a bottom–up web of collaborative behaviors that effect change and innovation for school improvement (Hallinger, 2003, 2005, 2011; Marks & Printy, 2003; Shatzer, 2009; Stewart, 2006). Illustrating this evolution, instructional leadership and transformational leadership have become most researched leadership models in education in relation to the principalship and school leadership (Hallinger, 2003, 2005, 2011; Marks & Printy, 2003; Shatzer, 2009; Stewart, 2006). Both instructional leadership and transformation leadership have resulted in improved teaching and student learning (Hallinger, 2011; Leithwood & Jantzi, 2005; Robinson, Lloyd, & Rowe, 2008).

For these reasons, this study applied an integrated set of leadership behaviors from these two models to determine which leadership behaviors Idaho middle school principals most frequently use and to ascertain if a difference exists between leadership behaviors in high-growth schools and low-growth schools. Marzano's 21 Responsibilities of the School Leader, identified by a meta-analysis of 69 studies, substantiated the comprehensive set of instructional and transformational leadership behaviors proposed in this study as a measurement of effective leadership in schools (Marzano el al., 2005). Shared leadership with an instructional focus has gained much attention in recent research, demonstrating its impact on student learning (Lambert, 2002; Louis et al., 2010; Printy & Marks, 2006). Although no widely accepted model exists for shared leadership, and definitions vary widely between shared, distributed, and collaborative leadership, this discussion reviews related research to confirm that the comprehensive set of leadership behaviors compiled in this study represents a cutting-edge framework of effective school leadership, which involves the sharing of leadership (Harris et al., 2007; Lee et al., 2012; Shatzer, 2009).

The review of the literature presents the history of instructional leadership and transformational leadership, the purposes for which these models originated, and descriptions of the most common instruments that measure each leadership style. The literature review further presents research findings demonstrating the effectiveness of both leadership models in relation to improved teacher performance and student learning, along with their strengths and weaknesses. In a similar manner, this section includes information regarding the integrated use of both leadership models and the concept of comprehensive instructional leadership. The conclusion of the literature review presents an integrated and comprehensive framework of school leadership behaviors along with advantages of measuring growth when considering the effectiveness of school leadership.

Louis et al. (2010) discussed the usefulness of describing leadership in terms of two general functions, one of which involves clarifying focus, and the other, exerting influence. Thus, in general, school leadership sets a focus for the school and then exerts influence to move the school in that direction. These two leadership functions of focus and influence provide a means to compare and contrast models of school leadership (Leithwood et al., 2010).

Within the traditional model of instructional leadership, the principal functions as the central figure, who exerts direct influence on individual teachers in a narrow approach to impact the quality of teaching and learning (Hallinger, 2011; Lambert, 2002; Louis et al., 2010; Marks & Printy, 2003; Shatzer, 2009; Southworth, 2002). This traditional leadership style relies solely

on the skilled leadership of the principal working directly with teachers as a key school improvement factor (Hallinger, 2011; Lambert, 2002; Louis et al., 2010; Marks & Printy, 2003; Shatzer, 2009; Southworth, 2002). With the challenging and complex need for quality school leadership today, the heroic efforts of the principal alone do not sufficiently provide instructional leadership throughout the school (Lambert, 2002; Louis et al., 2010; Marks & Printy, 2003; Shatzer, 2009; Southworth, 2002). Southworth (2002) declared that a broad approach of building a school culture of teacher collaboration deserves preference because shared leadership entails direct and indirect influences and is more likely to distribute the responsibility of instructional leadership to teachers.

Transformational leadership embodies a broad approach that allows the principal to create a culture that exerts direct influence and indirect influence on teachers through inspiring their collective commitment toward the common purpose of implementing school reform (Leithwood & Jantzi, 2005; Marks & Printy, 2003; Shatzer, 2009). This broad approach does not rely solely on the principal as the central influencer to effect change, and with an instructional focus, transformational leadership can encourage a shared responsibility in instructional leadership (Lambert, 2002; Printy & Marks, 2006; Shatzer, 2009).

May and Supovitz (2011) conducted a unique study to clarify the frequency and scope of the principal's leadership activities to improve instruction. With a narrow scope, principals focus on improving instruction with individual teachers. With a broad scope, principals focus on improving instruction with the entire staff. The quantitative results from 51 urban schools in southeastern America indicate that principals spend differing amounts of their time (0% to 25%) on instructional leadership activities. The magnitude of the changes in instructional practices relates to the frequency of the principal's instructional leadership activities (May & Supovitz, 2011). Unrelated to the size of the school, only 22% of teachers reported high instructional leadership contact with their principals. The principal's instructional interactions with individual teachers in a narrow scope significantly relate to instructional changes, but these results suggest that greater changes in instructional practice result from a combination of narrow and broad approaches (May & Supovitz, 2011).

Based on a review of instructional and transformational leadership research, however, Hallinger (2003) concluded that relatively few studies confirm a correlation between the principal's direct work of supervising the instruction of teachers with teacher effectiveness and student achievement. The desired results of increased teacher performance and student learning occurred in elementary schools indicating that school size may serve as a limiting factor (Hallinger, 2003). Therefore, one may conclude that a narrow focus appears effective as long as the principal provides frequent instructional interactions with teachers (Hallinger, 2003).

#### **Instructional Leadership**

First introduced in the 1970s, instructional leadership models developed during the effective schools movement of the 1980s (Hallinger 2003, 2005, 2011; Louis et al., 2010; Marks & Printy, 2003; Shatzer, 2009; Stewart, 2006). Instructional leadership and its variety of models have endured in education and received much attention in the literature as a result of its compelling focus on teaching and learning (Hallinger, 2005, 2011; Marks & Printy, 2003). The concept of instructional leadership evolved recently to include the sharing of leadership (Louis et al., 2010; Marks & Printy, 2003; Shatzer, 2009). In the original concept, the principal provides the primary source of instructional leadership (Hallinger, 2005, 2011; Lambert, 2002; Louis et al., 2010; Marks & Printy, 2003; Shatzer, 2009; Southworth, 2002; Stewart, 2006). The principal acts as the central supervisor of the instructional program and leads the primary effort to improve

teaching and learning by serving as the expert in curriculum, instruction, and assessment and directly interacting with teachers (Hallinger, 2003, 2005, 2011; Lambert, 2002; Louis et al., 2010; Marks & Printy, 2003; Shatzer, 2009; Southworth, 2002; Stewart, 2006).

Historically, researchers proposed different models of instructional leadership to measure the principal's impact on teaching and learning (Hallinger, 2005; Shatzer, 2009). Although overlap and commonalities exist between the models, Hallinger's (1985) model emerged as the most commonly-used instrument to measure principal leadership for the past 30 years (Hallinger, 2011; Shazter, 2009). Known as the Principal Instructional Management Rating Scale, this instrument has assessed principal leadership throughout the world since 1982 (Hallinger, 2011). For these reasons, the researcher of this study selected Hallinger's (1985) model of instructional leadership as one of the primary models used to develop the comprehensive set of instructional leadership behaviors.

Hallinger and Murphy (1985) described instructional leadership with three general domains: defining the school mission, managing the instructional program, and promoting a positive learning environment. Within the domain of defining the school mission, they (1985) included leadership behaviors for framing the school goals and communicating the school goals. The domain of managing the instructional program includes supervising and evaluating instruction, coordinating the curriculum, and monitoring student progress. Halliger's (1985) domain of promoting a positive learning environment includes (a) protecting instructional time, (b) maintaining high visibility, (c) providing incentives for teachers, (d) promoting professional development, and (e) providing incentives for learning. Hallinger's (1985) instructional leadership model aligns to portions of the comprehensive school leadership model of this study and Marzano's (2005) meta-analysis (see Appendix B). The following exemplifies a recent example of Hallinger's (1985) model used in school leadership research. Minus (2010) conducted a study of 121 middle school principals and 484 middle school teachers using Hallinger's (1985) instructional leadership model to assess the model's impact on student achievement in reading and math as measured by Maryland's state assessment. The results validated the impact of some of Hallinger's (1985) instructional leadership behaviors on student learning, which included promoting professional development, framing school goals, supervising and evaluating curriculum, coordinating curriculum, and providing incentives for learning (Minus, 2010).

Robinson et al. (2008) performed a meta-analysis of 22 studies that investigated the effect size of different forms of leadership and student outcomes. The meta-analysis looked at both instructional leadership and transformational leadership as the primary leadership models. The results of all 22 studies indicated strong support for instructional leadership (ES = .42). Transformational leadership possessed a smaller effect size (ES = .11) even when compared to other theories (ES = .30). Among the five studies that focused on transformational leadership, however, only one occurred in the United States, and it had an effect size of .68. The researcher suggests that school leadership models lack impact on student achievement because they are too general and too abstract to describe the specific actions school leaders should implement (Robinson et al., 2008).

Specifically, instructional leadership requires the principal to act as a dynamic instructional leader with a laser-like focus on teaching and learning (Hallinger, 2003; Louis et al., 2010; Shatzer, 2009; Southworth, 2002). Instructional leadership has proven effective in managing the instructional program and learning environment of the school in order to produce first-order changes focused on improving teacher performance (Halliger, 2003). The traditional

role of instructional leadership presumes that principals act as instructional experts solely capable of accomplishing the leadership behaviors of Hallinger's model (1985). Although evidence corroborates the impact of principals' instructional leadership on teaching and learning, the one-person act of principals has proved inadequate to fulfill the complex and challenging need of providing instructional leadership throughout the school and ensuring quality learning for all students (Hallinger, 2003; Lambert, 2002; Louis et al., 2010; Marks & Printy, 2003, 2006; Shatzer, 2009; Southworth, 2002). Secondary schools accentuate this claim because of larger principal-to-teacher ratios (Hallinger, 2003; Shatzner, 2009). Moreover, the traditional, top– down, narrow view of instructional leadership has not taken advantage of empowering teachers to create instructional improvement (Marks & Printy, 2003; Southworth, 2002). Due to the overreliance on the principal to improve schools and frequent principal turnover, any improvements achieved by schools under the principal-driven model have not been sustainable (Lambert, 2002). Table 1 summarizes the historical use of instructional leadership and the model's strengths and weaknesses.

#### Table 1

### A Comparison of Common Leadership Models with Comprehensive Model

Instructional Leadership	Transformational Leadership	Comprehensive Instructional Leadership
<b>History:</b> Models were developed during the effective schools movement for principals to manage the instructional program and learning environment of schools.	<b>History:</b> Models were applied to education to effectively transform schools during the school reform movement.	<b>History:</b> This model was developed to measure shared leadership with teachers in concert with the principal as a strong instructional leader to effectively implement standards- based reform.
<b>Common Model:</b> The most common model used to measure the instructional leadership of principals was posited by Hallinger (1985).	<b>Common Model:</b> The most common model used to measure transformational leadership in education was posited by Leithwood and Jantzi (2005).	<b>Proposed Model:</b> This comprehensive model of instructional leadership is based Hallinger's model of instructional leadership, Leithwood's model of transformational leadership, and Marzano's (2005) meta-analysis.
<ul> <li>Strengths:</li> <li>Requires principal to act as strong instructional leader</li> <li>Maintains strong focus on teaching and learning</li> <li>Manages instructional program and learning environment</li> <li>Improves student achievement</li> </ul>	<ul> <li>Strengths:</li> <li>Utilizes the leadership of teachers</li> <li>Creates second-order change</li> <li>Improves collective teacher efficacy, organizational learning, instructional quality, changes in teacher practice, planning for change, job satisfaction, organizational commitment, and school culture</li> <li>Improves student achievement</li> </ul>	<b>Strengths:</b> The integrated strengths of instructional leadership and transformational leadership synergistically complement the weaknesses of each model. The proposed model of comprehensive instructional leadership holds promise in implementing standards-based reform to boost teacher quality and student achievement.
<ul> <li>Criticisms:</li> <li>Does not foster collaboration and shared leadership with teachers</li> <li>Does not create second- order change</li> </ul>	<ul> <li>Criticisms:</li> <li>Lacks strong focus on teaching and learning</li> <li>Does not require principal to be strong instructional leader</li> </ul>	

Transformational leadership theory first developed outside of the educational realm but has gained a popular foothold in educational research since the 1990s with the school reform movement (Hallinger, 2003; Leithwood & Jantzi, 2005; Marks & Printy, 2003; Shatzer, 2009). Bass and Riggio (2006) contended that the effectiveness of transformational leadership universally applies

across organizations in business, education, military, government, and the private sector. Using surveys based on the transformational leadership principles of Kouses and Posner (1995), Hechanova and Cementina-Olpoc (2012) recently determined that higher education extensively employs transformational leadership to support changes as compared to the service industry. Other studies have also shown that transformational leadership exists across the globe with universal applicability (Bass, 1997; Omary, Khasawneh, & Abu-Tineh, 2009; Sandbakken, 2004).

When principals realized that empowering teachers boosted the chances for reform to succeed and that transformational leadership offered tools for change, principals started espousing the theoretical principles of transformational leadership (Leithwood & Jantzi, 2005; Marks & Printy, 2003; Shatzer, 2009). School reform necessitated principals to function as change agents. Transformational leadership involves stakeholders within the transformational process and collectively moves them toward a shared purpose in order to effect desired change (Leithwood & Jantzi, 2005; Marks & Printy, 2003; Shatzer, 2009). Transformational leadership focuses on how leaders can exert influence directly and indirectly throughout the organization to introduce innovation and to shape a supportive culture of change (Leithwood & Jantzi, 2005; Louis et al., 2010; Marks & Printy, 2003; Shatzer, 2009).

Bass's original work (1985) included four domains: (a) idealized influence, (b) inspirational motivation, (c) intellectual stimulation, and (d) individualized consideration. From his original work, Bass and Avolio (1995) recategorized transformational leadership behaviors into five general domains by separating idealized influence into idealized attributes and idealized behaviors. This reconceptualized model of transformational leadership also included separate domains of contingent reward, management-by-exception (active), management-by-exception (passive), and laissez-faire (Bass & Avolio, 1995).

Along with the reconceptualization of transformational leadership, Bass and Avolio (1995) developed an instrument, the Multifactor Leadership Questionnaire (MLQ), to measure transformational leadership behaviors along with the other separate leadership types. Leithwood and Jantzi (2005) noted that Bass's theory of transformational leadership consistently appeared in the vast majority of empirical studies outside the realm of education. In general, Bass's four domains guided the use of transformational leadership in the school setting (Leithwood & Jantzi, 2005; Shatzer, 2009). Specifically, researchers used Bass's MLQ in school contexts and Leithwood's (2005) set of transformational leadership behaviors, which were designed to measure the transformational qualities of school leaders beyond the work of Bass (Leithwood & Jantzi, 2005).

Leithwood and Jantzi (2005) reviewed 32 empirical studies between 1996 and 2005 that dealt solely with transformational leadership within the context of schools. Seven of the reviewed studies used some version of Bass's MLQ; eighteen of the studies used Leithwood's school-specific instrument (Leithwood & Jantzi, 2005). Leithwood's (2005) set of transformational leadership behaviors included some of the behaviors proposed by Bass (1997) but were based on the extensive research within the school setting conducted by Podsakoff et al. (1992). The researcher of this study selected Leithwood's (2005) set of transformational leadership behaviors as a primary model used to create a framework of integrated school leadership behaviors because of its specific applicability to education and abundant use in education as a measuring instrument of school leadership (Leithwood & Jantzi, 2005). Leithwood's (2005) model organizes the set of transformational leadership behaviors into four general domains: (a) setting directions, (b) helping people, (c) redesigning the organization, and (d) the transactional–managerial aggregate (Leithwood & Jantzi, 2005). Leithwood's (2005) transformational behavior of setting directions includes Bass's (1997) inspirational motivation. Leithwood's (2005) general domain of helping people includes the remainder of Bass's (1997) domains of individualized consideration, intellectual stimulation, and idealized influence. Leithwood's (2005) transformational leadership model aligns with portions of the comprehensive instructional leadership model of this study and Marzano's (2005) meta-analysis (see Appendix B).

Having reviewed 32 empirical studies between 1996 and 2005, Leithwood and Jantzi (2005) discovered a web of positive effects on student outcomes, as well as on organizational outcomes. Regarding student achievement, nine of the studies exhibited mixed results with an overall conclusion of significant positive effects on student achievement. The results also revealed that transformational leadership affects student engagement in a modestly positive yet uniform way (Leithwood & Jantzi, 2005).

Besides impacting student outcomes, transformational leadership influences other mediating outcomes within schools (Leithwood & Jantzi, 2005). Ross and Gray (2006) determined that transformational leadership impacts collective teacher efficacy of the school and the direct and indirect effects on teacher commitment toward the school mission and professional learning community. Transformational leadership also allows teachers to participate in decision making, which leads to job satisfaction and better planning for change (Ejimofor, 2007; Leithwood & Jantzi, 2005; Shatzer, 2009). Transformational leadership fosters a positive school
climate and a professional working culture (Leithwood & Jantzi, 2005; McCarley, 2012; Shatzer, 2009).

Leithwood and Jantzi (2005) found that transformational leadership enhances instructional quality and supports changes in teacher practice. In 2006, a large-scale study successfully confirmed that Leithwood's model of transformational school leadership assisted in changing teachers' classroom strategies and improving student achievement (Leithwood & Jantzi, 2006). These results led to three important findings: (a) school leadership can influence teachers to alter their classroom practices; (b) transformational leadership behaviors appear to cause the influence needed to alter classroom practices; and (c) a significant gap exists between classroom practices that were altered and classroom practices that led to increased student learning (Leithwood & Jantzi, 2006). Leithwood and Jantzi (2006) concluded that the effectiveness of school leadership for increased student learning relies on the ability of the leader to promote specific classroom practices. Likewise, Leithwood, Steinbach, and Jantzi's (2002) study reminds school leadership practitioners that commitment strategies through a transformational leadership style prove more effective than authoritative control strategies to effect instructional change.

Historically, school leaders utilized transformational leadership in cultivating cultures of change to implement school reform through broad, bottom–up influence by including teachers within the change process. This style, however, lacked a clear and strong focus on teaching and learning (Hallinger, 2003; Marks & Printy, 2003). This lack of instructional focus may contribute to reasons why studies have gleaned mixed results related to student achievement and modest results related to student engagement (Leithwood & Jantzi, 2005; Shatzer, 2009). Many of the

leadership behaviors of Leithwood's (2005) model align with producing second-order change (Marzano et al., 2005).

As a strong point, transformational leadership transforms the collective organization through a supportive culture of second-order innovation (Hallinger, 2003). Transformational leadership not only impacts students' learning outcomes positively but also produces high levels of (a) collective teacher efficacy, (b) organizational learning, (c) instructional quality, (d) changes in teacher practice, (e) planning for change, (f) job satisfaction, (g) organizational commitment, and (h) school culture with a learning focus and a teacher collaboration focus (Leithwood & Jantzi, 2005; Shatzer, 2009). Table 1 presents a brief summary of the transformational leadership discussed here.

### **Comprehensive Instructional Leadership: An Integrated Approach**

Although commonalities exist between instructional and transformational leadership, important distinctions also exist between them (Hallinger, 2003). Hallinger (2003) compared the intersections between instructional and transformational leadership and identified three important differences. These include top–down or bottom–up approaches, first-order or second-order changes, and transactional or transformational relationships. Traditional instructional leadership delivers strong top–down directive supervision, whereas transformational leadership supplies bottom–up collaborative teacher-learning opportunities with the sharing of leadership (Hallinger, 2003). Shatzer (2009) stated the difference elegantly: "Rather than controlling from above, the principal stimulates change through the participation of the individual staff members. Thus, rather than managing people through change, transformational leadership brings about change through people" (p. 31). Traditional instructional leadership bears a transactional nature that brings about compliance as it relates to the principal's management and supervision of the instructional program (Hallinger, 2003). Transformational leadership specializes at effecting second-order changes through the collaborative culture of people committed to a common cause through distributed leadership (Hallinger, 2003; Marzano et al., 2005).

If used in tandem, distinctions between the two leadership models complement the criticisms between them and hold promise as a means for managing the demand of large-scale reform set on improving teaching and learning (Leithwood, Jantzi, Watson, Levin, & Fullan, 2004). For example, transformational leaders distribute leadership and invite the participation of teachers in school decisions, which produce positive effects on teacher satisfaction and school climate. Traditional instructional leaders limit themselves to isolated heroic efforts that generate a less desirable culture in teacher satisfaction (Hallinger, 2003). The sharp focus on teaching and learning by a strong instructional leader as compared to a transformational leader with a school reform focus exists as another historical difference (Hallinger, 2003). With regard to influence, instructional leadership embraces behaviors that limit the direct influence of the principal in monitoring the instructional program. Transformational leadership, on the other hand, extends the influence of the principal through influencing others indirectly through shared leadership (Hallinger, 2003).

In relation to contemporary views of instructional leadership, research illuminates a convergence of instructional and transformational leadership behaviors. Instructional leaders are becoming more transformational through the broad approach of sharing leadership and reculturing schools to include professional learning communities (Louis et al., 2010; Louis & Wahlstrom, 2011; Marks & Printy, 2003; Southworth, 2002). Marks and Printy (2003) stated: "When principals who are transformational leaders accept their instructional role and exercise it in collaboration with teachers, they practice an integrated form of leadership" (p. 376). In an

integrated approach to instructional leadership, principals impact teaching and learning indirectly, as well as directly, with transformational leadership behaviors, such as by developing a vision, mission, and goals and by establishing a climate for deep learning and change while maintaining a supportive work environment (Marks & Printy, 2003).

Marks & Printy (2003) selected eight elementary schools, eight middle schools, and eight high schools, all of which were nationally nominated for substantial progress in reform, to study the combined effects of instructional and transformational leadership on pedagogy, assessment, and student achievement. The results revealed that transformational leadership alone without an instructional leadership focus results in a higher probability of inadequate school leadership. When principals exercise transformational leadership in concert with shared instructional leadership, the combined effect synergistically influences school effectiveness as measured by pedagogical quality and student achievement (Marks & Printy, 2003).

Few empirical studies have examined the effects of integrated leadership (Marks & Printy, 2003). In 2011, Greb conducted a quantitative study to determine whether using instructional and transformational leadership in tandem produced a stronger effect on student achievement. The inconclusive results only showed a nonsignificant correlation between male principals who exercised integrated leadership and student achievement (Greb, 2011). When comparing the effects of instructional leadership and transformational leadership on student achievement and teacher satisfaction, the results indicated that instructional leadership elevated the impact on student achievement and teacher job satisfaction over transformational leadership (Shatzner, 2009). The study indicated that the leadership style of the principal significantly impacted teacher satisfaction, whereas, school context significantly impacted student

achievement (Shatzner, 2009). Because instructional leadership produced higher job satisfaction, one may conclude that strong instructional leaders share leadership in order to accomplish more.

With the onset of standards-based reform and accountability, shared leadership between principals and teachers to improve teaching and learning has become an urgent inevitability (Marks & Printy, 2003). Principals face increasing pressure to deliver better support to teachers as they attempt to make curricular, instructional, and assessment changes, while monitoring the progress of teaching and learning with increased accountability (Hattie, 2002; Louis et al., 2010). Thus, compared to the original concept, shared instructional leadership redefines the leadership function of the principal in comprehensive terms, which includes teachers in the process of improving the instructional program and learning environment (Lambert, 2002). Definitions of distributed, shared, or collaborative leadership vary widely depending on the person using the term (Harris et al., 2007; Lee et al., 2012).

This study focuses on instructional leadership distributed to teachers as the principal and teachers work together within collaborative structures of professional learning. In this way, comprehensive instructional leadership functions as an integrated form of school leadership that uses the tenets of both instructional and transformational leadership. Table 1 provides a brief summary of the comprehensive leadership model discussed in this literature review.

The role of the principal has transcended the role of instructional supervisor to the role of instructional collaborator in which the principal capitalizes on the leadership contributions of teachers (Lambert, 2002). Sharing instructional leadership enables principals and teachers the opportunity to work together to improve curriculum, instruction, and assessment (Louis et al., 2010; Louis & Wahlstrom, 2010; Marks & Printy, 2003; Shatzer, 2009; Southworth, 2002). In the new conceptualization of comprehensive instructional leadership, the principal operates as

the primary instructional leader in concert with other empowered teacher–leaders in the school. The principal facilitates as the leader of instructional leaders (Lambert, 2002). The principal empowers teachers to act as instructional leaders and to take responsibility for their professional learning and instructional improvements. They provide structures in which teacher collaboration can flourish (Marks & Printy, 2003; Shatzer, 2009). Teachers assist in creating cultural conditions necessary for second-order change at the classroom level (Hattie, 2002; Shatzer, 2009).

Empirical results suggest that increased influence from teachers improves schools significantly (Harris et al., 2007; Louis et al., 2010; Marks & Printy, 2003). Jackson and Marriot (2012) discovered, however, that slightly over 25% of the schools demonstrated a high level of shared leadership. The study also highlights a disturbing pattern in which schools of higher poverty demonstrate less quality school leadership (Jackson & Marriot, 2012).

Principals who share instructional leadership elicit profound pedagogical changes in teacher behavior in which teachers feel psychologically safe to innovate and to take risks (Harris et al., 2007; Lineburg, 2010; Marks & Printy, 2003). Harris et al. (2007) concluded that widely distributing leadership activities to classroom teachers improves teaching effectiveness and learning engagement. When sharing leadership, teachers are able to create a greater difference on student achievement than that of principals (Harris et al., 2007). Likewise, Lineburg (2010) discovered the direct influence of collegial interactions among teachers is more significant in changing teachers' instructional practices.

Although the previous three studies support the direct and significant influence of teacher collaboration in creating a supportive culture of instructional innovation, Louis et al. (2010) determined from their extensive research that results appear mixed regarding the impact of

distributed leadership on student achievement. Increased influence from teachers in formal decision making or leadership roles may have an insignificant impact on student achievement without the strong instructional leadership of a principal (Louis et al., 2010). The findings offer more evidence that an integrated approach of comprehensive instructional leadership with strong leadership from the principal and teachers together support increased instructional improvement and student learning (Louis et al., 2010; Louis & Wahlstrom, 2011; Marks & Printy, 2003; Southworth, 2002).

When Louis et al. (2010) conducted the most comprehensive study of its kind regarding educational leadership at all levels, three general themes emerged regarding the principalship: (a) principals are most effective when collaborating toward a clear, common focus with stakeholders; (b) principals most effectively create strong working relationships that impact student achievement when they share leadership with teachers; and (c) principals who ask for more input from stakeholders better impact the effectiveness of the school (Louis et al., 2010).

A leader who works to develop a collaborative culture within a school can foster a positive school culture that influences student achievement (Ohlson, 2009; Sahin, 2011). Schools with a positive school culture, common mission, and a school leader who establishes collaborative relationships with teachers may realize decreased suspensions, increased attendance, and ultimately increased student achievement (Ohlson, 2009). Likewise, shared instructional leadership widely distributed both formally and informally among teachers, teacher–leaders, and administrators improves the coherence and consistency within and between schools (Lee et al., 2012). Table 1 summarizes the primary similarities and differences between instructional leadership, transformational leadership, and the proposed model of comprehensive instructional leadership that integrates the strengths of the other two models.

# The Proposed Model of Comprehensive Instructional Leadership

The framework of this comprehensive set of leadership behaviors draws elements from the instructional leadership model of Hallinger (1985) and the transformational leadership model of Leithwood and Jantzi (2005). The framework also integrates the 21 Responsibilities of the School Leader identified in Marzano et al.'s meta-analysis (2005). The framework divides the model into two general categories based on the research regarding culture building. A widely supported claim within literature regarding principal leadership touts the power of the principal to impact school effectiveness and student achievement indirectly through creating a positive and productive school culture (Hallinger, 2003; Leithwood & Jantzi, 2005; Marzano et al., 2005).

The research on school culture bears a dichotomy: the principal's influence to impact the teaching and learning conditions of the classroom and to impact the collaborative learning conditions of teachers in the school (Leithwood & Jantzi, 2005). Thus, the leadership behaviors of this integrated school leadership framework likewise align with these two categories of cultures found in school leadership research—the student-learning environment and the teacher-learning environment. Moreover, the level of trust within the school culture influences the productivity of student learning and teacher learning (Bryk & Schneider, 2002; Harchar & Hyle, 1996; Louis & Wahlstrom, 2010).

The ability of principals to create a positive and productive culture implicitly and explicitly permeates all responsibilities of the school leader (Marzano et al., 2005). The culturebuilding power of the principal, which potently impacts teacher effectiveness and student achievement, inherently exists while performing all the other school leadership behaviors in concert (Hallinger, 2003; Leithwood & Jantzi, 2005; Marzano et al., 2005). For this reason, the researcher opted not to treat culture building as a stand-alone behavior in the integrated framework of comprehensive instructional leadership. The researcher makes the assumption that certain sets of leadership behaviors construct different types of culture based on Hallinger's (2003) claim that his instructional leadership behaviors construct a high-performing culture of students' learning. Similarly, the researcher assumes that the transformational leadership behaviors of this model correlate to the construction of an effective culture of professional learning with some of the transformational behaviors correlating highly to building trust in school culture.

This comprehensive leadership model sequences the leadership behaviors in the order of how a principal may logically approach using the integrated leadership behaviors most effectively to impact the culture of student learning and professional learning. Evidence suggests that the instructional leadership focused on classroom instruction with a vertical, top-down management structure is foundational for successful change (Louis et al., 2010). On the foundation of good management, transformational leadership with a laterally-distributed, bottom-up leadership structure can effect the greatest changes (Leithwood et al., 2004; Louis et al., 2010). Additionally, research suggests that the better a professional learning community collaborates with shared leadership within the school, the better the teachers will teach, and students will learn in the classroom (Louis & Wahlstrom, 2011). The model sequences the managerial leadership behaviors first and the transformational leadership behaviors last. The first two domains contain more top-down, managerial behaviors. The last two domains include more bottom-up, transformational behaviors. Because transformational approaches associate with deep, innovative changes, the latter sequence of behaviors naturally aligns with the seven responsibilities identified by Marzano et al. (2005) as essential in effecting second-order change (See Appendix B).

Even though culture creating by principals indirectly impacts student achievement,

principals can directly and indirectly impact teacher effectiveness (Hallinger, 2003; Leithwood & Jantzi 2005; Louis et al., 2010; Marzano et al., 2005). Indirectly, principals can impact teacher effectiveness through building a collaborative culture of instructional innovation and support, but directly, school leaders can impact teacher effectiveness through face-to-face interaction with individual teachers involving observation and feedback (Louis et al., 2010). In the literature, this type of traditional instructional leadership has been shown to impact student achievement, but it has been difficult for principals to execute this direct-contact leadership—primarily for two reasons: lack of time or lack of instructional expertise (Hallinger, 2003; Marks & Printy, 2003; May & Supovitz, 2011). Lack of time may be attributed to ineffective school management or to the size of the school.

Sequenced between both ends of the model, the middle domain of the comprehensive instructional leadership framework represents school leaders modeling the ideals of trustworthiness and innovation, which are executed by transformational leadership. The leadership behaviors included in the middle domain are manifested and modeled when executing all the other school leadership behaviors. Figure 1 provides an overview of the five sets of leadership behaviors within the comprehensive instructional leadership model and visually represents the organization of the model in relation to different cultures effecting different orders of change.

### Figure 1



# Visual Representation of Comprehensive Instructional Leadership Model

**Student-learning culture.** The leadership behaviors associated with the student-learning culture mainly originate from Hallinger's (1985) instructional model and require strong instructional management skills from the principal to execute (Hallinger, 2011). For the purposes of this framework, the researcher will refer to the execution of these behaviors as managerial–instructional leadership. Instructional leadership includes management decisions, school routines, and other tasks principals perform throughout the day to protect instructional time and maintain a productive student-learning environment (Marks & Printy, 2003). Hallinger (2005) performed a broad review of the literature regarding his model of instructional leadership, which

many have used the past 25 years. Many of the behaviors of this managerial–instructional leadership section were advocated by Hallinger (2005) as high priority for the evolving role of principals.

To be effective, the focus of instructional leadership must remain on teaching and learning (Cotton, 2003; Hallinger, 2005; Louis et al., 2010; Southworth, 2002). In this framework, managerial–instructional leadership sets and keeps the focus on creating a teaching and learning culture of high expectations and support (Cotton, 2003; Hallinger, 2005). Effective management of a school builds the foundation for successful change (Louis et al., 2010). School improvement efforts generate the most success when principals effectively manage the teaching and learning environment of their schools and achieve stability and consistency (Louis et al., 2010). Schools with orderly teaching and learning environments exhibit well-established processes, procedures, and policies that guide the smooth operation of the school (Bas & Yavuz, 2010; Marzano et al., 2005; McCoy, 2011). In contrast, schools that are not well managed, and which lack essential infrastructure create a void of stable conditions necessary to produce deep change (Louis et al., 2010; Marzano et al., 2005). The stable conditions provided by managerial– instructional leadership interact synergistically with transformational–instructional leadership to produce innovation and systemic improvement (Louis et al., 2010; Marzano et al., 2005).

The essential beginning of shaping the right kind of teaching and learning culture happens when the principal sets the focus of the school on quality teaching and rigorous learning through a shared vision, mission, core values and beliefs, and goals (Cotton, 2003; Hallinger, 2005, 2011; Marzano et al., 2005). Related to Bass's concept of inspirational motivation (1985), Leithwood and Jantzi (2005) identified promoting a vision for the school as a necessary transformational leadership behavior to set the direction of a school. With the current and complex landscape of school leadership, evidence supports that a compelling vision must be shared among stakeholders, and must focus on providing challenging learning through quality teaching (Cotton, 2003; Hallinger, 2005; Hattie, 2002; Lambert, 2002; Leithwood & Jantzi, 2005). A shared vision that inspires all stakeholders involves the principal stimulating active collaboration among all stakeholders, including parents, teachers, students, support staff, administrators, and even local community members, toward setting and achieving a common vision (Bas & Yavuz, 2010; Cotton, 2003; Doyle & Rice, 2002; Hallinger, 2005; Harchar & Hyle, 1996; Louis et al., 2010).

Establishing school-wide, specific student achievement goals and keeping them in the minds of teachers and students embodies another necessary ingredient of setting and keeping the focus on teaching and learning (Hallinger, 2005; Waters & McNulty, 2005). The instructional leadership behavior that involves framing and communicating school-wide goals works to define the school mission and to set the direction of the school (Hallinger, 2005, 2011; Leithwood & Jantzi, 2005). In alignment with the school's shared vision of rigorous learning and quality teaching, the principal establishes specific, school-wide student achievement goals and plans that become the focal point of the school's collective efforts (Hallinger, 2005, 2011; Leithwood & Jantzi, 2005; Marzano et al., 2005). Principals who frequently communicate and promote high, achievable goals enhance the effectiveness of the school (McCoy 2011). The school leadership behavior of communicating and reinforcing high-performance expectations for teachers and students importantly contributes as well to setting the focus on teaching and learning (Leithwood & Jantzi, 2005). Providing clear expectations outlining standard operating policies, procedures, and practices that staff and students follow creates an orderly teaching and learning environment within the structure necessary for school effectiveness (Marzano et al., 2005; McCoy, 2011).

Effective principals generate a collective commitment to a shared vision, to school achievement goals, and to performance expectations through participative decision making (Fullan, 2008; Kotter, 1996; Senge, 1990). To accomplish this, principals must allow staff input on important decisions (Cotton, 2003; Hallinger, 2005; Marzano et al., 2005). When shaping school policies and procedures, school leaders can use school leadership teams for decision making or to provide opportunities for interested staff members to participate (Cotton, 2003; Hallinger, 2005; Marzano et al., 2005). Continuous improvement planning that positively impacts school effectiveness involves a wide range of stakeholders (Cotton, 2003; Hallinger, 2005; Marzano et al., 2005).

Hallinger (2011) asserted that four, school leadership behaviors develop a schoollearning environment and build a positive culture and climate focused on teaching and learning. These four behaviors involve protecting instructional time, maintaining high visibility, providing incentives for successful learning, and providing recognition of effective teaching. Within Hallinger's (1985) instructional leadership model, these four behaviors function to maintain the focus on teaching and learning. The instructional leadership behavior of protecting instructional time is manifested when the principal minimizes interruptions that impact the teaching and learning environment of the classroom and shields teachers from internal or external distractions (Cotton, 2003; Hallinger, 1985, 2011; Marzano et al., 2005). Therefore, school-wide disciplinary procedures that allow the removal of disruptive students support this (Cotton, 2003; Marzano et al., 2005). Along with protecting instructional time, effective school leaders maximize the instructional efforts of the teachers and instructional resources of the school by aligning work to the vision and goals of the school (Cotton, 2003; Marzano et al., 2005). Effective school leaders maintain high visibility by visiting classrooms as a daily routine and by interacting with teachers and students in hallways throughout the school as well as with other stakeholders when opportunities arise (Cotton, 2003; Hallinger, 2005; Marzano et al., 2005). High visibility provides school leaders with frequent opportunities to model desired values and beliefs (Hallinger, 2005). Maintaining high visibility also provides frequent communication with stakeholders. Visibility and communication in the community outside the school additionally allow principals to advocate for their schools to parents, to the central office, and to the community at large (Cotton, 2003; Marzano et al., 2005). The more visible principals make themselves, the more face-to-face opportunities they will have to build relationships with stakeholders on a personal level (Cotton, 2003).

Leithwood and Jantzi (2005) identified the transactional leadership behavior of actively managing by exception as applicable to the school setting. Actively managing by exception occurs when leaders attempt to actively prevent anticipated problems, whereas passive management by exception ensues when leaders reactively deal with problems as they come (Bass, 1984). Marzano et al. (2005) identified the anticipatory leadership skill of accurately predicting what may go wrong throughout the day as having a significant impact on student achievement. Principals must proactively problem solve (Bass, 1984; Leithwood & Jantzi, 2005; Marzano et al., 2005; Robinson, 2010).

Ineffective principals underuse contingent rewards to recognize teachers in K–12 education, but effective school leaders use rewards to recognize the hard work or exemplary results of teachers (Cotton, 2003; Hallinger, 1984, 2005; Marzano et al., 2005). Research also supports that celebratory recognition of teachers and students positively impacts student achievement (Cotton, 2003; Hallinger, 2005; Marzano et al., 2005). Effective use of a rewards system reinforces the mission and goals of the school (Hallinger, 2005). Moreover, the instructional leadership behavior of monitoring student progress initiates the collaboration between school leaders with staff to recognize when efforts fall short of expectations, and when to intervene if necessary (Cotton, 2003; Hallinger, 2005; Hattie, 2002). With shared leadership, collaborative teams of school professionals can determine when to intervene as they focus on student progress and growth as measured with quality assessment data (Hallinger, 2005; McCoy 2011).

High-trust culture. Relationships of trust need to be noted as key indicators of a wellfunctioning, productive organization (Bryk & Schneider, 2002; Harchar & Hyle, 1996; Louis & Wahlstrom, 2010). Louis and Wahlstrom stated: "Neither organizational learning nor professional community can endure without trust—between teachers and administrators" (2010, p. 55). Trust supports achievement of students through healthy professional communities with quality collaboration focused on improving teaching (Bryk & Schneider, 2002; Harchar & Hyle, 1996; Louis & Wahlstrom, 2010).

Principals should establish a culture of high trust in which the teaching and learning conditions promote teacher satisfaction and commitment, because a positive atmosphere has a constructive impact on student learning (Cotton, 2003; Leithwood & Jantzi, 2005; Louis & Wahlstrom, 2011; McCarley, 2012; Shatzer, 2009). In the culture of a school, how adults in the school treat each other and work together affects the learning environment, as well as how students experience school (Cotton, 2003; Louis & Wahlstrom, 2011). School culture starts with the leadership and behavior of adults (Cotton, 2003; Louis & Wahlstrom, 2011). Students in high-trust schools feel safe and secure, enjoy teachers who care about them, and experience greater challenge in learning (Bryk & Schneider, 2002; Vodicka, 2006).

Trust impacts collective commitment and satisfaction (Bryk & Schneider, 2002; Robinson, 2010). Trust and collective commitment wane, for example, when teachers observe others reducing their hard work to improve instruction or failing to fulfill agreed-upon commitments (Robinson, 2010). Principals who display trustworthy leadership create a transparent climate that influences teachers to display openness (Butler, 2012). When principals extend trust, and when teachers feel trusted, it serves as a significant predictor for risk-taking behaviors and a better attitude about innovation (Bryk & Schneider, 2002; Louis et al., 2010).

Bass's (1985) conceptualization of idealized influence offers insight in how leaders model the ideal of trustworthiness to create innovation. Trust-inspiring leaders influence others by example. They lead with caring communication, confidence, conviction, and competence, which promote trust, respect, admiration, and even emulation (Bass, 1985; Vodicka, 2006). When applying the concept of idealized influence to the educational setting, principals model the ideal of trustworthiness to formulate a high-trust culture of commitment and satisfaction (Cotton, 2003; Leithwood & Jantzi, 2005). This type of idealized influence from the principal leads teachers along a psychological safe path of questioning the status quo and taking innovative risks (Leithwood & Jantzi, 2005; Marzano et al., 2005)

High visibility of the principal enhances the modeling of desired ideals, values, and beliefs (Hallinger, 2005). Moreover, when principals make themselves highly accessible to teachers who may have concerns, such effort maintains lines of open and caring communication to resolve issues that may otherwise inhibit the effectiveness of the school (Cotton, 2003; Marzano et al., 2005). Relationships of trust built on the foundation of communication between the principal and teachers prove especially important in successfully facing challenges as a unified staff (Marzano et al., 2005). Regarding change, effective transformational leaders model the leadership ideal of optimism (Cotton, 2003; Marzano et al., 2005). Marzano et al. (2005) described the leadership behaviors of optimism as follows: (a) school leaders inspire teachers to accomplish challenging goals; (b) school leaders drive momentum behind school-wide changes; and (c) school leaders project confidence in the ability of teachers to accomplish goals. Bass's work (1985) also acknowledged the importance of a leader in maintaining a positive attitude of personal confidence and confidence in others.

Strong instructional leaders demonstrate conviction in what they believe regarding teaching and learning (Cotton, 2003; Marzano et al., 2005; Youngs & King, 2002). Marzano et al. (2005) contended that school leaders and teachers perform their best when they act according to strong beliefs related to their own efficacy and the efficacy of others, particularly when it comes to teaching and learning. Youngs and King (2002) asserted that principals can change school conditions and instructional practices through strong beliefs. School leaders' beliefs can effect change in school climates and teaching practices when they are related to teaching and learning and learning, expressed with oral and written language, and manifested through leadership behaviors (Marzano et al., 2005; Youngs & King, 2002).

Principals' knowledge and expertise in instructional matters prove invaluable to strong instructional leadership in which they are able to provide quality guidance to teachers regarding teaching and learning (Hallinger, 2003, 2005, 2011; Marks & Printy, 2003; Marzano et al., 2005; Shatzer, 2009; Stewart, 2006). Without this deep knowledge base of instructional matters, principals find hands-on facilitation and modeling of intellectual stimulation difficult (Hallinger, 2003, 2005, 2011; Hattie, 2002; Marks & Printy, 2003; Marzano et al., 2005; Shatzer, 2009; Stewart, 2006)). The principals' instructional competence enlarges the teachers' confidence and trust in their abilities to lead the school (Bryk & Schneider, 2002; Vodicka, 2006).

Marzano et al. (2005) discussed the importance of modeling the ideals of innovation related to the principal functioning as a change agent. School leaders who act as change agents must model values and practices that support the instructional innovations of teachers, such as empowering teachers to make decisions to innovate and protecting those who experiment from risks (Bass, 1985; Cotton, 2003; Marzano et al., 2005). School leaders create a psychologically safe culture of innovation by suspending judgments and giving teachers permission to make errors in the name of innovation (Cotton, 2003; Fullan, 2008). School leaders acting as change agents model questioning the status quo, thinking divergently, and taking risks to make changes. Implementing collaborative changes with teachers may push them to the edge of their competence and cause conflict (Cotton, 2003; Marzano et al., 2005). School leaders bear a tall order to be flexible and comfortable with managing change while remaining open to conflict and differing opinions that may arise (Marzano et al., 2005).

**Teacher-learning culture.** The tenets of transformational leadership help to foster a collaborative, teacher-learning culture of innovation and support (Hallinger, 2005; Leithwood & Jantzi, 2005; Marzano et al., 2005). The school leadership behaviors of the teacher-learning culture mainly comprise Leithwood's set of transformational leadership behaviors (Leithwood & Jantzi, 2005). For the purposes of this framework, the researcher refers to the group of these behaviors as transformational–instructional leadership because they focus on making innovative, second-order improvements at the classroom level. Transformational–instructional leadership behaviors and job satisfaction in which schools experience high levels of collective teacher efficacy and

organizational learning (Leithwood & Jantzi, 2005). Professional learning cultures of collaboration and support strongly correlate with instructional innovations, leading to quality teaching and improved practices in the classroom (Cotton, 2003; Hallinger, 2005; Leithwood & Jantzi, 2005; Louis & Wahlstrom, 2011).

In reference to the power of professional culture, Louis and Wahlstrom (2011) stated: It's [culture's] a critical element of effective leadership, and there is increasing evidence from both private and public organizations that organizations with stronger cultures are more adaptable, have higher member motivation and commitment, are more cooperative and better able to resolve conflicts, have greater capacity for innovation, and are more effective in achieving their goals. (p. 52)

With the investigation of vast approaches that create collaborative cultures, the role of the school leader in setting the focus and building the culture emerges as the hinge of success in instituting collaboration throughout the school (Beatty, 2007; Cotton, 2003; Hallinger, 2005; Leithwood & Jantzi, 2005; Marzano et al., 2005).

Stimulating collaboration for instructional innovation at the classroom level relates directly to Bass's (1985) concept of intellectual stimulation. Bass's original work (1985) described intellectual stimulation as the encouragement of innovation and divergent thinking, the empowerment of others to challenge norms and take risks, and the climate-creation of creativity. Instructional leadership that incorporates elements of transformational leadership inspires a collective commitment along a common direction of instructional innovation toward excellence (Hallinger, 2005; Hattie, 2002; Leithwood & Jantzi, 2005; Louis & Wahlstrom, 2011).

Within standards-based education, one of the first steps required to build a desired culture of professional learning that stimulates instructional innovation begins with principals utilizing

challenging standards to set and communicate an instructional vision of what quality teaching looks like with goals and expectations to direct efforts toward deep change and innovation (Cotton, 2003; Hallinger 2005, Marzano et al., 2005). Effective school leaders formulate a vision to harness collaborative power and create cohesion and coherence through the change process (Cotton, 2003; Harchar & Hyle, 1996; Marzano et al., 2005).

Once an instructional vision is in place, school leaders are prepared to stimulate instructional innovation with cutting-edge teaching practices presented, modeled, and discussed as continuous training throughout the school year (Hattie, 2002; Marzano et al., 2005). Principals of high-achieving schools promote professional development on a regular basis (Cotton, 2003; Hallinger, 1985, 2003, 2005; Leithwood & Jantzi, 2005; Minus, 2010; Southworth, 2003). One of the most important resources for teacher effectiveness is professional development (Marzano et al., 2005).

Next, effective school leaders create a collaborative culture of professional learning in which teachers are empowered as instructional leaders to challenge the status quo and innovate with curriculum, instruction, and assessment (Cotton, 2003; Doyle & Rice, 2002; Leithwood & Jantzi, 2005). Most importantly, the professional learning culture of the school must focus on improving the craft of teaching (Hallinger, 2005; Hattie, 2002). The content knowledge of innovative stimulation should focus on pedagogy, which includes knowing how to organize curriculum for lesson delivery and how to assess learning; principals need to know how to teach teachers how to teach (Hattie, 2002; Marzano et al., 2005; Southworth, 2002).

Innovative stimulation through principal and teacher collaboration provides a natural opportunity to distribute instructional leadership to teachers (Marks & Printy, 2003). Shared instructional leadership comprises principal and teacher collaborative leadership that produces

professional learning necessary for innovation and deep change (Printy & Marks, 2006). Thus, innovative stimulation should be a collaborative process directed by the principal with a shared delivery from teachers within the school (Lambert, 2002). Principals who treat teachers in their schools as entrusted instructional leaders empower them to question the status quo, make decisions, and take risks through experimentation with instructional innovations (Cotton, 2003; Lambert, 2002; Printy & Marks, 2006).

Effective collaboration within professional learning communities abounds when school conditions include the structures and routines that solidify how teachers work together while pursing continuous professional learning and innovation (Cotton, 2003; Printy & Marks, 2006). Principals participating and modeling in collaborative structures stimulates innovative productivity at the classroom level (Cotton, 2003; Lambert, 2003). Teaching practices change the most, however, through the direct influence of teacher-to-teacher collaboration and collegial interactions (Lineburg, 2010). Collaboration between teachers provides the basis for professional learning and teacher leadership (Printy & Marks, 2006). Thus, effective school leaders establish and maintain collaborative structures within the schools (Harchar & Hyle, 1996; Printy & Marks, 2006).

Louis and Wahlstrom (2011) discovered two courses of action that stifle innovative stimulation: (a) when principals share instructional leadership with teachers, yet cease to stimulate new ideas and (b) when principals stimulate new ideas, yet refuse to provide collaborative structures with the extension of opportunities for teachers to lead. These two behaviors negatively affect the willingness of teachers to work hard for change (Louis & Wahlstrom, 2010). Principals can counteract these negative effects by stimulating new ideas and extending trust to teachers to innovate through shared leadership. When Hattie's (1999) metaanalysis compared effect sizes on student learning, most innovations introduced in schools to improve achievement exhibited .4 of a standard deviation. School cultures conducive to deep implementation of innovations yield a high likelihood of positive gains in student achievement (Hallinger, 2005; Hattie, 1999; Marzano et al., 2005).

Highly collaborative cultures focused on improving instruction increase the number of instructional conversations as a by-product (Cotton, 2003; Hattie, 2002). The facilitative instructional leadership of the principal acts as an indispensable catalyst that stimulates instructional conversations between teachers, which, in turn, produces changes in instruction (Cotton, 2003; Louis & Wahlstrom, 2010). Principals need to create an expectation where the focus of professional conversations in the school revolves around quality teaching and challenging learning (Hattie, 2002). Within the context of shared instructional leadership, teachers talking to teachers about instruction elicit innovation and instructional improvement (Cotton, 2003; Printy & Marks, 2006). Instructional conversations only have value if they occur around the intent of advancing the school's instructional and learning goals (Hattie, 2002).

Reflections on instructional practice take place more frequently as well due to professional collaboration and community learning (Prytula, 2012). Learning in context, which leads to deep change, requires more reflection on the part of teachers and school leaders (Louis & Wahlstrom, 2011). With the difficulty of taking time to reflect during the daily grind of teaching, principals must provide routine opportunities in the school to reflect on instruction and student learning on a regular basis (Louis & Wahlstrom 2011).

The foundation of this work lies in the instructional leadership behavior of the principal taking a hands-on approach with teachers to coordinate and manage the curricular, instructional, and assessment program of the school (Hallinger, 1985, 2005; Marzano et al., 2005). This work,

however, bears the greatest impact when principals act as strong instructional leaders and facilitate the instructional leadership of teachers and when principals collaborate in concert with teachers as full professional partners (Marzano, Waters, & McNulty, 2005; Printy & Marks, 2006). Teachers are more likely to engage deeply in professional learning when teachers and principals find a doable balance between maintaining the status quo regarding what works and making changes for innovation (Fullan, 2008; Printy & Marks, 2006).

Principals develop teacher effectiveness and support instructional innovation by creating a supportive culture equal to the instructional improvement expected by the principal (Cotton, 2003; Hallinger, 2005; Leithwood & Jantzi, 2005). This set of school leadership behaviors has transformational roots in Bass's (1985) individualized consideration and support. Bass's (1985) conceptualization of individualized consideration included descriptions such as understanding individual needs, developing individuals with coaching, providing opportunities for growth, and establishing a supportive climate.

To develop teacher effectiveness and support innovation, principals need to monitor and evaluate instruction with feedback to teachers (Cotton, 2003; Hallinger, 1985; Marzano et al., 2005). Deep instructional knowledge of the principal allows for greater specific feedback to teachers (Marzano et al., 2005; Robinson, 2010). Hattie (1999) concluded that the most important factor to improving performance is feedback. This includes observing lesson plans and lesson delivery in the classroom, evaluating teaching, and monitoring school practices that have potential impact on student achievement (Marzano et al., 2005). As Marzano et al. (2005) pointed out, however, quality feedback does not happen by accident. Frequent feedback must happen by design. Principals who design a systematic way to provide a wide range of continuous feedback effectively develop teachers and support instructional innovation (Cotton, 2003; Hallinger, 2005; Marzano et al., 2005).

In addition to the support principals offer through observation and feedback as teachers change instructional practices, effective school leaders expand their influence to develop teachers through follow-up training performed by instructional coaches (Cotton, 2003; Hallinger & Murphy, 1985, Hallinger, 2003, 2005; Leithwood & Jantzi, 2005). Instructional coaching aimed at ongoing support to implement new teaching practices yields significant changes in the instructional behavior of teachers.

Knight and Cornett (2008) reported from a study conducted by Knight (2007) that 85% of teachers who attended a summer professional development implemented the strategies upon receiving instructional coaching. With no control group for this study, the results indicated a 70% increase over the results of a similar study conducted by Showers (1983), which indicated the rate of implementation as 15% without follow-up coaching. Thus, follow-up coaching by school leaders and instructional coaches substantiates the importance of continued support in making instructional changes at the classroom level. Principals can also promote professional growth by requiring and discussing professional growth plans with teachers (Nunnelley, Whaley, Mull, & Hott, 2003).

#### **Growth-Based Measurement of Student Achievement**

Zvoch and Stevens (2006) examined the reliability of using status models and growth models to measure student achievement. Among the four different models, the results of the study suggest that the only reliable and nonbiased model for evaluating school performance was measuring growth achievement in student learning averaged across cohorts (Zvoch & Stevens, 2006). Status achievement in student learning closely relates to student demographics, and student background is the most significant predictor of status achievement (Francera & Bliss, 2011; Leithwood & Jantzi, 2000; Zvoch & Stevens, 2006). Leithwood and Jantzi (2000) noted that the majority of educational effects studies attribute socioeconomic status as the dominant factor impacting the variation found in the student achievement levels of schools. Thus, one may assume a more nonbiased measurement of effective school leadership unrelated to student background would be a growth-based model across cohorts.

Value-added models use statistical growth models to evaluate teacher effectiveness on student learning and are being used by some states and districts in teacher evaluation and principal evaluation (Di Carlo, 2012; Lipscomb et al., 2010). The value-added models attempt to measure the growth of learning for each student during the current school year to assess teacher and principal effectiveness and aim at controlling measurable factors, which are out of the teacher's control, like student background and school characteristics (Di Carlo, 2012; Lipscomb et al., 2010). The principal's effectiveness is determined in most cases by averaging the growth of the teachers in the school (Lipscomb et al., 2010). Lipscomb et al. (2010) suggested that multiple years of data showing the principal's improvement may be an effective way to evaluate principals.

Gordon, Kane, and Staiger (2006) conducted a study to find out the correlation between socioeconomic background and student background (student race–ethnicity, gender, participation in federal lunch-subsidy programs, and English language learner status). When using a growth model that measured student achievement compared to baseline data, the researcher concluded that as long as growth measures use student baseline test scores, it makes only a modest difference whether or not there were additional controls for demographic characteristics and family background (Gordon et al., 2006).

### Conclusion

With the conditions of education ever changing and becoming more complex with each passing decade, the changing complexity continues to demand more sophisticated models of school leadership that can systemically improve teaching and learning throughout schools (Hallinger, 2003, 2005, 2011; Leithwood et al., 1999; Marks & Printy, 2003; Shatzer, 2009; Stewart, 2006). Since 1978, school leadership employed by principals has evolved from a top-down list of managerial behaviors focused on teaching and learning, to a bottom–up set of collaborative behaviors that foster innovation and school reform, and more recently to an integrated approach of shared instructional leadership necessary to implement standards-based education (Hallinger, 2003, 2005, 2011; Marks & Printy, 2003; Shatzer, 2009; Stewart, 2006). Instructional leadership and transformational leadership are well-known leadership models in educational research. Recent evidence suggests a convergence of these two leadership styles in which principals are integrating both approaches to lead instructional improvement (Hallinger, 2003, 2005, 2011; Marks & Printy, 2009; Stewart, 2006).

In the literature review, the researcher discovered that Hallinger's (1985) instructional leadership model and Leithwood's (2005) transformational leadership model effectively measure the school leadership of principals and are more commonly used than any other school leadership model (Hallinger 2003, 2005, 2011; Louis et al., 2010; Marks & Printy, 2003; Shatzer, 2009; Stewart, 2006). These two prolific models of school leadership have been used by principals for different reasons at different times throughout the history of education (Hallinger, 2003; Leithwood & Jantzi, 2005; Marks & Printy, 2003; Shatzer, 2009). Both models have been demonstrated to have a significant impact on student–learning outcomes (Robinson et al., 2008). Each of the two models has strengths and weaknesses, and when used in tandem, the

comprehensiveness of the approach seems to synergistically complement the leadership of the principal (Leithwood et al., 2004).

From these widely accepted models of school leadership, the researcher developed a new integrated model intended to measure the effectiveness of school leadership in a more comprehensive way that captures the current approach of principals. The researcher augmented and substantiated the new comprehensive model with the 21 research-based leadership habits identified in Marzano's (2005) meta-analysis. The integrated approach of instructional leadership and transformational leadership inherently serves as a comprehensive model of school leadership that fully represents the current research in the literature (Lambert, 2002; Louis et al., 2010; Printy & Marks, 2006).

#### **Chapter III**

### **Design and Methodology**

### Introduction

This mixed-methods study explored the effects of middle school and junior high school leadership on growth in student learning. The newly developed comprehensive instructional leadership model designed for this study measured the school leadership exerted by middle school and junior high principals who consented to involve their schools in the research project. The survey instrument consisted of 21 leadership behaviors and three cultures (see Appendix A). The researcher compiled the 21 leadership behaviors primarily from two leadership models instructional leadership and transformational leadership. Both models are common in educational research and hold claim as effective measures of school leadership. Hallinger's (1985) model measured instructional leadership and Letihwood's (2005) model measured transformational leadership. Marzano's meta-analysis (2005) served as a research reference to augment and substantiate the compilation of leadership behaviors included in the comprehensive model developed for this study and identified which leadership practices are most likely to create second-order change (see Appendix B).

This study sought to answer two primary research questions with the same web-based survey (see Appendix A). Quantitative methodology addressed the first question and qualitative methodology addressed the second question. The researcher worded the respective research questions as follows.

1. What differences exist in leadership behaviors between middle school principals who lead schools of high growth in learning and those who lead schools of low growth?

2. What specific actions performed by principals align with effective leadership behaviors found in research?

Moreover, the study will consider four hypotheses:

- 1. (H<sub>1</sub>) Effective middle school principals practice an integrated approach of instructional leadership behaviors and transformational leadership behaviors.
- (H<sub>2</sub>) Managerial–instructional leadership behaviors correlate highly to the building of strong student-learning cultures of high expectations and support.
- (H<sub>3</sub>) Transformational–instructional leadership behaviors correlate highly to the creation of a collaborative, teacher-learning culture of innovation and support and a high-trust culture of commitment and satisfaction.
- 4. (H<sub>4</sub>) Modeling the ideals of trustworthiness and innovation correlates highly to a high-trust culture of commitment and satisfaction.

This research study considered the leadership of middle school and junior high school principals of high-growth schools and low-growth schools to determine if any differences existed between them. As an interest, the researcher endeavored to determine if there was a difference in the type of culture middle school principals build between schools of high growth and low growth. Principals predominately build two types of culture, which entails the conditions that impact the teaching and learning environment of the classroom and the conditions that affect the professional learning community of the school (Hallinger, 2003; Leithwood & Jantzi, 2005; Marzano et al., 2005). Likewise, principals build or hinder a high-trust culture of commitment and satisfaction (Bryk & Schneider, 2002; Harchar & Hyle, 1996; Louis & Wahlstrom, 2010).

Hallinger's (1985) instructional leadership model possesses a top–down, managerial focus on improving teaching and learning at the classroom level. Leithwood's (2005) set of

transformational leadership behaviors includes teachers in the transformational process of school reform though professional learning and shared leadership within collaborative structures. Quantitative methods determined if middle school principals exhibited differences in the integration of both models. The qualitative methods of this mixed-methods study identified specific actions that principals perform to execute the school leadership behaviors found in the research.

### **Research Design**

The first research question asked: What differences exist in leadership behaviors between middle school principals who lead schools of high growth in learning and those who lead schools of low growth? The design of a 5-point Likert survey, which measured the building principals' level of use of the 21 research-based school leadership behaviors and creation of three cultures listed in the comprehensive leadership model, answered the first research question (see Appendix A). Principals exude the most influence on learning through the culture they foster in the classrooms and throughout the school (Hallinger, 2003; Leithwood & Jantzi, 2005; Marzano et al., 2005).

The second research question examined: What specific actions performed by principals align with effective leadership behaviors found in research? The design of the open-ended survey items answered the second research question. The directions of the survey requested the participants to give at least one example of a specific action principals exhibited for each leadership behavior. The open-ended survey items corresponded to each leadership behavior, excluding the three culture-building behaviors. The survey collected other descriptive data related to the participants' position, the number of years in the position, and the name of their schools. Eight subject-matter experts validated the content of the survey prior to administering the survey to the principals and teachers of each school taking part in the study (see Table 2). The scale-level content validity index (S-CVI) as calculated with the mean of the item-level content validity indexes (I-CVI) was .98. This was well over .90, which was the acceptable rate for S-CVI (Polit & Beck, 2006). The mean of the expert proportional relevance (EPR) was also .98. Table 2 displays that only one expert disagreed with three items. All the other items of the survey were rated as valid content for the function of school leadership with a 3 or 4 on a 4-point relevance scale.

Table 2

Item	Exp.	Total	Item							
	1	2	3	4	5	6	7	8	Agreed	CVI
1	Х	Х	Х	Х	Х	Х	Х	Х	8	1.00
2	Х		Х	Х	Х	Х	Х	Х	7	.875
3	Х	Х	Х	Х	Х	Х	Х	Х	8	1.00
4	Х	Х	Х	Х	Х	Х	Х	Х	8	1.00
5	Х	Х	Х	Х	Х	Х	Х	Х	8	1.00
6	Х	Х	Х	Х	Х	Х	Х	Х	8	1.00
7	Х	Х	Х	Х	Х	Х	Х	Х	8	1.00
8	Х	Х	Х	Х	Х	Х	Х	Х	8	1.00
9	Х	Х	Х	Х	Х	Х	Х	Х	8	1.00
10	Х	Х	Х	Х	Х	Х	Х	Х	8	1.00
11	Х	Х	Х	Х	Х	Х	Х	Х	8	1.00
12	Х	Х	Х	Х	Х	Х	Х	Х	8	1.00
13	Х	Х	Х	Х	Х	Х	Х	Х	8	.875
14	Х		Х	Х	Х	Х	Х	Х	7	1.00
15	Х	Х	Х	Х	Х	Х	Х	Х	8	1.00
16	Х	Х	Х	Х	Х	Х	Х	Х	8	1.00
17	Х	Х	Х	Х	Х	Х	Х	Х	8	1.00
18	Х	Х	Х	Х	Х	Х	Х	Х	8	1.00
19	Х		Х	Х	Х	Х	Х	Х	7	.875
20	Х	Х	Х	Х	Х	Х	Х	Х	8	1.00
21	Х	Х	Х	Х	Х	Х	Х	Х	8	1.00
22	Х	Х	Х	Х	Х	Х	Х	Х	8	1.00
23	Х	Х	Х	Х	Х	Х	Х	Х	8	1.00
24	Х	Х	Х	Х	Х	Х	Х	Х	8	1.00
									Mean	00
									*I-CVI	.98
EPR	1.00	.875	1.00	1.00	1.00	1.00	1.00	1.00	Mean *EPR	.98
									onal Relev	

Content Validity Ratings of Eight Experts for the Comprehensive Instructional Leadership

Survey

\*I-CVI: Item-level Content Validity Index. \*EPR: Expert Proportional Relevance

All eight of the experts served in the Twin Falls School District, in Twin Falls, Idaho, and represented a population of female and male principals with high and moderate years of experience at every level. Table 3 lists the names of the eight principalship experts, their years of experience as head principal, and their most recent level of experience.

#### Table 3

Name of Principal	Years as Experience	Most Recent Level
John Hyatt	34	Middle School
Ben Allen	20	High School
L.T. Erickson	3	Middle School
Shari Cowger	3	Elementary School
Jim Brown	5	Middle School
Brady Dickinson	4	High School
Roger Keller	5	High School
Beth Olmstead	14	Elementary School

Eight Principalship Experts Who Validated Content of the Survey

## **Participants**

Using Idaho's new accountability system called the Five Star Rating System, the researcher selected the participating schools. The Five Star Rating System of schools in Idaho consists primarily of a growth model. Idaho received approval to use annual growth measures in their accountability system through a federal waiver (Idaho Department of Education, 2013). The accountability system blends proficiency-rate achievement (25%) with annual-growth achievement (75%). Fifty percent of the annual-growth achievement includes the entire student body, 25% of the annual-growth achievement consists of a combined super-subpopulation of all at-risk students and minorities. Additionally, at the high school level, the system includes postsecondary preparedness measures with a differing percentage for annual-growth achievement (Idaho Department of Education, 2013).

A school may earn 100 points for their star rating: 5-star schools earn between 83 and 100 star points; 4-star schools receive between 67 and 82 star points; 3-star schools reach between 54 and 66 star points; 2-star schools attain between 40 to 53 star points; and 1-star schools achieve between 0 to 39 star points. A middle school, junior high school, intermediate school, or elementary school may earn 25 star points for the category Achievement, which is

based on proficiency. These schools may also earn 50 star points for the category Achievement to Growth, which is established on the annual growth of all students. Lastly, these schools may earn 25 star points for the category At-Risk Achievement to Growth, which is centered on the annual growth of an at-risk subpopulation of students. The at-risk subgroup includes free and reduced lunch eligible students, students with disabilities, limited English proficient students, and minority students. Minority students may encompass American Indian/Alaskan Native, Asian, Black/African American, Hawaiian/Other Pacific Islander, Hispanic/Latino, or two or more races. High schools possess a differing point system that entails an additional category of postsecondary preparedness.

Using this two-year average, the combined 75 star points based solely on Achievement to Growth, with all students 50 points and at-risk subpopulations 25 points, were used to identify five middle schools of high growth and five middle schools of low growth. Some of the identified schools included junior high schools with a grade configuration of seven through nine, but middle schools and junior high schools with student populations fewer than 250 students were not selected. No elementary schools, intermediate schools, or high schools were selected.

In the state of Idaho, 100 traditional middle schools and junior high schools exist. At the high-growth end, 24 of these schools exhibited a two-year average greater than or equal to 55 star points within the combined Achievement to Growth category. At the low-growth end, 11 of these schools attained a two-year average equal to or less than 39 star points in the same combined category. All the schools selected for this study came from these two pools of possible participants. Student enrollment size and the willingness of the school to participate in the research project ultimately determined the participants from these two pools of schools. The researcher did not contact all of the possible participants to attain the desired sample size.

To calculate star points, the Idaho Department of Education used summative data from Idaho's accountability test called the Idaho Standards Achievement Test (ISAT) in reading, language usage, and mathematics. For each Achievement to Growth category separately, a school may earn up to five growth points for reading, up to five growth points for language usage, and up to five growth points for mathematics. The percentage of total growth points earned out of 15 points (reading, language usage, and mathematics all together) is then applied to calculate the star points for each Achievement to Growth category separately. For example, in the Achievement to Growth category for all students, if a school earned three growth points for reading, three growth points for language usage, and three growth points for mathematics, the school would earn nine total growth points out of 15 points possible, or 60%. Applying 60% to the 50 star points possible awards the school 30 star points for that particular category.

To assign growth points for each Growth to Achievement category, the five-point scale uses a statistical calculation called student growth percentile (SGP). This normative measure of individual student growth uses whole numbers from one to 99. This measure uses ISAT test scores over two or more grade levels and aims to answers the question: "What is the percentile rank of a student compared to all Idaho students with similar score histories?" For example, a student who earns an SGP of 70 grew as much or more than 70 percent of his or her academic peers. The more a student grows from spring to spring as compared to his academic peers who had similar score histories, the student will earn a higher SGP.

The median SGP is calculated by school for each subject for both the total student body and the at-risk subgroup. The median SGP describes the typical growth status of students in school for all three of the particular subjects separately and for each Growth to Achievement category separately. The median SGP is calculated by rank ordering all the students in a school
or at-risk subgroup and selecting the middle number of the median. At this point, two five-point scales may be used to determine the level of growth points earned by a school for each Achievement to Growth category, depending on whether the median SGP of a school or the at-risk subgroup is larger or smaller than the adequate growth percentile (AGP).

AGP is a criterion-referenced measure relative to proficiency. The measure uses whole numbers from one to 99 to show how far away a student is from proficiency. The measure answers the question: How much growth would a student have to make to reach proficiency in three years or by tenth grade? If the SGP is greater than or equal to the AGP, the growth points are awarded to a school on a more favorable scale (see Figure 2). Conversely, if the SGP is less than the AGP, growth points are given to a school on a less favorable scale (see Figure 2). Figure 2 displays the dichotomy of scales that are used to award growth points depending on whether SGP is greater than or equal to AGP.

Figure 2

Adequate Growth Flowchart to Determine Points for Meidan SGP Ranking



Source: Idaho Department of Education (2013)

For this study, the researcher selected schools with student populations greater than 250 students based on the two-year average of star points for growth. Table 4 shows the schools that took part in this study with their corresponding star rating, approximate star points for growth, and approximate student enrollment.

## Table 4

School	Star Rating	Star Rating	Star Points for Growth	Number of
Name	2011-2012	2012-2013	2-Year Average	Students
А	4	5	65–69	250–599
В	5	4	60–64	250–599
С	4	4	60–64	600-1200
D	4	4	55–59	600–1200
E	4	4	55–59	600–1200
F	3	2	35–39	250–599
G	2	3	35–39	600–1200
Н	2	3	30–34	600-1200
Ι	2	2	30–34	250–599
J	2	2	30–34	600–1200

Middle School Participants Overview

The total number of participants were 14 principals, 34 mathematics teachers, and 30 language arts teachers (N = 78). The total number of participants for the high-growth schools equaled 45 (N = 45) and for the low-growth school equaled 32 (N = 32). Only principals, vice principals, language arts teachers, and mathematics teachers who worked at the selected schools during the 2012–2013 school year were allowed to take the survey. Newly hired administrators and teachers at the selected schools were not as familiar with the leadership of the school and were excluded. The researcher selected math teachers and language arts teachers and excluded

the others because they are more heavily involved with the standards-based movement and state testing.

After receiving written consent from a district administrator, the researcher recruited the principals of each selected school through phone calls and e-mails requesting them and their returning language arts and mathematics teachers to participate in a comprehensive school leadership survey (see Appendix A). The identity of the schools and the individuals who participated were kept confidential, and the survey responses of the participants were anonymous. Table 5 displays the selected schools, the number of categorized participants, and number of participants with six years or more at the same position.

Table 5

School	Administrators	L. A. Teachers	Math Teachers	6+ Years in Position
А	1	3	3	4 of 7
В	1	3	2	4 of 6
С	2	8	4	11 of 14
D	3	4	2	4 of 9
E	1	3	5	7 of 9
F	0	3	3	6 of 6
G	2	5	7	8 of 14
Н	3	0	5	4 of 8
Ι	1	1	2	3 of 4
Total	14	30	33	52 of 77

Surveys Returned by School

## **Data Collection**

The researcher directly explained the purpose of the survey to district-level administrators and principals to encourage a high return rate from the targeted sample of teachers and principals. The researcher collected the data during the months of September, October, and November at the beginning of the new school year of 2013–2014. The data collection required three months, which allowed time to encourage a high return rate with frequent communication to the principals who consented for their schools to participate. The comprehensive instructional leadership survey based on a five-point Likert scale was developed from 24 research-based school leadership behaviors to measure the differences in leadership styles. The survey also required the participants to indicate specific actions within open-ended survey items that were performed by the principal or other school leaders to accomplish the research-based school leadership behaviors. The survey also collected some simple descriptive data of the principal and the mathematics and language arts teachers of each school, such as the nature and length of their current positions. The survey was electronically administered through the web-based tool Qualtrics, which ensured higher security for confidentiality and anonymity.

The extent to which the survey accurately measured effective school leadership determined the validity of the survey. A trusted colleague of the researcher took the survey to examine its face validity. Eight experienced and well-respected principals at various levels within the Twin Falls School District validated the content of the survey to ensure the relevance of the individual questions in the questionnaire to the school leadership function (see Table2). Following the content validation process, the researcher scheduled times with the participating principals, mathematics teachers, and language arts teachers to take the survey.

Only the primary researcher had access to the study's survey data. The identities of the schools remained separate from the data with identity coding. The researcher password protected all the collected survey data and master lists of identity codes on his secure work computer. Identities of the participating schools did not and will not appear in any reports or publications

that resulted from this study. The survey did not collect the individual identities in order to safeguard anonymity.

## **Analytical Methods**

Creswell (2008) advocated that "the use of both quantitative and qualitative methods, in combination, provides a better understanding of the research problem and questions than either method by itself' (p. 552). Thus, the first research question of this study was designed to be addressed with quantitative analysis. The researcher determined the reliability of survey instrument using the Cronbach's alpha reliability statistic with the IBM Statistical Package for the Social Sciences (SPSS, 2013). Cronbach's alpha can measure the internal consistency of a survey that has only been administered once (Tanner, 2012). The first research question directed the analytic methods to determine the difference between both sample groups. Thus, the researcher performed the descriptive statistics of minimum, maximum, mean, and standard deviation to compare the groups. In addition, the survey results of both groups were analyzed with a Mann–Whitney U test to determine any significant differences in school leadership between high-growth schools and low-growth schools. The Mann-Whitney U test is appropriate to analyze two independent groups for significant differences when both sets of data are interval, but normality of data or the equivalent variability of both groups is questionable (Tanner, 2012). The researcher performed an item analysis for each survey item to further determine differences.

The collection of qualitative data with open survey items answered the second research question of this study. With the use of thematic coding, a list of most frequent themes related to each research-based leadership behavior emerged from the qualitative data (Marshall & Rossman, 2011). The researcher used Microsoft Excel to organize the open-ended responses of the participants into themes that emerged for each leadership behavior and included the

frequency of similar responses from high-growth schools and low-growth schools. Qualitative data was not collected for any of the culture-related survey items, because the researcher assumed that the related sets of leadership behaviors in the survey create the different school cultures. In this study, the Pearson correlation quantified the relationship between each leadership behavior and three of the different cultures considered in this study. The Pearson correlation measures the linear relationship between two variables (Tanner, 2012).

## Limitations

Marshall and Rossman (2011) clarified that no research project is perfectly designed and all studies have limitations. The purpose of identifying limitations here is to state upfront what the study will be and what it will not be and how the results of the study can and cannot contribute to existing research and understanding.

To make this study manageable with limited resources, the researcher included a small sample of schools in Idaho—many of which are in rural communities. Using the Idaho Star Rating System for schools, the researcher selected the participating middle schools and junior high schools based on annual growth as measured with the ISAT. Idaho's student enrollment predominantly consisted of Caucasian students with the main minority being Hispanic. The sample population included some Title I schools. Title I schools entailed a significant portion of students on free or reduced lunch. As pointed out in the literature review of this study, however, growth models are the most nonbiased way to measure student achievement, which limits the effect of student background (Di Carlo, 2012; Gordan et al., 2006; Lipscomb et al., 2010; Zvoch & Stevens, 2006).

Because the selection of the schools was based on a growth model rather than traditional proficiency rates, the researcher assumed the effects of demographic inequalities and student

background were minimized. The researcher assumed that all students could exhibit adequate annual growth regardless of their current proficiency level, which was affected by socioeconomic and other background factors. Evidence from recent growth models in pilot states suggests that traditional low-performing schools based on proficiency rankings can outperform traditional high-performing schools when compared using a growth model (Parry, 2010).

The quantitative generalizability may not be strong because of the small sampling, but the applicability of the research to middle schools with student populations of 250–1000 will be strong. Because of the research base behind the comprehensive instructional leadership survey and model, the findings of the study have value in extending the existing research of school leadership. Especially, the qualitative clarification of successful instructional leadership practices adds valuable understanding to the existing research. The evidence base of specific leadership practices within different leadership models is not as robust and needs be clarified by more studies and further evidence (Louis et al., 2010; Southworth, 2002).

### **Chapter IV**

## Results

## Introduction

This chapter presents the analysis of data collected with the comprehensive instructional leadership survey (see Appendix A). The study endeavored to answer one research question with quantitative data from the survey and the other research question with qualitative data from the survey. Using the quantitative data, the researcher investigated: What differences exist in leadership behaviors between middle school principals who lead schools of high growth in learning and those who lead schools of low growth? Using the qualitative data, the researcher examined: What specific actions performed by principals align with effective leadership behaviors found in research?

## **Overview of Analysis**

Eight administrators, 21 language arts teachers, and 16 mathematics teachers completed the comprehensive instructional leadership survey from the five selected schools of high growth (see Table 5). Only four schools participated from the five selected schools of low growth. Six principals, nine language arts teachers, and 17 mathematics teachers completed the comprehensive instructional leadership survey from the low-growth pool of schools (see Table 5). The analysis included all of the completed surveys, except one that lacked a school name. Without a school name, the researcher could not determine if the survey came from a low-growth or high-growth school. Table 5 summarizes the nominal data collected by the survey.

The researcher determined the reliability of this study's survey instrument using the Cronbach's alpha reliability statistic with the IBM Statistical Package for the Social Sciences (SPSS, 2013). Also known as the coefficients alpha test, Cronbach's alpha can measure the internal consistency of a survey that has only been administered once (Tanner, 2012). The researcher included the responses of all 77 participants for all 24 survey items in the calculation. The calculation of Cronbach's alpha for the comprehensive instructional leadership survey was .966. George and Mallery (2003) provided a scale to rank values generated by a Cronbach's alpha test (see Table 6). According to the Cronbach's alpha scale of internal consistency, the comprehensive instructional leadership survey in this study displayed an excellent level of reliability.

Table 6

Cronbach's Alpha Scale

> 0.9—Excellent
> 0.8—Good
> 0.7—Acceptable
> 0.6—Questionable
< 0.5—Unacceptable</p>

Using IBM SPSS (2013), the researcher performed descriptive statistics to compare the collective responses for each 5-point, Likert-scale item of the survey between the high-growth schools and low-growth schools. The descriptive statistics included the minimum, maximum, mean, and standard deviation displayed in Table 7. The high-growth schools consistently demonstrated a slightly higher mean than low-growth schools. Seventeen items out of the 24 exuded a higher mean than low-growth schools. As a regular trend, low-growth schools exhibited a slightly larger standard deviation than the high-growth schools. Among the 24 items, 19 demonstrated a greater standard deviation for low-growth schools. Both categories of schools, however, exhibited a close similarity with these descriptive statistics.

## Comparison of Descriptive Statistics

High-Growth Schools							L	ow-Grov	wth Schoo	ols
					Std.					Std.
Item	N	Min.	Max.	Mean	Deviation	N	Min.	Max.	Mean	Deviation
1	45	2.00	5.00	4.3333	.70711	32	1.00	5.00	4.0313	.96668
2	45	2.00	5.00	4.2444	.67942	32	1.00	5.00	4.0938	.85607
3	45	2.00	5.00	4.3556	.64511	32	1.00	5.00	3.9688	.86077
4	45	2.00	5.00	4.1333	.75679	32	1.00	5.00	4.0000	.87988
5	44	2.00	5.00	4.1591	.74532	32	1.00	5.00	3.9375	.84003
6	44	1.00	5.00	3.9091	1.11685	31	1.00	5.00	3.9677	.98265
7	45	1.00	5.00	3.5111	1.12052	32	1.00	5.00	3.5313	.98323
8	45	1.00	5.00	3.8444	.92823	32	1.00	5.00	3.7500	.91581
9	44	1.00	5.00	3.8864	.72227	32	1.00	5.00	3.7500	1.01600
10	43	2.00	5.00	4.0233	.85880	32	1.00	5.00	3.9063	.99545
11	43	1.00	5.00	4.0930	.94652	31	1.00	5.00	3.8065	.94585
12	45	1.00	5.00	3.6222	1.07215	32	1.00	5.00	3.8750	1.12880
13	45	1.00	5.00	3.9556	.97597	32	1.00	5.00	3.8438	1.11034
14	45	1.00	5.00	4.1111	.77525	32	1.00	5.00	4.0313	.93272
15	44	3.00	5.00	4.2045	.59375	32	1.00	5.00	4.0625	.91361
16	45	2.00	5.00	3.7111	.78689	32	1.00	5.00	3.7500	1.01600
17	45	1.00	5.00	3.8222	1.00654	32	1.00	5.00	3.7813	1.00753
18	43	1.00	5.00	3.9302	.70357	31	1.00	5.00	3.9677	.91228
19	44	1.00	5.00	3.9091	.83019	31	1.00	5.00	3.6774	.90874
20	44	1.00	5.00	3.9318	1.02066	32	1.00	5.00	3.6875	1.06066
21	43	1.00	5.00	3.7674	1.01974	32	1.00	5.00	3.8750	1.07012
22	43	1.00	5.00	3.2326	1.15134	32	1.00	5.00	3.5000	1.04727
23	45	1.00	5.00	3.9778	.91674	32	1.00	5.00	3.5000	1.13592
24	43	1.00	5.00	3.4884	1.16235	32	1.00	5.00	3.4688	1.19094

To determine if any of the Likert-scale survey items significantly differed, the researcher performed a Mann–Whitney *U* test with IBM SPSS (2013). The calculated test values were considered for each survey item with two-tailed significance and one-tailed significance as displayed in Table 8. Significance of the two-tailed variety compared two groups in which the direction of the treatment was not considered (Tanner, 2012). Significance of the one-tailed variety considered the direction of the treatment based on prior research (Tanner, 2012). Because

the comprehensive instructional leadership survey was based on the research of effective school leadership that impacts student learning, the researcher expected that high-growth schools would produce greater survey scores than low-growth schools. The high-growth schools of this study exhibited greater scores on survey item 3 with one-tailed significance (p = .014) and on survey item 23 with one-tailed significance (p = .031). Survey item 11 indicated that high-growth schools barely showed one-tailed significance (p = .054). Significance was recognized at p > .05. Table 8

Mann–Whitney U Test

	Two-Tailed	One-Tailed	_
	Significance	Significance	
Item	p > .05	p > .05	
1	.152	.076	
2	.471	.235	
3	.029	.014	
4	.549	.275	
5	.166	.083	
6	.991	.495	
7	.969	.485	
8	.549	.274	
9	.851	.426	
10	.731	.365	
11	.107	.054	
12	.161	.081	
13	.739	.370	
14	.895	.447	
15	.761	.380	
16	.513	.257	
17	.818	.409	
18	.427	.214	
19	.302	.151	
20	.277	.139	
21	.509	.254	
22	.309	.155	
23	.063	.031	
24	.955	.478	

For each survey item presented in this section, the researcher displays an item analysis comparing both types of schools and the qualitative themes with their associated frequency to assist in answering the two primary research questions of the study. For each survey item presented, the researcher shows the difference between high-growth schools and low-growth schools in terms of one-tailed significance as calculated with the Mann–Whitney *U* test.

## Survey Item 1: Creates a student-learning culture of high expectations and support.

This survey used three items to measure three different cultures. Survey item 1 related to the student-learning culture. Table 9 indicates high-growth schools show more favorable results in creating a student-learning culture of high expectations and support than do low-growth schools. The results, however, did not significantly differ (p = .076). Qualitative data was not collected for any of the culture-related survey items, because the researcher assumed that the related sets of leadership behaviors in the survey contributed to the creation of different cultures. Compared to the other leadership behaviors, item 1 exhibited the second highest mean for high-growth schools (see Table 7).

Table 9

High-Growth Schools			Low-Gro	Low-Growth Schools			
Frequency Percent				Frequency	Percent		
Strongly Disagree	0	0	Strongly Disagree	2	6.3		
Disagree	1	2.2	Disagree	0	0		
Neutral	3	6.7	Neutral	2	6.3		
Agree	21	46.7	Agree	19	59.4		
Strongly Agree	20	44.4	Strongly Agree	9	28.1		
Total	45	100.0	Total	32	100.0		

Item Analysis for Survey Item 1

Survey Item 2: Develops and advocates a school mission and vision with associated beliefs and values focused on quality teaching and rigorous learning. Table 10 shows the survey results for item 2 and indicates that high-growth schools rate themselves slightly higher than do low-growth schools but not significantly higher (p = .235). In comparison to all the other leadership behaviors on the survey, item 2 exuded the third highest mean for high-growth schools and the first highest mean for low-growth schools (see Table 7).

## Table 10

## Item Analysis for Survey Item 2

High-Gro	wth Schools		Low-Growth Schools			
	Frequency	Percent		Frequency	Percent	
Strongly Disagree	0	0	Strongly Disagree	1	3.1	
Disagree	2	4.4	Disagree	1	3.1	
Neutral	0	0	Neutral	1	3.1	
Agree	28	62.2	Agree	20	62.5	
Strongly Agree	15	33.3	Strongly Agree	9	28.1	
Total	45	100.0	Total	32	100.0	

As indicated by Table 11, 26 responses out of 58 responses stated a specific focus of the school's principal related to teaching and learning. Additional top themes that materialized included communicating the mission and vision of the school at regular meetings and using the school mission and vision to guide decisions and actions.

Table 11

Themes and Frequency for Survey Item 2

Themes	Frequency
A specific focus was stated by respondent	26
Mission and vision communicated regularly at meetings	9
Mission and vision utilized to guide decisions and actions	8
Stakeholder involvement in developing mission and vision	5
Annually revisits mission and vision with staff	5
*Mission and vision posted around school	3
*Mission and vision was developed	2
*High-growth schools only	Total 58

## Survey Item 3: Establishes and promotes specific school-wide goals focused on high

performance in student learning. Table 12 shows that survey item 3 manifested much higher

results for high-growth schools than low-growth schools. In fact, item 3 boasted the most significant difference (p = .014) between high-growth schools and low-growth schools. Item 3 also possessed the highest mean of all the items for high-growth schools (see Table 7).

## Table 12

High-Growth Schools			L	Low-Growth Schools		
	Frequency	Percent		Frequency	Percent	
1.00	0	0	1.00	1	3.1	
2.00	1	2.2	2.00	1	3.1	
3.00	1	2.2	3.00	3	9.4	
4.00	24	53.3	4.00	20	62.5	
5.00	19	42.2	5.00	7	21.9	
Total	45	100.0	Total	32	100.0	

## Item Analysis for Survey Item 3

Table 13 displays frequent themes that emerged for survey item 3. The listed themes represented specific leadership behaviors that school leaders perform to establish and promote specific, school-wide goals focused on student achievement. The frequency of responses for each theme was fairly balanced between high-growth schools and low-growth schools except for the most frequent theme: a goal of focus was stated by respondent. Among the 17 responses, two responses originated from low-growth schools with regard to a goal of focus mentioned by a respondent. Table 13 identifies principals continually revisiting goals and principals using state assessments to make specific goals for student achievement as other top themes.

Themes and Frequency for Survey Item 3

Themes	Frequency
A goal of focus was stated by respondent	17
Goals are continually revisited	11
Specific goals set using state assessments	10
Collection of progress data/evidence	8
Leadership team makes school-wide goals	8
Specific goals set using common assessments	8
*Beginning of the year consensus	3
**Goals are unknown or dictated by state/district	2
*High-growth schools only/**Low-growth schools only	Total 67

Survey Item 4: Communicates and reinforces high-performance expectations for

teachers and students focused on teaching and learning. In relation to survey item 4, Table 14 reveals the same pattern of high-growth schools demonstrating slightly more favorable results on the item analysis. Accordingly, the mean was also slightly higher for high-growth schools (see Table 7). A significant difference, however, did not exist between the high-growth and low-growth schools with this survey item (p = .275).

Table 14

High-Growth Schools				Low-Growth Schools		
	Frequency	Percent		Frequency	Percent	
1.00	0	0	1.00	1	3.1	
2.00	2	4.4	2.00	1	3.1	
3.00	4	8.9	3.00	3	9.4	
4.00	25	55.6	4.00	19	59.4	
5.00	14	31.1	5.00	8	25.0	
Total	45	100.0	Total	32	100.0	

Item Analysis for Survey Item 4

Table 15 summarizes various ways school leaders communicated high-performance expectations to their teachers and students. The most frequent theme entailed a specific expectation of focus stated by the respondents. Additional top themes included expectations communicated via oral communication, the evaluation tool, and the use of data. Although the

frequency was low, only high-growth schools were indicated as having inadequate

communication with low expectations.

Table 15

## Themes and Frequency for Survey Item 4

Themes	Frequency
A specific expectation of focus was stated by respondent	13
Expectations communicated through oral communication	9
Expectations communicated through evaluation tool	8
Expectations communicated through data use	7
Expectations communicated through writing	5
Expectations communicated through teacher collaboration	4
Adequate communication/high expectations	4
*Inadequate communication/low expectations	4
**Expectations communicated through training	3
*High-growth schools only/**Low-growth schools only	Total 57

## Survey Item 5: Provides and enforces school policies, procedures, and practices that

are focused on quality teaching and rigorous learning. In relation to survey item 5, Table 16

presents results that insignificantly favor high-growth schools over low-growth schools (p =

.083). Accordingly, high-growth schools possessed a slightly higher mean (see Table 7).

Table 16

Item Analysis for Survey Item 5

High-Growth Schools			Low-Growth Schools		
	Frequency Percent			Frequency	Percent
1.00	0	0	1.00	1	3.1
2.00	0	0	2.00	1	3.1
3.00	3	6.7	3.00	3	9.4
4.00	28	62.2	4.00	21	65.6
5.00	13	28.9	5.00	6	18.8
Total	44	97.8	Total	32	100.0
Left Blank	1	2.2			
Total	45	100.0			

Table 17 lists the themes that emerged regarding how principals provide and enforce policies, procedures, and practices focused on teaching and learning. A specific practice of focus emerged as the most frequent theme. Other top themes included consistent enforcement of procedures and communicating procedures at staff meetings.

Table 17

Themes an	d Frequency	for Survey	Item 5
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Themes	Frequency
Specific practice of focus mentioned by respondent	16
Consistent enforcement	10
Communicated at staff meetings	6
Inconsistent enforcement	5
Communicated in weekly bulletin/newsletter	4
Communicated in observation/evaluation/surveys	4
Communicated with reminders	3
Communicated in teacher collaboration	2
Communicated in handbooks	2
**Communicated at staff development	1
**Low-growth schools only	Total 53

# Survey Item 6: Utilizes a representative leadership team of staff members to set the focus on the continuous improvement of teaching and learning and provides opportunities for staff input. The item analysis of Table 18 displays minute differences between both categories of schools, but item 6 was one of seven items in which low-growth schools exuded a higher mean than high-growth schools (see Table 7). No significant difference, however, existed in how low-growth schools utilized representative leadership for school improvement as compared to high-growth schools (p = .495).

High-Growth Schools		Low-	Low-Growth Schools		
	Frequency	Percent		Frequency	Percent
1.00	2	4.4	1.00	1	3.1
2.00	4	8.9	2.00	2	6.3
3.00	5	11.1	3.00	3	9.4
4.00	18	40.0	4.00	16	50.0
5.00	15	33.3	5.00	9	28.1
Total	44	97.8	Total	31	96.9
Left Blank	1	2.2	Left Blank	1	3.1
Total	45	100.0	Total	32	100.0

Item Analysis for Survey Item 6

Table 19 summarizes the themes and the frequency of responses relating to how principals shared leadership with teachers to set the focus of school improvement and seek input from staff. The most frequent themes revealed that principals involved teachers in school leadership teams and other collaborative teams focused on improving teaching and learning, such as Response to Intervention teams. Additionally, principals used department leaders to play a role in improving instruction and elicit input from the collective staff. Only high-growth schools received responses indicating that principals did not use representative leadership.

Table 19

Themes	Frequency
School leadership team leads improvement efforts	24
Collaborative teams lead improvement efforts	14
Department leaders lead improvement efforts	9
Leadership team elicits input from staff	9
*No representative leadership	4
Leadership team lacks communication to staff	3
District opportunities to lead improvement efforts	1
*High-growth schools only	Total 64

Survey Item 7: Protects teachers from distractions and maximizes instructional time and resources for quality teaching and rigorous learning. Table 20 exhibits a close similarity between high-growth schools and low-growth schools as shown with the item analysis of survey item 7. Among the survey items, high-growth schools and low-growth schools displayed their third lowest mean for item 7 (see Table 7). This is the second item in which low-growth schools recorded a higher mean than high-growth schools. The difference, however, between both types of schools was not significant (p = .485).

## Table 20

High-Growth Schools		Lov	v-Growth Schools	8	
	Frequency	Percent		Frequency	Percent
1.00	2	4.4	1.00	2	6.3
2.00	8	17.8	2.00	2	6.3
3.00	8	17.8	3.00	8	25.0
4.00	19	42.2	4.00	17	53.1
5.00	8	17.8	5.00	3	9.4
Total	45	100.0	Total	32	100.0

Item Analysis for Survey Item 7

In Table 21, the qualitative data of item 7 indicated that teachers experienced initiative overload as the most frequent theme distracting teachers from their focus on teaching and learning. Among the 15 responses regarding overload, 14 originated from educators within high-growth schools. The second most frequent theme indicated that administrators shielded teachers from distractions. The third most frequent theme indicated that too many teaching interruptions existed during the school day.

Themes and Frequency for Survey Item 7

Themes	Frequency
Teachers experience overload without enough time	15
Administration shields teachers from distractions	13
Too many interruptions exist	9
Instructional time kept sacred	7
Administration removes disruptive students	3
Collaboration time kept sacred	2
Scheduling maximizes time	2
*Scheduling lessened time	2
**Students spend too much time on testing	1
*High-growth schools only/**Low-growth schools only	Total 54

## Survey Item 8: Maintains high visibility and accessibility with frequent

## communication to stakeholders regarding quality teaching and rigorous learning. Table 22 presents the item analysis for item 8 of the survey. The results are slightly more favorable for high-growth schools than low-growth schools in accordance with the pattern of the survey. For item 8, high-growth schools exhibited a higher mean than did low-growth schools (see Table 7), yet the difference was not significant (p = .274).

Table 22

High-Growth Schools			Low-Growth Schools	3	
	Frequency	Percent		Frequency	Percent
1.00	1	2.2	1.00	1	3.1
2.00	4	8.9	2.00	2	6.3
3.00	5	11.1	3.00	6	18.8
4.00	26	57.8	4.00	18	56.3
5.00	9	20.0	5.00	5	15.6
Total	45	100.0	Total	32	100.0

Item Analysis for Survey Item 8

The most recurrent theme for survey item 8 showed administrators were frequently visible throughout the school during passing time and lunch time (see Table 23). Among the nine

responses, seven indicated that administrators of high-growth schools made time to be visible in classrooms. Ironically, seven responses out of nine also indicated the principals of high-growth schools were not as visible as needed due to the demand of numerous meetings. Six responses to one, principals of high-growth schools more frequently communicated to parents using a variety of modes such as websites, progress reports, mass autodialed messages via voice and text, and e-mailed newsletters.

Table 23

## Themes and Frequency for Survey Item 8

Themes	Frequency
Administration visible in hallways/lunch time	21
Administration visible in classrooms	9
Not visible as needed due meeting demands	9
Indirect communication to parents (multimodal)	7
Direct communication to parent groups	7
Accessible to individuals (open-door policy)	5
Administration visible at student performance events	3
Indirect communication with whole staff (written)	2
Administration communication to board/community	2
**Announcements to staff and students	1
**Direct communication at staff meeting	1
**Low-growth schools only	Total 67

## Survey Item 9: Maintains a keen awareness of the situational reality of the school in

## order to anticipate and prevent problems that may have a negative impact on quality

teaching and rigorous learning. Table 24 summarizes the item analysis for survey item 9.

Following the consistent pattern of the survey, similar results appeared between both categories

of schools. The mean of high-growth schools exceeded the mean of low-growth schools (see

Table 7). The difference between both categories of schools was not significant (p = .426).

High-Growth Schools			Low-Growth Schools		
	Frequency	Percent		Frequency	Percent
1.00	1	2.2	1.00	1	3.1
2.00	0	0	2.00	4	12.5
3.00	8	17.8	3.00	3	9.4
4.00	29	64.4	4.00	18	56.3
5.00	6	13.3	5.00	6	18.8
Total	44	97.8	Total	32	100.0
Left Blank	1	2.2			
Total	45	100.0			

## Item Analysis for Survey Item 9

Table 25 shows the most frequent theme as specific examples of anticipating problems and responding to them. The next most frequent theme related to school leaders not recognizing the overload concerns of the teachers or administrators not responding to the concern of overload. Principals of high-growth schools demonstrated anticipation and prevention of instructional interruptions.

Table 25

## Themes and Frequency for Survey Item 9

Themes	Frequency
Examples of anticipating and responding	11
Administration unresponsive to teacher overload concerns	8
*Administration anticipates and prevents interruptions	6
Administration aware of situational reality	4
**Administration monitors data and responds	3
Administration speaks to students to learn concerns	2
**Administration informs staff of concerns	2
*Administration monitors classrooms	1
*Administration speaks to leadership team to learn	
concerns	1
*High-growth schools only/**Low-growth schools only	Total 38

## Survey Item 10: Recognizes contributions and instructional successes of teachers

and academic achievements and growth of students. With the item analysis of survey item 10,

Table 26 displays the consistent pattern of high-growth schools exuding higher results. Thus, the mean of the results was higher for high-growth schools (see Table 7). The difference between high-growth schools and low-growth schools was not significant (p = .365).

## Table 26

High-Growth Schools			Low-Growth Schools		
	Frequency	Percent		Frequency	Percent
1.00	0	0	1.00	2	6.3
2.00	3	6.7	2.00	1	3.1
3.00	6	13.3	3.00	2	6.3
4.00	21	46.7	4.00	20	62.5
5.00	13	28.9	5.00	7	21.9
Total	43	95.6	Total	32	100.0
Left Blank	2	4.4			
Total	45	100.0			

Item Anal	lysis	for	Survey	Item	10

Table 27 displays the themes and the frequency of related responses to each theme. As the most frequent theme, teachers were publicly recognized for school and individual achievements, yet the second most frequent theme indicated that the recognition of teachers needs to be improved. Many teachers viewed that recognition and praise for teachers were not adequately widespread. A high majority of the responses related to these two themes originated from high-growth schools—nine out of 12 responses. The third most frequent theme related to students getting recognized for successes.

Themes and Frequency for Survey Item 10

Themes	Frequency
Teachers are publicly recognized for successes	16
Improvement needed for recognizing teachers	12
Students are publicly recognized for successes	10
Uses data to recognize teacher and school successes	9
Teachers feel appreciated	9
Uses incentives for teacher and student successes	3
Feedback through walk-throughs/evaluations	2
Award assemblies for teacher and student successes	2
Teachers publicly recognize teachers	2
*Improvement needed for recognizing students	1
*High-growth schools only	Total 66

## Survey Item 11: Monitors student progress in learning challenging standards and

## provides instructional interventions as necessary. In relation to survey item 11, Table 28 shows consistent results of high-growth schools outscoring low-growth schools in the item analysis. Thus, the high-growth schools boasted a higher mean (see Table 7). The difference found for item 11 between both types of schools was significant (p = .054) in that high-growth schools significantly outperformed low-growth schools in monitoring student progress and intervening as needed.

High-Growth Schools			Low-Growth Schools		
	Frequency	Percent		Frequency	Percent
1.00	1	2.2	1.00	1	3.1
2.00	3	6.7	2.00	2	6.3
3.00	2	4.4	3.00	5	15.6
4.00	22	48.9	4.00	17	53.1
5.00	15	33.3	5.00	6	18.8
Total	43	95.6	Total	31	96.9
Left Blank	2	4.4	Left Blank	1	3.1
Total	45	100.0	Total	32	100.0

## Item Analysis for Survey Item 11

Table 29 tabulates the frequency of responses for each related theme discovered in survey item 11. Within a comprehensive program, intervention teams, which meet regularly to discuss the progress of struggling students and apply necessary intervention, rated as the most frequent theme. Among the14 responses, 11 originated from high-growth schools. Other frequent themes listed in Table 29 were closely related. Ten responses indicated that schools provided an intervention period, and seven indicated that schools intervened based on data. Six of the 10 responses regarding the existence of an intervention period originated from high-growth schools. Table 29

Themes and Frequency for Survey Item 11

Themes	Frequency
Intervention teams meet regularly for struggling students	14
Intervention period exists	10
Interventions based on data	7
Struggling students are monitored for interventions	6
*Principal counsels with students not meeting standards	5
Intervention program needs improvement	4
Interventions based on grades	2
Interventions based on teacher judgment	2
*Data day for struggling students and interventions	2
Specific intervention mentioned	2
*High-growth schools only	Total 54

## Survey Item 12: Fosters a high-trust culture of commitment and satisfaction. Item

12 is a culture-related survey item. The item analysis of Table 30 indicated low-growth schools received a higher percentage of five ratings than did high-growth schools. This was one of seven survey items in which low-growth schools exhibited a higher mean than high-growth schools. Item 12 exhibited a non-significant difference (p = .081). The researcher did not collect qualitative data on this item because he assumed the five sets of leadership behaviors on the survey correlated to the creation of culture.

## Table 30

Item Analysis for Survey Item 12

High-Growth Schools			Low-Growth Schools		
	Frequency	Percent		Frequency	Percent
1.00	3	6.7	1.00	2	6.3
2.00	4	8.9	2.00	3	9.4
3.00	7	15.6	3.00	1	3.1
4.00	24	53.3	4.00	17	53.1
5.00	7	15.6	5.00	9	28.1
Total	45	100.0	Total	32	100.0

Survey Item 13: Builds and maintains relationships with teachers through personal communication and individualized concern. Table 31 summarizes the item analysis of survey item 13. The analysis revealed more favorable results for high-growth schools. Accordingly, the mean calculated higher for high-growth schools (see Table 7). The differences between the two categories of schools exhibited no significance (p = .370).

High-Growth Schools		Lo	Low-Growth Schools		
	Frequency	Percent		Frequency	Percent
1.00	2	4.4	1.00	2	6.3
2.00	2	4.4	2.00	2	6.3
3.00	4	8.9	3.00	4	12.5
4.00	25	55.6	4.00	15	46.9
5.00	12	26.7	5.00	9	28.1
Total	45	100.0	Total	32	100.0

Item Analysis for Survey Item 13

Table 32 conveys open-door communication as the most frequent theme in which school leaders build relationships with teachers. Second to that most frequent theme, teachers perceive relationships as built through personal interactions. The third most frequent theme indicated relationships with teachers could be improved through better personal communication from the principal.

Table 32

Themes and Frequency for Survey Item 13

Themes	Frequency
Relationships through open-door communication	19
Relationships through personal interactions	15
Communicative relationships could be improved	10
Communicative relationships exist	8
Relationships through written communication	4
Relationships through evaluative communication	3
Relationships through collaborative communication	2
	Total 61

Survey Item 14: Demonstrates ideals of optimism by being confident and expressing confidence in the abilities of teachers to make instructional innovations. Table 33 expresses the summarized results of the item of analysis for survey item 14, which are minutely more favorable for high-growth schools than low-growth schools. Accordingly, high-growth schools

exhibited a higher mean (see Table 7). No significant difference existed (p = .447). The mean for low-growth schools, however, was the third highest.

## Table 33

High-Growth Schools			L	ow-Growth Schools	5
	Frequency	Percent		Frequency	Percent
1.00	1	2.2	1.00	1	3.1
2.00	1	2.2	2.00	2	6.3
3.00	2	4.4	3.00	1	3.1
4.00	29	64.4	4.00	19	59.4
5.00	12	26.7	5.00	9	28.1
Total	45	100.0	Total	32	100.0

## Item Analysis for Survey Item 14

Table 34 tabulates the frequency of responses of related themes for survey item 14. The most frequent theme indicated that principals optimistically express confidence in teachers when they give teachers latitude and support to make instructional innovations. Secondarily, Table 34 shows that teachers perceived principals as school leaders who take an optimistic approach when seeking to improve teaching.

## Table 34

Themes and Frequency for Survey Item 14

Themes	Frequency
Expressed by giving teachers latitude and support	20
Optimistic approach exists	14
Optimistic approach needs improvement	6
Expressed by encouraging e-mails/comments of praise	3
**Expressed at staff meetings/professional development	2
**Expressed through new plan and goals	1
**Low-growth schools only	Total 46

## Survey Item 15: Displays strong beliefs of what effective teaching is and that all

## students can experience high growth in learning with effective teaching. Table 35 displays

the item analysis for survey item 15. In accordance with the mean (see Table 7), high-growth

schools had slightly higher results overall, but the difference was not significant (p = .380). For low-growth schools, the mean represented the second highest out of all the survey items.

## Table 35

High-Growth Schools		S	Lo	w-Growth Schools	8
	Frequency	Percent		Frequency	Percent
1.00	0	0	1.00	1	3.1
2.00	0	0	2.00	1	3.1
3.00	4	8.9	3.00	3	9.4
4.00	27	60.0	4.00	17	53.1
5.00	13	28.9	5.00	10	31.3
Total	44	97.8	Total	32	100.0
Left Blank	1	2.2			
Total	45	100.0			

## Item Analysis for Survey Item 15

As shown in Table 36, the collection of responses indicated that specific beliefs regarding effective teaching and learning as the most frequent theme. Principals communicated strong beliefs regarding teaching and learning through displaying examples and modeling effective teaching strategies. For high-growth schools only, the data for this item revealed that principals communicated beliefs regarding teaching and learning through presentations to staff and through the evaluation process.

Themes and Frequency for Survey Item 15

Themes	Frequency
Specific belief stated	15
Beliefs communicated with examples/modeling	5
*Beliefs communicated through principal's presentation	4
*Beliefs communicated through evaluations	4
*Problems with communicating beliefs	3
Beliefs communicated at staff meetings	3
**Beliefs communicated at professional development	2
**Beliefs communicated through book studies	1
*Beliefs communicated during peer observations	1
*Beliefs communicated through teachers presenting	1
*High-growth schools only/**Low-growth schools only	Total 39

## Survey Item 16: Exhibits strong expertise in curriculum, instruction and assessment

## necessary to support teachers in making instructional innovations. The item analysis of

survey item 16 in Table 37 shows a higher percentage of favorable scores for low-growth

schools compared to high-growth schools. Thus, the mean was higher for low-growth schools

(see Table 7). The difference between both categories of school for this item was not significant

(p = .257).

Table 37

Item Analysis for Survey Item 16

High-Growth Schools			Low-Growth Schools		
	Frequency	Percent		Frequency	Percent
1.00	0	0	1.00	2	6.3
2.00	3	6.7	2.00	1	3.1
3.00	13	28.9	3.00	6	18.8
4.00	23	51.1	4.00	17	53.1
5.00	6	13.3	5.00	6	18.8
Total	45	100.0	Total	32	100.0

Table 38 displays that administrators demonstrated sufficient expertise regarding curriculum, instruction, and assessment as the most frequent theme from the qualitative

responses of item 16. The next frequent theme indicated that administrators were lacking in

curricular, instructional, and assessment knowledge. For this theme, eight responses out of 11

originated from high-growth schools.

Table 38

Themes and Frequency for Survey Item 16

Themes	Frequency
Administrators exhibit sufficient expertise	17
Administrators exhibit insufficient expertise	11
Administrators attend professional development	4
Administrators exhibit expertise in evaluation/observation	4
**Administrators provide resources	3
**Administrators use book study to build expertise	1
**Low-growth schools only	Total 40

## Survey Item 17: Models ideals of innovation by questioning the status quo, taking

risks to innovate, and making it safe for teachers to take risks. Table 39 displays the item

analysis for survey item 17 and holds to the pervasive pattern of high-growth schools outscoring

low-growth schools by a slim margin with no significant difference manifested (p = .409).

Accordingly, the high-growth schools exhibited a higher mean value (see Table 7).

Table 39

	High-Growth Schools	ls Low-Growth Schools			
	Frequency	Percent		Frequency	Percent
1.00	2	4.4	1.00	1	3.1
2.00	3	6.7	2.00	3	9.4
3.00	6	13.3	3.00	5	15.6
4.00	24	53.3	4.00	16	50.0
5.00	10	22.2	5.00	7	21.9
Total	45	100.0	Total	32	100.0

Item Analysis for Survey Item 17

Table 40 summarizes the qualitative responses for survey item 17 into themes with accompanying numbers of responses for each theme. The most frequent theme by a large margin

emerged as principals providing encouragement and support to teachers for innovative risk taking. The next frequent theme revealed that principals modeled innovative thinking. Six responses indicated the opposite of the most frequent theme—principals did not provide encouragement or support to teachers for instructional risk taking.

Table 40

## Themes and Frequency for Survey Item 17

Themes	Frequency
Innovative risk taking encouraged/supported	23
Administration models innovative thinking	7
Innovative risk taking discouraged/unsupported	6
Specific innovation stated	6
*Administration doesn't model innovative thinking	1
*High-growth schools only	Total 43

## Survey Item 18: Creates a teacher-learning culture of instructional innovation and

**support.** Item 18 is a culture-related survey item. Table 41 reveals once again that a culture-related survey item did not follow the persistent pattern of high-growth schools outscoring low-growth schools. The item analysis indicated that low-growth schools received a higher percentage of five scores than high-growth schools and produced a higher mean value (see Table 7). A significant difference between the two categories of schools did not exist (p = .214). Qualitative data was not collected for the survey items dealing with culture because the researcher assumed that the five sets of leadership behaviors in the survey contributed to the creation of culture.

High-	Growth Schools	5	Low-	Growth Schools	
	Frequency	Percent		Frequency	Percent
1.00	1	2.2	1.00	1	3.1
2.00	0	0	2.00	2	6.3
3.00	6	13.3	3.00	1	3.1
4.00	30	66.7	4.00	20	62.5
5.00	6	13.3	5.00	7	21.9
Total	43	95.6	Total	31	96.9
Left Blank	2	4.4	Left Blank	1	3.1
Total	45	100.0	Total	32	100.0

Item Analysis for Survey Item 18

Survey Item 19: Uses challenging standards to set an instructional vision, goals, and

expectations that inspire and guide teachers in making instructional innovations. Table 42

shows small differences between both types of schools in the item analysis of survey item 19.

The responses calculated to a higher mean for high-growth schools (see Table 7). The difference

manifested no significance (p = .151).

Table 42

Item Analysis for Survey Item 19

High-Growth Schools		Low-C	Low-Growth Schools		
	Frequency	Percent		Frequency	Percent
1.00	1	2.2	1.00	1	3.1
2.00	1	2.2	2.00	3	9.4
3.00	8	17.8	3.00	4	12.5
4.00	25	55.6	4.00	20	62.5
5.00	9	20.0	5.00	3	9.4
Total	44	97.8	Total	31	96.9
Left Blank	1	2.2	Left Blank	1	3.1
Total	45	100.0	Total	32	100.0

Looking at the top two themes, Table 43 displays that the Common Core State Standards (CCSS) were providing a challenging instructional focus aimed at improving student learning,

and principals provided sufficient implementation guidance. Six responses indicated that

principals were not providing enough implementation guidance.

Table 43

## Themes and Frequency for Survey Item 19

Themes	Frequency
CCSS provides a challenging instructional focus	14
Implementation guidance for instructional focus exits	10
Implementation guidance for instructional focus lacks	6
*International Baccalaureate Courses provide challenge	4
Specific instructional focus mentioned	4
*Instructional focus is unclear	2
*Teachers are encouraged to try new things	2
*Advanced Placement Courses provide challenge	1
*High-growth schools only	Total 43

## Survey Item 20: Provides training for teachers to learn best practices and empowers

## them to make instructional innovations within the curriculum to improve student

performance on assessments. Table 44 depicts the persistent pattern of the survey, in which

high-growth schools outscored low-growth schools. The item analysis was more favorable for

high-growth schools, but the difference was not significant (p = .139). Accordingly, the mean for

item 20 was higher for high-growth schools as shown in Table 7.

Table 44

Item Analysis for Survey Item 20

High-Growth Schools		Low-Growth Schools			
	Frequency	Percent		Frequency	Percent
1.00	1	2.2	1.00	1	3.1
2.00	4	8.9	2.00	4	12.5
3.00	6	13.3	3.00	6	18.8
4.00	19	42.2	4.00	14	43.8
5.00	14	31.1	5.00	7	21.9
Total	44	97.8	Total	32	100.0
Left Blank	1	2.2			
Total	45	100.0			

For survey item 20, Table 45 indicates the three most frequent themes that principals

provided adequate training to teachers in three main ways: in-building training, external training,

and district training.

## Table 45

Themes and Frequency for Survey Item 20

Themes	Frequency
In-building training is provided	14
External training is provided	13
District training is provided	9
*More focused training needed	6
Resources for training is not sufficient	4
Specific training mentioned	3
Training is provided	3
No in-building training is provided	2
**Training is not connected to focus	1
*High-growth schools only/**Low-growth schools only	Total 55

## Survey Item 21: Establishes collaborative structures with shared leadership for the

## continuous professional learning of teachers and the development of curricular units with aligned assessments. Table 46 discloses another survey item out of seven in which the results are higher for low-growth schools than high-growth schools. The low-growth schools received a higher percentage of five ratings than the high-growth schools as shown in Table 46. Accordingly, the mean for low-growth schools was higher (see Table 7). No significant difference existed between the high-growth schools and low-growth schools (p = .254).

High-Growth Schools			Low-Growth Schools		
	Frequency	Percent		Frequency	Percent
1.00	2	4.4	1.00	1	3.1
2.00	4	8.9	2.00	4	12.5
3.00	4	8.9	3.00	2	6.3
4.00	25	55.6	4.00	16	50.0
5.00	8	17.8	5.00	9	28.1
Total	43	95.6	Total	32	100.0
Left Blank	2	4.4			
Total	45	100.0			

## Item Analysis for Survey Item 21

As the most common theme in Table 47, the use of weekly collaboration time was widespread between both types of schools. Among 14 responses, 11 originated from high-growth schools, indicating that high-growth schools focused their collaboration on curricular development and related issues more than low-growth schools. On the other hand, high-growth schools indicated dissatisfaction with either the amount of time or structure of their collaboration with nine responses out of 11.

Table 47

Themes and Frequency for Survey Item 21

Themes	Frequency
Collaboration time exists	16
Collaboration time exists for curricular development	14
Collaboration time/structure needs improvement	11
Specific focus of collaboration mentioned	3
Shared leadership exists	8
**Shared leaderships needs improvement	1
**Low-growth schools only	Total 53

## Survey Item 22: Takes a hands-on approach to assist teachers with developing and

## aligning standards-based curriculum and assessment that allows for instructional

innovations. Table 48 displays that low-growth schools exhibited a greater percentage of higher
marks in the item analysis of survey item 22. Accordingly, low-growth schools exuded a higher mean than high-growth schools (see Table 7). Considering the mean values, survey item 22 represented the lowest result for high-growth schools and the second lowest result for low-growth schools. For item 22, the difference between both types of schools indicated no significant difference (p = .155).

# Table 48

High-Growth Schools				Low-Growth Schools					
	Frequency	Percent		Frequency	Percent				
1.00	3	6.7	1.00	1	3.1				
2.00	9	20.0	2.00	5	15.6				
3.00	12	26.7	3.00	8	25.0				
4.00	13	28.9	4.00	13	40.6				
5.00	6	13.3	5.00	5	15.6				
Total	43	95.6	Total	32	100.0				
Left Blank	2	4.4							
Total	45	100.0							

Item Analysis for Survey Item 22

The most frequent theme in Table 49 substantiates the low mean values for survey item 22. Nineteen responses indicated that a principal's hands-on approach in curricular development and related issues was not evident. Fourteen of those 19 responses originated from high-growth schools.

Themes and Frequency for Survey Item 22

Themes	Frequency
Hands-on approach not evident	19
Hands-on approach available	6
Hands-on participation in collaboration	5
Hands-on participation in professional development	3
Hands-on help from designee	3
**Hands-on help with specific focus	2
**Hands-on feedback on lesson plans	1
**Low-growth schools only	Total 39

teachers that elicits reflection and instructional conversations related to the instructional vision, goals, and expectations. For survey item 23, Table 50 presents the item analysis of high-growth schools and low-growth schools. The difference between the two categories of schools was significant (p = .031). For low-growth schools, the mean of responses for survey item 23 was the second lowest of all the survey items (see Table 7).

Survey Item 23: Observes instruction frequently with feedback and questioning to

Table 50

Item Analysis for Survey Item 23

High-Growth Schools			Le	Low-Growth Schools				
	Frequency	Percent		Frequency	Percent			
1.00	1	2.2	1.00	1	3.1			
2.00	3	6.7	2.00	8	25.0			
3.00	4	8.9	3.00	2	6.3			
4.00	25	55.6	4.00	16	50.0			
5.00	12	26.7	5.00	5	15.6			
Total	45	100.0	Total	32	100.0			

As the most frequent theme, Table 51 indicates that principals performed frequent observations with feedback. Among 13 responses, seven originated from high-growth schools. Related to the top theme, the next frequent theme emerged as principals providing observations with feedback, but the frequency of observation was not indicated. Among 12 responses, nine

originated from high-growth schools.

Table 51

Themes and Frequency for Survey Item 23

Themes	Frequency
Frequent observations with feedback	13
Observations with feedback provided	12
Evaluative feedback provided	10
Infrequent observations with feedback	10
Concerns expressed regarding observation process	4
Evaluative feedback provided multiple times	3
	Total 52

# Survey Item 24: Provides teachers additional support to make instructional

# innovations with the assistance of an instructional coach. The item analysis of item 24

demonstrated only minute differences between high-growth schools and low-growth schools, as

shown in Table 52. The difference was not significant (p = .478). Regarding item 24, low-growth

schools exuded the lowest mean and high-growth schools the second lowest mean (see Table 7).

Table 52

High-Growth Schools				Low-Growth Schools				
	Frequency	Percent		Frequency	Percent			
1.00	4	8.9	1.00	3	9.4			
2.00	3	6.7	2.00	4	12.5			
3.00	12	26.7	3.00	5	15.6			
4.00	16	35.6	4.00	15	46.9			
5.00	8	17.8	5.00	5	15.6			
Total	43	95.6	Total	32	100.0			
Left Blank	2	4.4						
Total	45	100.0						

Item Analysis for Survey Item 23

The results summarized in Table 53 corroborate the low mean for survey item 24. The most frequent theme indicated that teachers go without assistance from instructional coaches and the second frequent theme indicated that assistance from an instructional coach occurs as needed. Table 53

### Themes and Frequency for Survey Item 24

Themes	Frequency
Assistance not received from instructional coach	18
Assistance received from instructional coach as needed	18
Assistance received from department chairs and others	6
**Assistance from instructional coach is not helpful	3
**Instructional coach leads professional development	2
Assistance received from mentors	2
**Low-growth schools only	Total 49

The rest of the results in this chapter relate to the Pearson correlation. Formally known as the Pearson product-moment correlation, the Pearson correlation measures the linear relationship between two variables (Tanner, 2012). In this study, the Pearson correlation quantified the relationship between each leadership behavior and the three different cultures considered in this study: (a) creating a student-learning culture of high expectations and support, (b) fostering a high-trust culture of satisfaction and commitment, and (c) building a teaching-learning culture of innovation and support. The Pearson correlation was performed for each school type: highgrowth schools, low-growth schools, and both types of schools combined.

As a general rule, weak correlations occur between 0 and .3; moderate correlations fall between .3 and .7; and high correlations reach above .7 (Tanner, 2012). With correlations, the range of significance changes as population samples increase (Tanner, 2012). For the sample size of high-growth schools (df = 43), the critical value of two-tailed significance equaled .304 at the .05 level (see Appendix C). For the sample size of low-growth schools (df = 30), the critical value equaled .349 at the .05 level (see Appendix C). For the combined sample size of both types of schools (df = 85), the critical value equaled .217 at the .05 level (see Appendix C). Critical values determine if a significant relationship exists. With 95% confidence (p < .05), one may determine that no relationship exists if the correlation value is less than the critical value (Siegle, 2009). According to the above critical values, the value for high correlation were set at .704 for high-growth schools, .749 for low-growth schools, and .617 for both types of schools.

Table 54 tabulates the Pearson correlations between the survey items titled *Setting the Focus on Quality Teaching and Rigorous Learning* and the three culture-related survey items. The three culture-related items included the student-learning culture, the teacher-learning culture, and the culture of trust. Table 54 also groups the correlations by types of school—high-growth schools, low-growth schools, and both types of schools together.

The set of items categorized as *Setting a Focus on Quality Teaching and Rigorous Learning* exhibited a stronger correlation with the teacher-learning culture than the other two cultures as displayed in Table 54. For both types of schools, items 2 and 5 showed a high correlation with the teaching-learning culture; only item 6 demonstrated a high correlation with the high-trust culture. For low-growth schools, each item of the set correlated highly with the teacher-learning culture; only item 6 correlated highly with the high-trust culture.

Compared to high-growth schools, each item of the low-growth schools exhibited a stronger correlation with the high-trust culture and the teacher-learning culture. The correlations between the student-learning culture and each item were similar for high-growth and low-growth schools. The correlations in Table 54 indicated that each item possessed a significant relationship with all three cultures, except item 3 lacked a significant relationship with the teacher-learning culture for high-growth schools ( $r_{xy} = .263$ ).

School Type	Culture-Related	Item	Item	Item	Item	Item	Mean
	Item	2	3	4	5	6	
High-Growth	Student-Learning	0.442	0.631	0.425	0.387	0.567	0.490
Low-Growth	Student-Learning	0.542	0.505	0.417	0.479	0.484	0.485
Both Types	Student-Learning	0.504	0.579	0.426	0.447	0.503	0.492
High-Growth	High-Trust	0.379	0.396	0.372	0.366	0.634	0.429
Low-Growth	High-Trust	0.747	0.693	0.650	0.740	*.765	0.719
Both Types	High-Trust	0.537	0.490	0.485	0.512	*.680	0.541
High-Growth	Teacher-Learning	0.430	0.263	0.374	0.387	0.487	0.388
Low-Growth	Teacher-Learning	*.816	*.834	*.792	*.896	*.780	*.824
Both Types	Teacher-Learning	*.630	0.556	0.586	*.643	0.610	0.605
Correlation is	significant at the 0 (	)5 level	(two_tail	iH* (ha	oh Corre	lation	

Correlations between Culture-Related Items and Focus-Setting Items

**Correlation is significant at the 0.05 level (two-tailed).** \*High Correlation

The next set of items—*Keeping the Focus on Quality Learning and Rigorous Learning* as shown in Table 55 displays a stronger relationship to the high-trust culture than the other cultures. Specifically, items 8 and 10 demonstrated a high correlation with the high-trust culture for both types of schools; only item 9 was highly correlated with the teacher-learning culture (see Table 55). For the low-growth schools, items 7, 9, and 10 demonstrated a high correlation with the high-trust culture; only item 8 exuded a high correlation with the teacher-learning culture.

Compared to high-growth schools, almost every item of the low-growth schools exhibited a stronger correlation with the student-learning culture, the high-trust culture, and the teacher-learning culture (see Table 55). In Table 55, every item was significantly correlated to each of the three cultures, except item 7 lacked a significant correlation with the student-learning culture for high-growth schools ( $r_{xy} = .296$ ).

School Type	Culture-Related	Itom	Itom	Itom	Itom	Itom	Moon
School Type		Item	Item	Item	Item	Item	Mean
	Item	7	8	9	10	11	
High-Growth	Student-Learning	0.296	0.462	0.348	0.419	0.312	0.367
Low-Growth	Student-Learning	0.423	0.556	0.534	0.372	0.509	0.479
Both Types	Student-Learning	0.339	0.501	0.461	0.397	0.419	0.423
High-Growth	High-Trust	0.467	0.693	0.393	0.579	0.335	0.493
Low-Growth	High-Trust	*.759	0.655	*.816	*.822	0.683	0.747
Both Types	High-Trust	0.577	*.666	0.590	*.677	0.457	0.593
High-Growth	Teacher-Learning	0.465	0.452	0.552	0.364	0.404	0.447
Low-Growth	Teacher-Learning	0.718	*.758	0.716	0.732	0.746	0.734
Both Types	Teacher-Learning	0.569	0.588	*.640	0.554	0.547	0.580
Correlation is a	ignificant at the 0.0	5 loval (	two toild	A) *Uio	h Corrol	ation	

Correlations between Culture-Related Items and Focus-Keeping Items

**Correlation is significant at the 0.05 level (two-tailed).** \*High Correlation

Table 56 displays a general pattern in which the five items classified as *Modeling Ideals of Trustworthiness and Innovation* correlated more strongly to the high-trust culture and the teacher-learning culture than the student-learning culture. For both types of schools, four of the five items comprising the trust-building items exuded high correlations with the high-trust culture; three of the five items exhibited high correlations with the teaching-learning culture. None of the items were highly correlated to the student-learning culture. Only item 15 did not correlate highly with the high-trust culture, and only item 14 and 15 did not correlate at a high level with the teaching-learning culture.

In relation to low-growth schools, four of the five items correlated highly with the hightrust culture. Only item 17 did not correlate highly to the high-trust culture. For low-growth schools, all five of the items displayed a high correlation with the teacher-learning culture. For high-growth schools, only item 13 exhibited a high correlation with the high-trust culture and only item 16 with the teaching-learning culture. In comparison to high-growth schools, low-growth schools exhibited stronger correlations between each item and the three different cultures. All five items demonstrated a significant correlation with each type of culture, except for two items. For high-growth schools, item 15 did not show a significant relationship with the student-learning culture ( $r_{xy} = .162$ ), and item 16 did not show a significant relationship with the teacher-learning culture ( $r_{xy} = .300$ ).

Table 56

School Type	Culture-Related	Item	Item	Item	Item	Item	Mean
	Item	13	14	15	16	17	
High-Growth	Student-Learning	0.318	0.345	0.340	0.300	0.309	0.322
Low-Growth	Student-Learning	0.456	0.500	0.619	0.567	0.537	0.536
Both Types	Student-Learning	0.391	0.428	0.513	0.436	0.413	0.436
High-Growth	High-Trust	*.722	0.516	0.357	0.595	0.589	0.556
Low-Growth	High-Trust	*.910	*.770	*.821	*.759	0.713	*.795
Both Types	High-Trust	*.795	*.624	0.578	*.670	*.635	*.660
High-Growth	Teacher-Learning	0.548	0.318	0.162	*.705	0.647	0.476
Low-Growth	Teacher-Learning	*.818	*.772	*.882	*.849	*.786	*.821
Both Types	Teacher-Learning	*.683	0.559	0.587	*.784	*.708	*.664

Correlations between Culture-Related Items and Trust-Building Items

Correlation is significant at the 0.05 level (two-tailed). \*High Correlation

Table 57 showcases a stronger correlation between the teacher-learning culture and survey items related to innovation-stimulating items. For both types of schools, two of the four items correlated highly with the teacher-learning culture, and only item 21correlated highly to the high-trust culture. For low-growth schools, all four items correlated highly with the teacher-learning culture; only item 21 demonstrated a high correlation with the high-trust culture.

Compared to high-growth schools, all of the items of low-growth schools except one manifested a stronger correlation with each kind of culture. Only item 20 did not display a significant relationship with each kind of culture. For high-growth schools, item 20 did not possess a significant correlation with the student-learning culture ( $r_{xy} = .256$ ) or with the high-

trust culture ( $r_{xy} = .293$ ). For low-growth schools, item 20 did not display a significant

correlation to the student-learning culture ( $r_{xy} = .324$ ).

Table 57

Correlations between Culture-Related Items and Innovation-Stimulating Items

School Type	Culture-Related Item	Item 19	Item 20	Item 21	Item 22	Mean
High-Growth	Student-Learning	0.485	0.256	0.531	0.398	0.418
Low-Growth	Student-Learning	0.535	0.324	0.409	0.462	0.433
Both Types	Student-Learning	0.519	0.303	0.448	0.390	0.415
High-Growth	High-Trust	0.481	0.293	0.669	0.506	0.487
Low-Growth	High-Trust	0.694	0.613	*.841	0.600	0.687
Both Types	High-Trust	0.554	0.416	*.746	0.550	0.567
High-Growth	Teacher-Learning	0.685	0.389	0.659	0.389	0.531
Low-Growth	Teacher-Learning	*.800	*.805	*.836	*.837	*.820
Both Types	Teacher-Learning	*.733	0.582	*.746	0.586	*.662

Correlation is significant at the 0.05 level (two-tailed). \*High Correlation

Table 58 displays one high correlation between the teaching-learning culture and the two items related to supporting innovation. For both types of schools, item 23 exhibited a high correlation with the teacher-learning culture. Thus, this set of items correlated more strongly to the teacher-learning culture than the other two cultures.

Compared to low-growth schools, high-growth schools manifested a stronger correlation between items 23 and 24 and the student-learning culture. In contrast with high-growth schools, the low-growth schools exhibited a stronger correlation between items 23 and 24 and the hightrust culture and the teacher-learning culture. For these two survey items, however, only highgrowth schools showed a significant correlation to the student-learning culture. Low-growth schools exhibited insignificant correlations between the student-learning culture and item 23 ( $r_{xy} = .279$ ) and item 24 ( $r_{xy} = .267$ ). For high-growth schools, item 24 did not exhibit a significant correlation with the teacher-learning culture ( $r_{xy} = .160$ ).

Culture-Related Item	Item 23	Item 24	Mean
Student-Learning	0.432	0.474	0.453
Student-Learning	0.279	.267	0.273
Student-Learning	0.375	0.362	0.369
High-Trust	0.523	0.331	0.427
High-Trust	0.528	0.549	0.539
High-Trust	0.480	0.428	0.454
Teacher-Learning	0.624	0.160	0.392
Teacher-Learning	0.651	0.728	0.690
Teacher-Learning	*.621	0.466	0.544
	Item Student-Learning Student-Learning Student-Learning High-Trust High-Trust High-Trust Teacher-Learning Teacher-Learning	Item23Student-Learning0.432Student-Learning0.279Student-Learning0.375High-Trust0.523High-Trust0.528High-Trust0.480Teacher-Learning0.624Teacher-Learning0.651	Item2324Student-Learning <b>0.4320.474</b> Student-Learning0.279.267Student-Learning <b>0.3750.362</b> High-Trust <b>0.5230.331</b> High-Trust <b>0.5280.549</b> High-Trust <b>0.4800.428</b> Teacher-Learning <b>0.624</b> 0.160Teacher-Learning <b>0.6510.728</b>

Correlations between Culture-Related Items and Innovation-Supporting Items

Correlation is significant at the 0.05 level (two-tailed). \*High Correlation

Table 59 presents the Pearson correlations for the model's primary sets of leadership behaviors in relation to each of the three cultures. One primary set of leadership behaviors is classified as managerial and the other set is classified as transformational. Compared to the transformational items, items 2 through 11, which represent managerial–instructional leadership, correlated slightly higher with the student-learning culture. Compared to the managerial items, items 13 through 17 and items 19 through 24, which represent transformational–instructional leadership, correlated highest with the culture of teacher-learning and the culture of high-trust (see Table 59). Transformational-instructional leadership manifests six of eleven behaviors that are highly correlated to the teacher-learning culture and five of eleven behaviors that are highly correlated to the high-trust culture. Managerial-instructional leadership exhibits three of ten behaviors that are highly correlated to the teacher-learning culture and the high-trust culture.

Managerial	2	3	4	5	6	7	8	9	10	11	Х
Student-Learning	0.50	0.58	0.43	0.45	0.50	0.34	0.50	0.46	0.40	0.42	Х
High-Trust	0.54	0.49	0.49	0.51	*.68	0.58	*.67	0.59	*.68	0.46	Х
Teacher-Learning	*.63	0.56	0.59	*.64	0.61	0.57	0.59	*.64	0.55	0.55	Х
Transformational	13	14	15	16	17	19	20	21	22	23	24
Student-Learning	0.39	0.43	0.51	0.44	0.41	0.52	0.30	0.45	0.39	0.38	0.36
High-Trust	*.80	*.62	0.58	*.67	*.64	0.55	0.42	*.75	0.55	0.48	0.43
Teacher-Learning	*.68	0.56	0.59	*.78	*.71	*.73	0.58	*.75	0.59	*.62	0.47

Correlations between Culture-Related Items and Managerial Items and Transformational Items

Correlation is significant at the 0.05 level (two-tailed). \*High Correlation

The Pearson correlations found in Table 60 display the correlation strength between the three culture-related items and the five sets of leadership behaviors. The five sets of leadership behaviors include: (a) set the focus on quality teacher and rigorous learning, (b) keep the focus on quality teaching and rigorous learning, (c) model the ideals of trustworthiness and innovation, (d) stimulate innovation and collaboration, and (e) support innovation with observation and feedback.

For both types of schools, focus-setting items 2–6, demonstrated two behaviors of five that correlated highly with the teacher-learning culture and one behavior of five that correlated highly with the high-trust culture. Focus-keeping items 7–11, manifested two behaviors of five that were highly correlated with the high-trust culture and one behavior of five that were highly correlated with teacher-learning culture. Trust-building items 13–17, exhibited four behaviors of five that displayed a high correlation with the high-trust culture and three of five that displayed a high correlation with the high-trust culture. Change-stimulating items 19–22, displayed two highly correlated items out of four with the teaching-learning culture and one highly correlated item with the high-trust culture. Change-supporting items 23–24, exhibited one highly correlated item out of two behaviors with the teacher-learning culture.

Correlations between Culture-Related Items and the Five Sets of Leadership Behaviors

Focus-Setting	Item	Item	Item	Item	Item	Mean
Behaviors	2	3	4	5	6	
Student-Learning	0.50	0.58	0.43	0.45	0.50	0.49
High-Trust	0.54	0.49	0.49	0.51	*.68	0.54
Teacher-Learning	*.63	0.56	0.59	*.64	0.61	0.61
Focus-Keeping	Item	Item	Item	Item	Item	Mean
Behaviors	7	8	9	10	11	
Student-Learning	0.34	0.50	0.46	0.40	0.42	0.42
High-Trust	0.58	*.67	0.59	*.68	0.46	0.59
Teacher-Learning	0.57	0.59	*.64	0.55	0.55	0.58
<b>Trust-Building</b>	Item	Item	Item	Item	Item	Mean
Behaviors	13	14	15	16	17	
Student-Learning	0.39	0.43	0.51	0.44	0.41	0.44
High-Trust	*.80	*.62	0.58	*.67	*.64	*.66
Teacher-Learning	*.68	0.56	0.59	*.78	*.71	*.66
Change-Stimulating	Item	Item	Item	Item		Mean
Behaviors	19	20	21	22		
Student-Learning	0.52	0.30	0.45	0.39		0.42
High-Trust	0.55	0.42	*.75	0.55		0.57
Teacher-Learning	*.73	0.58	*.75	0.59		*.66
Change-Supporting	Item	Item				Mean
Behaviors	23	24				
Student-Learning	0.38	0.36				0.37
High-Trust	0.48	0.43				0.45
Teacher-Learning	*.62	0.47				0.54

Correlation is significant at the 0.05 level (two-tailed). \*High Correlation

In conclusion, this chapter includes a presentation of all the results. With the use of descriptive statistics, the researcher calculated the differences between high-growth schools and low-growth schools as shown in Table 7. Using the Mann–Whitney *U* Test for each survey item, Table 8 presented whether any significant differences existed between both types of schools. Moreover, the researcher presented a table of results comparing both types of schools with an item analysis of each survey item. Coupled with the item analysis table, the researcher presented a table of qualitative themes with their respective frequency. At the end of this chapter, tables of

correlations displayed the quantitative relationship between leadership behaviors and the cultures created by those behaviors.

### **Chapter V**

## Discussion

This chapter reviews the significance of the study, presents a summary of the results, and proposes interpretations of the study's findings. The quantitative findings answer the following research question: What differences exist in leadership behaviors between middle school principals who lead schools of high growth in learning and those who lead schools of low growth? The qualitative findings answer the following research question: What specific actions performed by principals align with effective leadership behaviors found in research?

This chapter also addresses this study's four hypotheses:

- 1. (H<sub>1</sub>) Effective middle school principals practice an integrated approach of instructional leadership behaviors and transformational leadership behaviors.
- (H<sub>2</sub>) Managerial-instructional leadership behaviors correlate highly to the building of strong student-learning cultures of high expectations and support.
- 3. (H<sub>3</sub>) Transformational-instructional leadership behaviors correlate highly to the creation of collaborative, teacher-learning cultures of innovation and support and high-trust cultures of commitment and satisfaction.
- 4. (H<sub>4</sub>) Modeling ideals of trustworthiness and innovation correlate highly to a hightrust culture of commitment and satisfaction.

#### Significance of the Study

When conducting the literature review for this study, the researcher was unable to locate studies that considered the impact of school leadership on student achievement as measured by growth models (Hallinger, 2011; Leithwood & Jantzi, 2005). Growth achievement, rather than status achievement, minimizes the effect of student backgrounds and stands as a reliable, non-

biased means of measuring learning and quantifying the impact of an instructional program (Di Carlo, 2012; Gordon, Kane, & Staiger, 2006; Lipscomb, Teh, Gill, Chiang, & Owens, 2010; Zvoch & Stevens, 2006). Traditionally, researchers have used status achievement rather than growth achievement as the preferred student outcome to measure the impact of school leadership on student learning for quantitative studies (Hallinger, 2011; Leithwood & Jantzi, 2005). Proponents of growth models assume that all students can exhibit adequate annual growth with quality instruction—regardless of their current levels of status achievement, which is affected by socioeconomic and other background factors (Di Carlo, 2012; Gordon et al., 2006; Lipscomb et al., 2010; Zvoch & Stevens, 2006).

Since school leadership among principals serves as the most critical factor impacting student achievement, second to only to quality instruction, a compelling case for additional research exists regarding the impact of successful school leadership on academic growth (Louis et al., 2010; Markow, Macia, & Lee, 2013). Based on prior studies, researchers have developed comprehensive lists of effective leadership behaviors used in schools, like the example of Marzano's meta-analysis (2005). This researcher synthesized a comprehensive instructional leadership model from two of the most common school leadership models used in educational research to conduct new research. The new model includes instructional and transformational leadership behaviors in tandem. Therefore, the researcher assumes that the newly-developed comprehensive model of instructional leadership more accurately represents the current leadership function of principals and more effectively measures school leadership among principals (Marks & Printy, 2003).

Based on this new model, the researcher used the Comprehensive Instructional Leadership Survey to measure the differences in leadership styles, including the sharing of leadership. The new instrument also measures the differences in three different types of school cultures created by the associated leadership behaviors of principals. The principal's ability to create school culture is widely supported in the literature (Hallinger, 2003, 2005; Leithwood & Jantzi, 2005; Marzano, Waters, & McNulty, 2005). Research indicates that principals primarily influence the student-learning culture within classrooms and the teacher-learning culture within the school (Hallinger, 2005; Leithwood & Jantzi, 2005). A high-trust culture of commitment and satisfaction also originates from a healthy and productive culture of professional learning (Bryk & Schneider, 2002; Harchar & Hyle, 1996; Louis & Wahlstrom, 2010; Robinson, 2010). Additionally, the Comprehensive Instructional Leadership Survey gathered themes to clarify specific actions that practicing principals perform. The results of the study confirmed the validity and reliability of the new model of comprehensive instructional leadership.

The researcher selected the school leadership of middle school principals as a focus, since the leadership of secondary principals had been shown to be inadequate in raising student achievement when compared to that of elementary schools in the wide-scale, comprehensive research of Louis and Wahlstrom, 2010. The research indicated that this finding was primarily attributed to the ineffective efforts of secondary principals to build teacher-learning cultures of collaboration through shared leadership, which results in limited trust and lowered student achievement (Louis & Wahlstrom, 2010).

#### **Summary of Results**

This section summarizes the quantitative differences, both insignificant and significant, between high-growth middle schools and low-growth middle schools. The summarized differences answer the first primary research question: What differences exist in leadership behaviors between middle school principals who lead schools of high growth in learning, and those who lead schools of low growth? Some of the summarized differences also address the first hypothesis ( $H_1$ ). The correlation results address the remaining hypotheses:  $H_2$ ,  $H_3$ , and  $H_4$ . Rather than answer the second research question in this section with a list of specific themes performed by principals as found in chapter four, the researcher used some of the most frequent themes listed in chapter four to corroborate the results related to the highest-rated leadership behaviors and the lowest-rated leadership behaviors in the comprehensive instructional leadership model. The second research question asks: What specific actions performed by principals align with effective leadership behaviors found in research? Each qualitative theme presented in this section aligns with a specific researched-based leadership behavior in the model.

**First hypothesis.** Using the Comprehensive Instructional Leadership Survey, 21 leadership behaviors and three culture-related items measured the differences between middle school principals of high-growth schools and middle school principals of low-growth schools (see Appendix A). According to mean values of Figure 3, high-growth schools displayed higher results on 17 items, and low-growth schools sported higher results on seven items. High-growth schools executed nine of the items at a high-level (above 4.00 mean); low-growth schools performed only five items at a high level. High-growth schools underperformed on two leadership behavior, and low-growth schools underperformed on three (below 3.50 mean).

#### Figure 3

Mean Comparisons within the Comprehensive Instructional Leadership Model



The pattern of high-growth schools outperforming low-growth schools on a high majority of the leadership behaviors confirmed the first hypothesis ( $H_1$ ) which states: Effective middle school principals practice an integrated approach of instructional leadership behaviors and transformational leadership behaviors. As displayed in Figure 3, among the ten behaviors related to instructional leadership, high-growth schools outperformed low-growth school in eight of them. Among the 11 transformational behaviors, high-growth schools outperformed low-growth schools in eight. High-growth schools executed six of the ten instructional behaviors at a high level and two of the eleven transformational behaviors at a high level. Comparing both sets of leadership behaviors, the middle school principals of this study performed more instructional leadership behaviors at a high level than transformational behaviors. The ratio of highlyperformed behaviors of instructional leadership and highly performed behaviors of transformational leadership behaviors fell at 6:2 for high-growth schools and 2:2 for low-growth schools (see Figure 3). These results illustrate that the effective middle school principals approach their work with an integration of leadership behaviors to balance top-down management with bottom-up shared leadership. Additionally, the results reveal that effective middle school principals are stronger instructional supervisors than professional learning facilitators.

**Favorable differences for low-growth middle schools.** Considering the comparisons of means in Figure 3, middle school principals of low-growth schools surpassed middle school principals of high-growth schools in performing five leadership behaviors. Principals of high-growth schools do not outperform low-growth schools at utilizing representative leadership teams and providing opportunities for staff input (see Figure 3, Item 6). The difference between the two is minimal (p=.495). This leadership behavior is important because it involves stakeholders in setting the focus of school improvement and provides input opportunities for the staff, which propagates buy-in from the staff. If shared leadership were to potently impact student learning, one would expect high-growth middle schools to outperform low-growth schools in distributing leadership and decision-making, or one would expect this leadership behaviors. Instead, both types of schools exhibited a mean below the high level of 4.0 ( $\bar{x}_h$ =3.91,  $\bar{x}_1$ =3.97). Research substantially confirms, however, that when teachers are involved in leadership and decision-

making, their satisfaction increases (Cotton, 2003; Leithwood & Jantzi, 2005; Louis & Wahlstrom, 2011; McCarley, 2012; Shatzer, 2009).

Likewise, high-growth schools did not surpass low-growth schools at protecting teachers from distractions and maximizing instructional time and resources (see Figure 3, Item 7). Once again, the difference between the two types of schools is minimal (p=.485). Both types of schools struggle to protect teachers from distractions and "initiatives" overload as indicated by low means when compared to other leadership behaviors ( $\bar{x}_h$ =3.51;  $\bar{x}_1$ =3.53). As a management task, this leadership behavior does not require shared leadership, but the performance of the task convincingly influences the teaching and learning environment of the school and teacher satisfaction, commitment and even trust of the school.

Continuing with the comparisons in Figure 3, principals of high-growth schools did not outperform low-growth schools in relation to establishing collaborative structures with shared leadership for the continuous professional learning of teachers (see Figure 3, Item 21). The difference between the two types of schools is minimal (p=.254). Comparing this leadership behavior, both types of schools create collaborative structures of shared leadership above the lowest means ( $\bar{x}_h$ =3.77,  $\bar{x}_l$ =3.88). The behavior of structured teacher collaboration is the substance the teacher-learning culture. Again, however, one would expect that high-growth middle schools would outperform low-growth middle school in sharing leadership or would expect this leadership behavior to be performed at a higher level, if it profoundly impacts the student-learning culture. The positive difference of shared leadership on learning growth appears tenuous compared to the well-documented teacher satisfaction that originates from teacher collaboration and shared leadership (Cotton, 2003; Leithwood & Jantzi, 2005; Louis & Wahlstrom, 2011; McCarley, 2012; Shatzer, 2009).

Moreover, principals of high-growth schools did not best low-growth schools in exhibiting strong expertise in curriculum, instruction, and assessment; the difference between the two, however, is not significant (see Figure 3, Item 16). Compared to other leadership behaviors, the means for both types of schools fell above the lowest means ( $\bar{x}_h$ =3.71,  $\bar{x}_l$ =3.75). Lastly, lowgrowth schools outperformed high-growth schools in taking a hands-on approach to assist teachers with developing and aligning standards-based curriculum and assessment with a minimal difference (see Figure 3, Item 22). Compared to other leadership behaviors, principals taking a hands-on approach exhibited a low mean for both kinds of schools ( $\bar{x}_h$ =3.23,  $\bar{x}_l$ = 3.50). Both of these leadership behaviors are related. Principals demonstrate their expertise in curricular and instructional work through taking hands-on role of facilitation. Principals cannot directly guide and facilitate curriculum work without instructional expertise or without making their involvement a priority. The low performance of these two leadership behaviors negatively impacts professional learning and teacher satisfaction.

**Cultural differences.** The researcher designed three items within the Comprehensive Instructional Leadership Survey to measure three different kinds of cultures created by principals. Looking at Figure 3, Item 2, principals of both high-growth and low-growth schools create a strong student-learning culture of high expectations and support as indicated by higher means ( $\bar{x}_h$ =4.33,  $\bar{x}_1$ = 4.03). High growth-schools, however, create much stronger studentlearning culture with a nearly significant difference (p=.075). One would expect high-growth schools to realize and indicate that they possess a certain prowess in creating a well-managed, highly-productive culture of student learning because those schools actually achieve high results with state accountability assessments. From their collective experience of success, those highgrowth schools develop an efficacious confidence that they can produce high results in student learning.

Two of the three culture-related items, the high-trust culture and teacher-learning culture, were rated higher by low-growth schools than high-growth schools. Principals of low-growth schools outperformed principals of high-growth schools in creating a high-trust culture of satisfaction and commitment (see Figure 3, Item 12). The difference between both kinds of schools is nearly significant (p=.081). In relation to creating a high-trust culture, high-growth schools exuded a mean that is nearly the lowest of means when compared to other leadership behaviors ( $\bar{x}_h$ =3.62), and low-growth schools exhibited a higher mean of 3.88. The researcher assumed that high-growth schools would gain an advantage in raising student achievement through higher levels of teacher collaboration and shared leadership. The result of high-growth schools creating a less abundant culture of trust, satisfaction and commitment reflects the likelihood that high-growth schools do not collaborate to learn and lead professionally as they should. This likelihood exists because the involvement of teachers in learning and leading boosts teacher satisfaction. The said likelihood was reflected in the results of low-growth schools outperforming high-growth schools in creating teacher-learning. Both categories of schools manifested fairly high means compared to other leadership behaviors in regards to the teacherlearning culture ( $\bar{x}_h$ =3.93,  $\bar{x}_1$ =3.97). The difference is minimal.

**Similar leadership struggles.** Middle school principals of both high-growth and lowgrowth schools inadequately performed three common leadership behaviors. First, middle school principals of both types of schools struggle with the leadership behavior of minimizing distractions as shown by some of the lowest means (see Figure 3, Item 7). In alignment with the leadership behavior that minimizes distractions for teachers, three primary themes emerged (see Table 21). The most frequent theme for this leadership behavior indicated that teachers experienced "initiatives" overload, which distracted them from their focus on teaching and learning. Among the 15 responses regarding overload, 14 originated from educators within high-growth schools, which corroborates the finding that high-growth schools did not outperform low-growth school in protecting teachers from distractions. This may also suggest a reason educators of high-growth schools rated their high-trust culture of satisfaction and commitment lower than that of low-growth schools. The qualitative comments related to the "initiatives" overload revealed that teachers were less than satisfied with accompanying stress-laden culture of too many initiatives. Furthermore, qualitative comments painted high-growth principals as ambitious, driven leaders who push their teachers to the edge of their competence and capacity with a multiplicity of initiatives.

Secondly, principals of both categories of schools did not excel at taking a hands-on approach with curricular development as indicated by some of the lowest means (see Figure 3, Item 22). According to Table 49, the most frequent theme related to this leadership behavior indicated that a principal's hands-on approach in curricular development and instructional design was not evident. In relation to this theme, 14 of the 19 responses originated from high-growth schools. This qualitative result suggests one reason that low-growth schools outscored highgrowth schools on this leadership behavior and also suggests another contributing factor regarding why high-growth schools were rated lower in creating a high-trust culture of satisfaction and commitment. Research shows that principals who interact with teachers regarding curriculum work and instructional issues build trust (Bryk & Schneider, 2002; Harchar & Hyle, 1996; Louis & Wahlstrom, 2010). Principals who do not engage in such leadership behavior lose valuable trust-building capacity. Research also confirms that principals do not take a hands-on approach because they lack instructional expertise, or they lack the management skills to make time for the priority (Hallinger, 2003; Shatzner, 2009).

Thirdly, as indicated by the low means, principals of both types of schools fall short in providing teachers additional support with the assistance of an instructional coach (see Figure 3, Item 24). The most frequent theme related to this leadership behavior indicated that teachers did not receive assistance from instructional coaches (see Table 53). The second most frequent theme indicated that assistance from an instructional coach only occurred as needed. These results indicate that use of building-level instructional coaches is not widespread for either high-growth or low-growth middle schools. Research indicates that instructional coaches can exert a powerful impact on the deep implementation of previously-learned strategies through providing follow-up support and training to teachers (Knight & Cornett, 2008). The instructional leadership of principals could be expanded through the investment of instructional coaches. Principals of high-growth schools provide some of this support through observation and feedback, but principals of low-growth middle schools exhibited a low mean in frequently observing teachers and offering them feedback ( $\bar{x}_h$ =3.50).

**Pervasive focus on teaching and learning.** Middle school principals of high-growth schools created pervasive focus on quality teaching and rigorous learning by executing four focus-setting behaviors at a high level. Regarding the first focus-setting leadership behavior, principals of both types of schools established a robust focus on teaching and learning with a purposeful mission and vision as indicated by higher means (see Figure 3, Item 2). The most frequent theme indicates that educators were able to identify a specific focus set by the school's principal related to teaching and learning (see Table 11). The other top themes included

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communicating the mission and vision of the school at regular meetings and using the school mission and vision to guide decisions and actions.

In relation to the second focus-setting behavior of utilizing specific student achievement goals to set a concentrated focus on teaching and learning, principals of high-growth schools performed significantly better than low-growth schools (see Figure 3, Item 3). Related to this leadership behavior, the most frequent theme emerged as a goal of focus promoted by the principal (see Table 13). In relation to this top theme, only two of 17 responses originated from low-growth schools. This supports the quantitative results indicating that principals of high-growth schools create a goal-driven culture for student learning. Other top themes included principals continually revisiting goals, and principals using state assessments to make specific goals for student achievement.

With respect to the third focus-setting leadership behavior, principals of both kinds of schools effectively created a school focus through establishing high expectations for teaching and learning as shown with high means (see Figure 3, Item 4). The most frequent theme related to this leadership behavior entailed a specific expectation of focus expressed and promoted by the principal (see Table 15). Other top themes included the principal communicating expectations orally face to face, in the evaluation tool, and with the use of data. These results suggest that principals of high and low-growth schools constantly clarify performance-expectations for teachers.

Concerning the fourth and final focus-setting leadership behavior, high-growth middle schools manifested a nearly significant difference over low-growth middle schools in creating policies, procedures, and practices that support quality instruction and rigorous learning (see Figure 3, Item 5). A specific procedure of focus emerged from the qualitative data as the most

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frequent theme (see Table 17). Other top themes included consistent enforcement of procedures and communication of procedures at staff meetings. These results suggest that principals of highgrowth extend above that of principals of low-growth to promote and enforce school procedures that focus on teaching and learning.

Monitoring the focus of teaching and learning. To keep the focus on quality teaching and rigorous learning, principals of high-growth schools perform two leadership behaviors at high levels. With regard to the first focus-keeping leadership behavior, principals of high-growth schools recognized the contributions and instructional successes of teachers and academic achievements and growth of students (see Figure 3, Item 10). The most frequent theme indicated that teachers were publicly recognized for school and individual achievements (see Table 27). The second most frequent theme, however, indicated that the recognition of teachers needs to be improved. Some teachers commented that praise for teachers was not adequately widespread. Any time principals recognize teachers they run the risk of excluding teachers who feel deserving of recognition which leads to dissatisfaction. To improve teacher satisfaction, principals must adopt ways to distribute recognition to a broad base of teachers who execute the priorities of the school (Marzano et al., 2007).

As for the next focus-keeping leadership behavior, principals of high-growth middle schools monitored student progress in learning challenging standards and provided instructional interventions as necessary (see Figure 3, Item 11). High-growth schools provided systematic ways to intervene above that of low-growth schools with significant difference (p=.054). Highgrowth schools possess the organizational management to systematically monitor student progress and then apply interventions when students struggle. As the most frequent theme, intervention teams, which meet regularly to discuss the progress of struggling students, apply necessary interventions (see Table 29). Among the14 responses, 11 originated from high-growth schools. The other top themes indicated that schools provided an intervention period and schools intervened based on data. Six of the 10 responses regarding the existence of an intervention period originated from high-growth schools. These qualitative results support the significant difference between principals of high-growth schools and principals of low-growth schools when monitoring student progress and intervening when necessary (p=.054). This leadership behavior not only requires effective middle school principals to organize resources to monitor student struggles and provide interventions; it requires functional collaboration and shared leadership.

**Building trust in similar ways.** The high-growth middle schools and low-growth middle schools in this study execute two trust-building leadership behaviors at a high level. In relation to the first trust-building leadership behavior, both types of middle school principals demonstrated ideals of optimism by being confident and expressing confidence in the abilities of teachers to make instructional innovations (see Figure 3, Item 14). The most frequent theme indicated that principals optimistically express confidence in teachers when they give teachers latitude and support to make instructional innovations (see Table 34). The second most frequent theme indicated that teachers perceived principals as school leaders who take an optimistic approach when seeking to improve teaching.

Regarding the second trust-building leadership behavior, the middle school principals displayed strong beliefs of what effective teaching is and that all students can experience high growth in learning with effective teaching (see Figure 3, Item 15). Specific beliefs regarding effective teaching and learning emerged as the most frequent theme (see Table 36). Principals communicated strong beliefs regarding teaching and learning through displaying examples and modeling effective teaching strategies. For high-growth middle schools only, the principals

communicated beliefs regarding teaching and learning through presentations to staff and through the evaluation process.

**Significant differences.** Among the 24 items in the comprehensive instructional leadership model, this study yielded three significant differences between the middle school leadership of high-growth schools and low-growth schools (see Figure 3). The high-growth middle schools of this study exhibited significantly higher results than low-growth middle school at: 1) effectively creating a strong focus with specific student-achievement goals (P=.014), 2) providing a system to intervene when students struggle to meet challenging standards (P=.054), and 3) frequently observing teachers with feedback that promotes instructional conversations (P=.031). Effective principals manage a high-growth learning culture through setting the focus with student achievement goals, keeping the focus through monitoring student progress and intervening as needed, and through providing frequent observational feedback related to quality teaching.

# Figure 4

# Correlation Comparison with the Comprehensive Instructional Leadership Model



The researcher hypothesized that related sets of leadership behaviors contribute to the creation of different cultures. Correlations between the three cultures and the other 21 leadership behaviors of the study were calculated to confirm or reject three hypotheses:  $H_2$ ,  $H_3$ , and  $H_4$ .

(H<sub>2</sub>) Managerial-instructional leadership behaviors correlate highly to the building

of strong student-learning cultures of high expectations and support.

(H<sub>3</sub>) Transformational-instructional leadership behaviors correlate highly to the creation of collaborative, teacher-learning cultures of innovation and support and high-trust cultures of commitment and satisfaction.

 $(H_4)$  Modeling ideals of trustworthiness and innovation correlate highly to a high-trust culture of commitment and satisfaction.

Second hypothesis. The Pearson Correlations between the two primary sets of leadership behaviors and the three cultures in Table 59 assisted to evaluate the second hypothesis ( $H_2$ ) and the third hypothesis ( $H_3$ ). In the model (Figure 4), one primary set of leadership behaviors is classified as managerial-instructional and the other set as transformational-instructional. In Table 59, no high correlations exist between the student-learning culture and the managerial behaviors or the transformational behaviors. The mean value of the combined correlations is greater between the student-learning culture and the managerial behaviors over that of the transformational behaviors, but the results do not confirm the second hypothesis ( $H_2$ ), which states: Managerial-instructional leadership behaviors correlate highly to the building of strong student-learning cultures of high expectations and support. Although a relationship exists between the managerial behaviors that principals perform and the creation of a strong studentlearning culture, this relationship did not exhibit a high correlation as was anticipated by the researcher.

Third hypothesis. The Pearson Correlations for each managerial behavior and each transformational behavior exhibited a moderate level of correlation with the three different cultures. The correlations between transformational behaviors and the teacher-learning culture exude a high correlation. In Table 59, six transformational behaviors of 11 correlated highly with the teacher-learning culture (55%). Moreover, the combined mean of the transformational behaviors transcends the moderate level to a high correlation level. Only three managerial behaviors of ten correlated highly to the teacher-learning culture (30%). One would expect this

result because transformational leadership involves teachers in organizational and professional learning (Leithwood & Jantzi, 2005; Shatzer, 2009).

Likewise, the high-trust culture correlated higher with transformational-instructional behaviors than the managerial-instructional behaviors as proposed by the comprehensive instructional leadership model of this study. Because of the trustworthy modeling of transformational leadership, the researcher expected a higher correlation with cultural trust. In Table 59, five transformational behaviors of 11 correlated highly with the high-trust culture (45%). Once again only three managerial behaviors of ten correlated highly to the high-trust culture (30%). These combined results confirm the third hypothesis (H<sub>3</sub>): Transformational-instructional leadership behaviors correlate highly to the creation of a collaborative, teacher-learning cultures of innovation and support and a high-trust cultures of commitment and satisfaction.

**Fourth hypothesis.** The high correlations in Figure 4 confirm the fourth hypothesis (H<sub>4</sub>): Modeling ideals of trustworthiness and innovation correlate highly to a high-trust culture of commitment and satisfaction. The set of transformational-instructional behaviors titled *Modeling Ideals of Trustworthiness and Innovation* correlated highly with the high-trust culture as predicted with the fourth hypothesis. As shown in Figure 4, four trust-building behaviors of five correlated highly with the culture of trust (80%). One focus-setting behavior of five (20%), two focus-keeping behaviors of five (40%), and two change-stimulating behaviors of four (50%) correlated highly with the high-trust culture. These results clarify the transformational relationship between modeling trustworthy leadership behaviors and promoting trust throughout the culture of the school. No specific hypotheses predicted which other sets of leadership behaviors would correlate highly with the teacher-learning culture and student-learning culture. Each set of transformational behaviors exhibit a higher percent of highly-correlated behaviors with the teacher-learning culture than do the sets of managerial behaviors. These correlation findings substantiate the most correlated path to increase the professional learning of a school is through transformational leadership. One would expect this outcome because transformational behaviors stimulate new professional learning (Leithwood & Jantzi, 2005; Shatzer, 2009).

**Culture of trust and professional learning.** Each of the 21 leadership behaviors of the comprehensive instructional leadership model correlate at a moderate or high level to each of the schools cultures of this study. Although many leadership behaviors of this study correlate highly with the culture of trust and the culture of professional learning, no leadership behaviors manifest a high correlation with the student-learning culture. This pattern of high correlation with the high-trust culture and the teacher-learning culture is even more prominent for low-growth schools. These results suggest that many of the leadership behaviors of the comprehensive instructional leadership model manifest strong ties to transformational leadership, which is known for creating professional learning and teacher satisfaction.

In Figure 4, eight leadership behaviors correlate highly with creating a high-trust culture of satisfaction and commitment. The three leadership behaviors with the highest correlations related to building a culture of high trust include: 1) principals utilizing a representative leadership team and input from staff to set the focus for teaching and learning for continuous school improvement, 2) principals building and maintaining relationships with teachers with personal communication and individualized concern, and 3) principals establishing collaborative

structures with shared leadership to stimulate professional learning and the development of curricular units aligned to assessments.

In Figure 4, nine leadership behaviors express high correlation in building a collaborative, teacher-learning culture of innovation and support. The four leadership behaviors with the highest correlation in constructing a teacher-learning culture include: 1) principals exhibiting expertise in curriculum, instruction and assessment to support teachers in making instructional innovations, 2) principals model ideals of innovation by questioning the status quo, taking risk to innovate and making it safe for teachers to innovate, 3) principals using challenging standards to set an instructional focus, goals and expectations that inspire and guide teachers in making instructional innovations, and 4) principals utilizing collaborative structures with shared leadership to stimulate professional learning and development of aligned curriculum and assessment.

#### **Discussion of Findings**

**Valid and reliable survey instrument.** The Comprehensive Instructional Leadership Survey represents a valid, reliable tool that accurately measures the current function of school leadership. The findings of this study confirm that the comprehensive instructional leadership model functions as a valid and reliable instrument that accurately measures the current role of effective school leadership. The measurement of content validity for the Comprehensive Instructional Leadership Survey indicated that the item-level content validity index fell at .98, which exceeded the acceptable level of .90 (Polit & Beck, 2006). Reliability as measured by Cronbach's Alpha fell at .966. Hence, the reliability of the Comprehensive Instructional Leadership Survey exceeded the .90 level of excellence (George & Mallery, 2003). The model of this study was designed according to research to serve as an accurate representation of the current function of effective school leadership (Cotton, 2003; Hallinger, 2011, Leithwood & Jantzi, 2005; Marks & Printy, 2003; Marzano et al., 2005). As expected, the middle school principals of high-growth schools outperformed the principals of low-growth middle schools on the majority of leadership behaviors (see Figure 3). These results, which favor high-growth schools in the majority of cases and with a significant difference in some cases, substantiate that the comprehensive instructional leadership model accurately represents effective school leadership.

Effective middle school principals execute the model of research-based leadership behaviors beyond other principals. These findings suggest that effective middle school principals exert a greater influence on the growth of student learning, since they perform a majority of the leadership behaviors in the Comprehensive Instructional Leadership Model at a higher level than do other principals. The comprehensive aggregate of leadership behaviors performed seems to possess a heavier impact on improving student learning. In Figure 3, not only did principals of high-growth middle schools perform 17 of 24 leadership behaviors at a higher level than among low-growth middle schools, they executed nine leadership behaviors above a high level (4.00). Low-growth schools, on the other hand, only performed five behaviors above a high level. Additionally, principals of high-growth middle schools executed three key leadership behaviors significantly higher than low-growth middle schools (see Figure 3). High-growth middle school principals manage a productive culture of student-learning through setting the focus with student achievement goals, keeping the focus through monitoring student progress and intervening as needed, and through providing frequent observational feedback related to quality teaching. Integrated leadership with instructional emphasis. Effective middle school principals exercise an integrated form of instructional and transformational leadership with a higher execution of instructional leadership. The growing complexity of education requires an integrated approach of eclectic leadership behaviors to manage the school's instructional program and its learning environment, while allowing time to create a professional learning culture that generates instructional innovations necessary to implement standards-based education (Louis et al., 2010; Louis & Wahlstrom, 2011; Marks & Printy, 2003; Southworth, 2002). Other researchers have developed some comprehensive lists of school leadership behaviors similar to Marzano's meta-analysis (2005), but the researcher of this study synthesized the comprehensive instructional leadership model from two of the most common school leadership models used in educational research to conduct new research. The model includes a synthesis of instructional and transformational leadership behaviors (Hallinger, 2011, Leithwood & Jantzi, 2005; Marks & Printy, 2003).

The results confirmed that comprehensive model of instructional leadership accurately represents the complex function of school leadership and that effective middle school principals exercise an integrated approach of instructional and transformational leadership (Marks & Printy, 2003). Principals of high-growth middle schools performed eight of ten instructional leadership behaviors above those of low-growth schools. In Figure 3, principals of high-growth middle schools performed eight of eleven transformational leadership behaviors above that of low-growth schools. With a ratio of 6:2, however, effective middle school principals performed more instructional leadership behaviors above a high level (4.00) than transformational leadership behaviors above a high level (see Figure 3). Performing higher than low-growth schools in carrying out the aggregate of leadership behaviors coupled with the high performance of

instructional leadership behaviors over transformational leadership behaviors appear to possess a heavier impact on improving student learning (Marks & Printy, 2003).

Few empirical studies have examined the effects of integrated leadership (Marks & Printy, 2003). The findings of this study and others offer accumulating evidence that an integrated approach of comprehensive instructional leadership with strong leadership from the principal and shared leadership with teachers improves student learning (Louis et al., 2010; Louis & Wahlstrom, 2011; Marks & Printy, 2003; Southworth, 2002). Although this study's high-performing principals did not share leadership significantly better than the low-performing principals, results of this study provide additional confirmation that an integrated leadership style of instructional and transformational behaviors with strong top-down direction from the principal produces higher impact on learning (Marks & Printy, 2003).

When comparing the effects of instructional leadership and transformational leadership on student achievement with 23 different studies, the results indicated that instructional leadership elevated the impact on student achievement over transformational leadership (Robinson et al., 2008; Shatzner, 2009). Accordingly, this study's high-performing principals exhibited stronger instructional leadership than transformational leadership. This further confirms that transformational leadership is not sufficient without strong instructional leadership to manage the student-learning culture (Marks & Printy, 2003).

**Pervasive focus and strong monitoring behaviors.** Effective middle school principals create extremely strong student-learning cultures of high expectations and support by setting a pervasive focus on teaching and learning, and by keeping the focus through monitoring the progress of teaching and learning. The findings of this study suggest that effective middle school principals create an extremely strong student-learning culture of high expectations and support,
which may significantly contribute to their school's achievement in producing high growth in learning (see Figure 3, Item 1). As indicated by a nearly significant difference (p=.075), the student-learning culture of high-growth middle schools exceeds the highly-rated student-learning culture of low-growth middle schools. Individually none of the leadership behaviors found in the comprehensive instructional leadership model correlated highly with the creation of the student-learning culture, but the primary set of managerial-instructional behaviors correlated moderately with the student-learning culture and correlated slightly higher than did the transformational-instructional behaviors.

In Figure 3, principals of high-growth middle schools exceled at performing six of the ten managerial-instructional behaviors at a high level. The collective performance of managerial-instructional behaviors may exhibit the strongest influence on creating a robust student-learning culture. Above all other sets of leadership behaviors, high-growth schools demonstrated high execution of the leadership behaviors that create a pervasive focus on teaching and learning. Creating a clear and compelling focus on teaching and learning contends as the most important work of the principal in creating productive culture (Cotton, 2003; Hallinger, 2005, 2011; Marzano et al., 2005). Likewise in keeping the focus on teaching and learning, high-growth schools performed two of the five behaviors at a high level.

The findings of this study further suggest that effective middle school principals manage a high-yield culture of student-learning through performing three key instructional management strategies significantly higher than low-performing schools: 1) effectively creating a strong focus with specific student-achievement goals, 2) consistently providing a system to intervene when students struggle to meet challenging standards, and 3) frequently observing teachers with feedback that promotes instructional conversations. All of these leadership behaviors directly relate to Hallinger's instructional leadership model (see Appendix B). Hallinger (2003) asserts that his instructional leadership behaviors construct a high-performing culture of student-learning. Accordingly, the results suggest that these three behaviors significantly impacted the learning of students within the high-growth middle schools of this study.

Autocratic slant to shared leadership. Effective middle school principals are slightly more autocratic than democratic with their approach to collaboration, shared leadership, and decision-making compared to those of low-growth middle schools. Contrary to some existing research (Harris et al., 2007; Lineburg, 2010; Marks & Printy, 2003), the findings of this study suggest that effective middle school principals are slightly more autocratic and directive than democratic and facilitative with their approach to collaboration, shared leadership, and decisionmaking compared to those of low-growth middle schools. Principals of high-growth middle schools appear less proficient in facilitating bottom-up collaboration with shared leadership, which in turn leads to lower trust, satisfaction, and commitment, and to a slightly weaker teacher-learning culture of innovation and support. Considering Item 6 and 21 in Figure 3, principals of high-growth middle schools do not utilize a representative leadership team nor solicit staff input as well as low-growth middle schools. Secondly, principals of high growth middle schools do not establish collaborative structures with shared leadership for teachers' continuous professional learning, and they did not facilitate the development of curricular units with aligned assessments as well as low-growth middle schools. The correlation results of this study indicate that both of these leadership behaviors are highly correlated with creating a hightrust culture of satisfaction and commitment (see Figure 4, Item 6 & 21).

Table 18 presents four qualitative responses, which indicate that high-growth schools lack representative leadership, which corroborates the above conclusion. Considering Table 44,

nine qualitative responses of 11 indicate teachers of high-growth schools lack satisfaction with the collaborative structures of shared leadership. These findings align with Louis and Wahlstrom's finding (2010) that secondary principals struggle to share leadership and to stimulate meaningful and functional collaboration between teachers. Louis et al. (2010) learned from their extensive research that results appear mixed regarding the impact of distributed leadership on student achievement. Increased influence from teachers in formal decision making or leadership roles may have an insignificant impact on student achievement without the strong instructional leadership of a principal (Louis et al., 2010).

This lack of shared leadership and highly functional collaboration may also imply that principals of high-growth middle schools are first and foremost effective top-down instructional managers who create strong student-learning culture of high expectations and support. Effective management of a school builds the foundation for successful change (Louis et al., 2010). School improvement efforts generate the most success when principals effectively manage the teaching and learning environment of their schools and achieve stability and consistency (Louis et al., 2010). In contrast, poorly-managed schools, which lack essential infrastructure create a void of stable conditions necessary to produce deep change (Louis et al., 2010; Marzano et al., 2005).

Middle school principals of high-growth schools significantly outperformed low-growth schools in managing their schools with specific achievement goals, with a system to monitor student progress toward goals and intervene when necessary, and with frequent observation of instruction with feedback. The frequent execution of observing instruction with feedback indicates strong school management. Strong management allows principals to make time for a priority such as consistently observing classrooms and providing feedback. May and Supovitz, (2011) discovered that teachers experienced frequent observation and feedback from principals

unrelated to the size of the school and that the principal's instructional interactions with individual teachers significantly related to instructional changes. Hallinger (2003) confirmed a correlation between the principal's direct work of supervising the instruction of teachers with teacher effectiveness and student achievement for elementary schools, which implicated that school size or school management may serve as a limiting factor (Hallinger, 2003).

The findings of this study indicate that effective middle school principals create a less favorable culture of trust, satisfaction, and commitment when compared to low-growth schools. In addition to the lower levels of shared leadership, which negatively impacted the levels of trust, satisfaction and commitment, this study provides other evidential reasons behind high-growth middle schools incurring a low-rated culture of trust when compared to low-growth middle schools. The difference between the two kinds of schools is nearly significant (p=.081). From transformational leadership research, evidence suggests that sharing leadership and decisionmaking with teachers through collaborative working relationships not only impacts learning outcomes positively but also job satisfaction and organizational commitment (Leithwood & Jantzi, 2005; Shatzer, 2009). In addition to the two leadership-sharing behaviors mentioned previously, low-growth middle schools outperformed high-growth middle schools on two curriculum-coordinating behaviors that influence how principals collaboratively interact with teachers while working on curricular and instructional tasks. Effective middle school principals lack superiority in demonstrating instructional expertise with curriculum work and through taking on a hands-on approach to assist teacher with curriculum work.

In relation to the first curriculum-coordinating behavior, principals of low-growth middle schools outperformed high-growth schools by a minor margin in displaying expertise in curriculum, instruction, and assessment necessary to support teachers in making instructional

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innovations. This type of curricular and instructional expertise allows principals to collaborate with teachers effectively while working on curriculum and is highly correlated with a high trust culture of satisfaction and commitment (see Figure 4, Item 21). The next curriculum-coordinating behavior actually involves the principal taking a hands-on approach in coordinating the curricular and instructional work. Once again principals of low-growth schools outperformed principals of high-growth schools in this regard. Both type of principals, however, performed this leadership behavior at a low level and it was the lowest rated behavior for high-growth schools (see Figure 3, Item 22).

By the nature of the qualitative responses regarding the leadership behavior of protecting teachers from distractions, the researcher contends that this low-rated behavior contributed a culture of dissatisfaction which manifested itself in the lower than expected measurement of a high-trust culture of satisfaction and commitment in the survey. The most frequent theme for this leadership behavior indicated that teachers in high-growth schools experienced "initiatives" overload, which distracted them from their focus on teaching and learning. Among the 15 responses regarding overload, 14 originated from educators within high-growth schools, which corroborates the finding that high-growth schools did not outperform low-growth school in protecting teachers from distractions. Even though principals of high-growth schools create an extremely strong focus on teaching and learning, the findings reveal they take on too much too fast with school initiatives.

**Professional learning and building trust.** The correlation findings of this study confirm the relationship between a high-trust culture of commitment and satisfaction and a healthy, productive culture of professional learning (Bryk & Schneider, 2002; Harchar & Hyle, 1996; Louis & Wahlstrom, 2010; Robinson, 2010). Transformational-instructional behaviors in this

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study's model more closely correlate with the building of a high-trust culture of satisfaction, commitment, and a culture of professional learning than the managerial-instructional behaviors (see Figure 4). The combined performance of transformational leadership behaviors may exhibit a stronger influence on creating trust, satisfaction, and commitment as well as stimulating collaboration and the professional learning of teachers. The trust-building behaviors depicted in Figure 4 correlated highly with the high-trust culture of schools (80%) and the culture of the professional learning of teachers (60%). The other transformational behaviors inclusive of stimulating and supporting change correlated highly to the professional learning of teachers.

Louis and Wahlstrom (2011) contend that teachers' trust in their principal indicates the principal's involvement in creating a cohesive teacher-learning culture. Principals possess the responsibility to build a functional learning community with teachers that leads to increased commitment, satisfaction, and trust (Bryk & Schneider, 2002; Harchar & Hyle, 1996; Louis & Wahlstrom, 2010; Robinson, 2010). Louis and Wahlstrom (2011) describe the connection between the culture of trust and the culture of professional learning, "When principals talk about instruction, visit classrooms, and make instructional quality a visible priority, teachers are more likely to trust the principal" (pg. 55). The correlation findings of this study support this claim.

Effective middle school principals fell short in creating a high-trust culture by not adequately sharing leadership, involving themselves in curriculum work, and protecting teachers from initiatives overload, but created a solid teacher-learning culture and exceled at observing teachers and providing feedback when compared to low-growth middle schools. Principals of low-growth schools created higher trust through shared leadership and hands-on facilitation of curriculum work to match their strong teacher-learning culture, but lacked in observing teachers and providing feedback. Functional teacher-learning cultures support strong student-learning cultures. Another claim related to this study states that the school culture of how teachers collaborate, learn together, and treat each other impacts the student-learning culture of the school (Louis & Wahlstrom, 2011; McCarley, 2012). This claim was substantiated with finding that the middle school principals of this study created a strong culture of teacher-learning and a strong culture of student-learning.

# **Impact of Limitation**

Marshall and Rossman (2011) explained that every research design possesses limitations. One limitation of this study involved the small sample of ten Idaho schools. In spite of only selecting ten schools to participate, the sample size of survey participants was statistically adequate for both categories of schools (Tanner, 2012). The generalizability of this study may not be as strong with highly urban, high-minority, high-poverty schools, but the findings are highly applicable to the middle schools and junior high schools in Idaho specifically and highly applicable to school leadership across the country in general.

Using the Idaho Star Rating System for schools, the researcher selected ten middle schools and junior high schools based on learning growth as measured with the Idaho Standards Achievement Test. Many of selected schools in Idaho reside in rural communities. Each of the school's populations predominantly consists of Caucasian students with Hispanics as the primary minority. The study's sample of schools included some Title I schools, which serve a large portion of students on free or reduced lunch. Selecting schools based on growth, however, is arguably the most nonbiased way to limit the effect of student background on student achievement (Di Carlo, 2012; Gordan et al., 2006; Lipscomb et al., 2010; Zvoch & Stevens, 2006). As evidence, each category of schools, both high-growth and low-growth, included middle schools and junior high schools, schools with smaller populations (250 and above) and schools with larger student populations (750 and above), and schools with Title I status.

The researcher assumed that all students can achieve adequate annual growth regardless of their current proficiency levels, which were affected by socioeconomic and other background factors. The researcher assumed that using achievement growth as the selection criteria would minimize the effects of school demographics and student backgrounds. Evidence from recent growth models in pilot states suggests that traditional low-performing schools based on proficiency rankings can outperform traditional high-performing schools when compared using a growth model (Parry, 2010).

## Conclusion

The newly-designed comprehensive model of instructional leadership proved valid and reliable in measuring the complex nature of school leadership in the 21<sup>st</sup> century. These findings confirmed that effective middle school principals perform a tandem of instructional leadership behaviors and transformational leadership behaviors to lead their schools in achieving high growth in learning. The principals of high-growth middle schools performed many of integrated leadership behaviors at a high level of execution, the majority of which were performed beyond the level of low-growth middle schools, and three leadership behaviors were performed significantly better than low-growth middle schools.

The researcher's newly-developed comprehensive instructional leadership survey not only measured the differences in leadership styles, including the sharing of leadership and decision-making, but also the differences in creating three types of school cultures that greatly impact learning of students, professional trust, and the professional learning of teachers. The study quantified the correlations between five sequenced sets of researched-based leadership behaviors that the survey measured and three school cultures that the survey measured.

The findings of this study confirmed that effective middle school principals create a strong teaching and learning culture of high expectations and support within the school through a more directive, top–down, managerial focus on student achievement, intervention, and instruction (Hallinger, 2003, 2005, 2010). Principals of high-growth middle schools outperformed low-growth middle schools with a significant difference at 1) effectively creating a strong focus with specific student-achievement goals, 2) providing a system to intervene when students struggle to meet challenging standards, and 3) frequently observing teachers with feedback that promotes instructional conversations. All three of these leadership behaviors directly relate to Hallinger's (1985) instructional leadership model (see Appendix B). This finding also confirmed that transformational leadership ignites a collaborative, bottom–up professional learning culture of shared leadership that is highly correlated to creating a high-trust culture of teacher satisfaction and commitment (Leithwood & Jantzi, 2005; Marzano et al., 2005).

#### **Recommendations for Further Research**

The researcher would like to apply the comprehensive instructional leadership model to other levels of schools, which possess a variety of demographics. The research would be able to generate results for elementary schools, middle schools, and high schools. The results would be able ascertain differences in leadership style and cultural perception between the different levels of schools. With enough confirmatory research, the comprehensive instructional leadership model could become more predictive of high-growth achievement. With predictive capabilities, the comprehensive instructional leadership model would serve as an effective evaluation tool used to improve school leadership as well as create and implement school improvement plans.

Because of the research base behind the comprehensive instructional leadership survey and model as well as the confirmatory results of the study, its findings possess high value in extending the existing research of school leadership. Especially, the cultural correlations between individual behaviors within a sequenced set add valuable understanding to the existing research. The specificity of leadership practices within this new model provides clarity that other leadership models do not provide (Louis et al., 2010; Southworth, 2002).

## **Implications for Professional Practice**

Researchers of previous studies have developed comprehensive lists of school leadership behaviors, such as Marzano's 2005 meta-analysis. The researcher of this study synthesized a comprehensive instructional leadership model from two of the most common school leadership models used in educational research to conduct the new research. The new model includes instructional and transformational leadership behaviors in tandem. The results of this new model indicate that it more accurately represents the current leadership function of principals and more effectively measures the school leadership of principals (Marks & Printy, 2003).

The newly-developed research instrument of this study holds promise as an effective tool to guide school improvement through the improvement school leadership. Research confirms that the improvement of school leadership contends as the most influential factor on students' learning—second only to teachers' classroom instruction (Louis, Leithwood, Wahlstrom, & Anderson, 2010). By federal regulations, states are required to support schools that struggle achieve adequate student achievement. Mandated school improvement could be effectively guided with the use of the Comprehensive Instructional Leadership Survey and research.

Using the survey and research of this study, principals possess a unique position to influence school improvement in a way that possesses the greatest impact on student learning through improving the instruction of teachers (Hattie, 2002; Louis et al., 2010). Replete evidence indicates school leadership bears the encompassing responsibility of implementing all initiatives aimed at school improvement (Hallinger, 2011; Leithwood & Jantzi, 2005; Louis et al., 2010). Effective schools cannot exist without effective principals and school leadership (Cotton, 2003; Louis et al., 2010).

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# Appendix A

## **Comprehensive Instructional Leadership Survey**

To participate in this study, school leaders, language arts teachers and mathematics teachers will be asked to take a one-time Comprehensive Instructional Leadership Survey. The survey may take 30 minutes. The participation of the school will be confidential and individual responses to the survey items will be anonymous. The survey will be securely administered by Qualtrics. The willful completion of the survey indicates the informed consent of the participants and their permission to use the results for this research project. All participants have the right to discontinue their participation in the study at any time. The primary researcher, Kasey Teske, can be reached at <u>kteske@nnu.edu</u> or <u>208-316-7578</u> if any assistance is needed or if any concerns or questions about this study arise. Any questions may also be directed to the Committee Chair this study, Dr. Heidi Curtis, at <u>hlcurtis@nnu.edu</u> or <u>208-467-7612</u>.

	[select agree]
What is your position?	Building Administrator, Language Arts Teacher,
	Mathematics Teacher
How long have you been in your current position?	1-2 years, 3-5 years, 6 or more years
What is the name of your school?	[school name]

Directions: 1) rate the level at which the below school leadership behaviors were exhibited the last two school years (2011-2013) by the principal or other leaders in the school, and 2) write specific actions of how the principal or other leaders in your school demonstrated each leadership behavior.

Creating a Student-Learning Culture of High Expectations and Support	Level	
1. Creates a student-learning culture of high expectations and support.	12345	
Setting the Focus on Quality Teaching and Rigorous Learning	Level	Specific Actions (Please try to give at least one example for each.)
2. Develops and advocates a school mission and vision with associated beliefs and values focused on quality teaching and rigorous learning.	12345	
3. Establishes and promotes specific school-wide goals focused on high performance in student learning.	12345	
4. Communicates and reinforces high-performance expectations for teachers and students focused on teaching and learning.	12345	
5. Provides and enforces school policies, procedures and practices that are focused on quality teaching and rigorous learning.	12345	
6. Utilizes a representative leadership team of staff members to set the focus on the continuous improvement of teaching and learning and provides opportunities for staff input.	12345	

Directions: 1) rate the level at which the below school leadership behaviors were exhibited the last two school years (2011-2013) by the principal or other leaders in the school, and 2) write specific actions of how the principal or other leaders in your school demonstrated each leadership behavior.

1-Strongly Disagree, 2-Disagree, 3-Undecided, 4-Agree, 5-Strongly Agree

Keeping the Focus on Quality Teaching and	Level	Specific Actions
Rigorous Learning		(Please try to give at least one example

		for each.)
7. Protects teachers from distractions and maximizes instructional time and resources for quality teaching and rigorous learning.	12345	
8. Maintains high visibility and accessibility with frequent communication to stakeholders regarding quality teaching and rigorous learning.	12345	
9. Maintains a keen awareness of the situational reality of the school in order to anticipate and prevent problems that may have a negative impact on quality teaching and rigorous learning.	12345	
10. Recognizes contributions and instructional successes of teachers and academic achievements and growth of students.	12345	
11. Monitors student progress in learning challenging standards and provides instructional interventions as necessary.	12345	

Directions: 1) rate the level at which the below school leadership behaviors were exhibited the last two school years (2011-2013) by the principal or other leaders in the school, and 2) write specific actions of how the principal or other leaders in your school demonstrated each leadership behavior.

Fostering a High-Trust Culture of Commitment and Satisfaction	Level	
12. Fosters a high-trust culture of commitment and satisfaction.	1 2 3 4 5	
Modeling Ideals of Trustworthiness and Innovation	Level	Specific Actions (Please try to give at least one example for each.)
13. Builds and maintains relationships with teachers through personal communication and individualized concern.	12345	
14. Demonstrates ideals of optimism by being confident and expressing confidence in the abilities of teachers to make instructional innovations.	12345	
15. Displays strong beliefs of what effective teaching is and that all students can experience high growth in learning with effective teaching.	12345	
16. Exhibits strong expertise in curriculum, instruction and assessment necessary to support teachers in making instructional innovations.	12345	
17. Models ideals of innovation by questioning the status quo, taking risks to innovate and making it safe for teachers to take risks. Directions: 1) rate the level at which the below school	12345	

1-Strongly Disagree, 2-Disagree, 3-Undecided, 4-Agree, 5-Strongly Agree

school years (2011-2013) by the principal or other leaders in the school, and 2) write specific actions of how the principal or other leaders in your school demonstrated each leadership behavior.

Creating a Teacher-Learning Culture of Instructional Innovation and Support	Level	
18. Creates a teacher-learning culture of instructional innovation and support.		
Stimulating collaboration and Instructional Innovation	Level	Specific Actions (Please try to give at least one example for each.)
19. Uses challenging standards to set an instructional vision, goals and expectations that inspire and guide teachers in making instructional innovations.	12345	
20. Provides training for teachers to learn best practices and empowers them to make instructional innovations within the curriculum to improve student performance on assessments.	12345	
21. Establishes collaborative structures with shared leadership for the continuous professional learning of teachers and the development of curricular units with aligned assessments.	12345	
22. Takes a hands-on approach to assist teachers with developing and aligning standards-based curriculum and assessment that allows for instructional innovations	12345	
Directions: 1) rate the level at which the below school	-	
school years (2011-2013) by the principal or other le		
how the principal or other leaders in your school den 1-Strongly Disagree, 2-Disagree, 3-U		
Developing Teachers and Supporting	Level	Specific Actions

Developing Teachers and Supporting Instructional Innovation	Level	Specific Actions (Please try to give at least one example for each.)
23. Observes instruction frequently with feedback and questioning to teachers that elicits reflection and instructional conversations related to the instructional vision, goals and expectations.	1 2 3 4 5	
24. Provides teachers additional support to make instructional innovations with the assistance of an instructional coach.	12345	
		Source: Teske, K. (2014)

# Appendix B

# **Comprehensive Instructional Leadership Model's Alignment to Existing Models**

Instructional	Transformational	21 School Leader's	Comprehensive School Leadership
Leadership	Leadership	Responsibilities	Model
Hallinger (1985)	Leithwood and Jantzi	Marzano et al.	1. Set Focus on Teaching & Learning
	(2005)	(2005)	1. Develop a school vision of quality
1. Defining the	1. Setting Directions	5. Culture	teaching for challenging learning
School Mission	1.1 Vision	8. Focus	<ol><li>Establish specific school-wide</li></ol>
1.1 Frame the	(inspirational		student achievement goals
school goals	motivation)		3. Communicate high-performance
1.2	1.2 Group goals		expectations
Communicate	1.3 High-		<ol><li>Provide school procedures, practices</li></ol>
the school goals	performance	16. Order	and policies
	expectations		5. Use leadership team and allow for
		10. Input	staff input
2. Developing			2. Keep Focus on Teaching & Learning
the School	3.3 Building	6. Discipline	6. Minimize distractions and maximize
Learning	productive relations	19. Resources	instructional time and resources
Environment	with parents and the	21. Visibility	<ol><li>Maintain high visibility and</li></ol>
2.1 Protect	community	4. Communication	accessibility with frequent
instructional	4.	17. Outreach	communication to stakeholders
time	Transactional/Mana	20. Situational	8. Anticipate and prevent problems
2.2 Maintain	gerial Aggregate	awareness	9. Recognize academic achievements
high visibility	4.2 Management by	1. Affirmation	and growth of students and
2.3 Provide	exception (active)	<ol><li>Contingent</li></ol>	contributions and instructional
incentives for	4.1 Contingent	Rewards	successes of teachers
learning	reward		10. Monitor student progress and
2.4 Provide			provide interventions as necessary
incentives for		5. Culture	3. Model Ideals of trust & Innovation
teachers	2.3 Modeling key	18. Relationship	11. Build relationships with
3.3 Monitor	values and practices		individualized concern for teachers
student	(idealized influence)	15. *Optimizer	12. *Model ideals of optimism.
progress		9. *Ideals/beliefs	13. *Model strong teaching and
		13. *Knowledge of	learning beliefs
		С. І. А.	14. *Exhibit instructional expertise
		2. *Change Agent	15. *Model ideals of innovation.
	2.2 Intellectual	7. *Flexibility	4. Stimulate Instructional Innovation
	stimulation		16. Set instructional vision, goals and
3.2 Coordinate	3. Redesigning the		expectations
the curriculum	Organization	11. *Intellectual	17. *Share best practices and
3. Managing	3.1 Helping to build	stimulation	empowers teachers to innovate C.I.A.
the	collaborative cultures		18. Establishes collaborative structures
Instructional	3.2 Creating		for continuous professional learning
Program	structures to foster		19. Coordinate the development of
3.1 Supervise &	collaboration	12. Involvement in	aligned C.I.A.
evaluate	2. Helping People	C.I.A.	5. <u>Support Instructional Innovation</u>
instruction	2.1 Individualized	14.	20. *Monitor/evaluate instruction with
3.4 Promote	consideration &	*Monitor/evaluate	feedback to teachers
professional	support		21. Develop teachers with training and
development	elate to effecting $2^{nd}$ orde		coaching Source: Taska, K. (2014)

\*behaviors correlate to effecting 2<sup>nd</sup> order change.

Source: Teske, K. (2014)

# Appendix C

$\mathrm{df}=n-2$				
Level of Significance ( <u>p</u> ) for Two-Tailed Test	.10	.05	.02	.01
df				
1	.988	.997	.9995	.9999
2	.900	.950	.980	.990
3	.805	.878	.934	.959
4	.729	.811	.882	.917
5	.669	.754	.833	.874
6	.622	.707	.789	.834
7	.582	.666	.750	.798
8	.549	.632	.716	.765
9	.521	.602	.685	.735
10	.497	.576	.658	.708
11	.476	.553	.634	.684
12	.458	.532	.612	.661
13	.441	.514	.592	.641
14	.426	.497	.574	.623
15	.412	.482	.558	.606
16	.400	.468	.542	.590
17	.389	.456	.528	.575
18	.378	.444	.516	.561
19	.369	.433	.503	.549
20	.360	.423	.492	.537
21	.352	.413	.482	.526
22	.344	.404	.472	.515
23	.337	.396	.462	.505
24	.330	.388	.453	.496
25	.323	.381	.445	.487
26	.317	.374	.437	.479
27	.311	.367	.430	.471
28	.306	.361	.423	.463
29	.301	.355	.416	.456
30	.296	.349		.449
35	.275	.325		.418
40	.257	.304	.358	.393
45	.243	.288	.338	.372
50	.231	.273	.322	.354
60 70	.211	.250	.295	.325
70	.195	.232	.274	.303
80	.183	.217	.256	.283
90	.173	.205	.242	.267
100	.164	.195	.230	.254

Critical Values of the Pearson Product-Moment Correlation Coefficient

Source: Siegle, D. (2009)